Newton Polygons as Lattice Points by
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## Classical Newton Polygons:

## (1) DEFINITION

- Traditional Approach: a graphic representation of a sequence of rational numbers $\lambda_{1} \geq \lambda_{2} \geq \ldots \geq \lambda_{n}$.
- Lie Theoretic Approach: a sequence $\lambda_{1} \geq$ $\lambda_{2} \geq \ldots \geq \lambda_{n}$ of non-increasing rational numbers corresponds to a rational point in the Weyl chamber of the group $\mathrm{GL}_{n}$; or more canonically a Weyl orbit in coroot space $\mathfrak{t}_{\mathbb{R}}$.

Illustrate the two equivalent definitions of Newton polygons in graph no. ?

## (2) PARTIAL ORDERING

Say we have a Newton polygon $N P_{1}$ with slopes $\lambda_{1} \geq \lambda_{2} \geq \ldots \geq \lambda_{n}$ and a Newton polygon $N P_{2}$ with slopes $\mu_{1} \geq \mu_{1} \geq \ldots \geq \mu_{n}$, corresponding to points $x_{1}, x_{2}$ in the Weyl chamber of $\mathrm{GL}_{n}$.

Then the convex hull of the Weyl orbit of $x_{1}$ contains the convex hull of the Weyl orbit of $x_{2}$ if and only if

$$
\begin{aligned}
\sum_{j=1}^{k} \lambda_{j} \geq \sum_{j=1}^{k} \mu_{j}, \quad \forall k & =1, \ldots, n-1, \\
\text { and } \quad \sum_{j=1}^{n} \lambda_{j} & =\sum_{j=1}^{n} \mu_{j} .
\end{aligned}
$$

Graphically, this means that $N P_{1}$ lies above $N P_{2}$. We say that $x_{1} \succeq x_{2}$ if this is the case; this defines a partial ordering on the set of all such Newton polygons $\mathcal{N}$.

Illustrate the two equivalent definitions of the partial ordering in graph no. ?

## (3) INTEGRAL NEWTON POLYGONS

The Newton polygons one encounters in application usually satisfy an extra integrality condition, namely the denominator of each 'slope' $\lambda_{i}$ divides its multiplicity. If this condition is satisfied, we say that the Newton polygon is integral. Denote by $\mathcal{N}_{\mathbb{Z}}$ the set of all integral Newton polygons (for a fixed $n$ ).

- The poset $\mathcal{N}_{\mathbb{Z}}$ forms a lattice.
(Actually, the same is true for the set $\mathcal{N}$ of all Newton polygons. But the "meet" operation on $\mathcal{N}_{\mathbb{Z}}$ is not the restriction of the meet operation on $\mathcal{N}$; the "join" operation is.)

Notice that the dual meaning of "lattice" is reflected here.
(4) Two combinatorial properties of integral Newton polygons (possibly not "well-known"):

- The poset $\mathcal{N}_{\mathbb{Z}}$ is ranked, in the sense that any two maximal chains in a given segment have the same length.
- The length of a segment $\left[x_{2}, x_{1}\right]$ in $\mathcal{N}_{\mathbb{Z}}$ is given by the number of integral points which lie strictly above $N P_{2}$ and on or below $N P_{1}$.


## Motivations

Let $\operatorname{Sh}(G, X)$ be a Shimura variety, and let $p>$ 0 be a prime number where $S h(G, X)$ has good reduction.

1. Generalize the notion of Newton polygons, so as to predict which "generalized isogeny classes" should occur in the family of motives with $G$-structure attached to the reduction of $S h(G, X)$ modulo $p$. (This has been solved by the works of Kottwitz and Rapoport-Richartz. We shall make things somewhat more explicit.)
2. Find a formula which predicts the dimension of the various Newton strata of the reduction of $\operatorname{Sh}(G, X)$ modulo $p$.

Remark. The answer to the second question above must extrapolate the following theorem of Li and Oort: The supersingular locus in the moduli space $\mathcal{A}_{g}$ of $g$-dimensional principally polarized abelian varieties in characteristic $p$ is equal to $\left\lfloor\frac{g^{2}}{4}\right\rfloor$. So part of the question is to find a group-theoretic interpretation of the number $\left\lfloor\frac{g^{2}}{4}\right\rfloor$.

## Notations

| k |  | an algebraically closed field of characteristic $p>0$. |
| :---: | :---: | :---: |
|  |  |  |
| K |  | the fraction field of the ring of $p$ dic Witt vectors $W(k)$. |
| $\overline{\bar{K}}$ |  | algebraic closure of $K$. |
| F |  | finite extension of $\mathbb{Q}_{p}$ in $\bar{K}$ |
| $L$ |  | the compositum of $K$ and $F$ in |
| $\sigma$ |  | the Frobenius automorphism $L / F$. |
| $\Gamma$ |  | the Galois group |
| $\mathbb{D}$ |  | the proalgebraic torus with char acter group $\mathbb{Q}$. |
| $G$ |  | a connected reductive group quasisplit over $F$. |
| $B(G)$ |  | the set of all $\sigma$-conjugacy classes f $G(L)$. |
| $S$ | - | maximally $F$-split torus |
| T |  | a maximal torus of $G$ over $F$ whic contains $S$, i.e. $Z_{G}(S)$. |
| $B$ |  | a Borel subgroup of $G$ over which contains $T$. |
| $X_{*}(T)$ |  | characters of $T$. |
| $X^{*}(T)$ |  | aracters of $T$. |
| Ф |  | $(G, T)$, the root system oots of $G$. |
| $\Phi^{\vee}$ |  | $\Phi^{\vee}(G, T)$, the dual root system $(G, T)$ consisting of coroots. |

$\Phi^{+}-\Phi^{+}(G, T)$, the $B$-positive roots in $\Phi$.
$\Phi_{F}-\Phi(G, S)$, the relative root system of $S$-roots of $G$.
$\Phi_{F}^{\vee}-\Phi^{\vee}(G, S)$, the relative dual root system of $S$-coroots of $G$.
$\Phi_{F}^{+} \quad$ - the $B$-positive roots in $\Phi_{F}$.
$\Delta \quad-\quad$ the simple roots in $\Phi$.
$\Delta^{\vee}$ - the simple coroots in $\Phi^{\vee}$.
$\Delta_{F} \quad$ - the simple roots in $\Phi_{F}$.
$\Delta_{F}^{\vee}$ - simple coroots in $\Phi_{F}^{\vee}$.
$W$ - the Weyl group of $\Phi(G, T)$.
$W_{F}$ - the Weyl group of $\Phi_{F}$.
$C \quad$ - the Weyl chamber in $X_{*}(T)_{\mathbb{R}}$, with edges given by the the fundamental coweights if $G$ is semisimple. In general it is the inverse image of the Weyl chamber for $G^{\text {ad }}$.
$C^{\vee}$ - the obtuse Weyl chamber in $X_{*}(T)_{\mathbb{R}}$, or the dual cone to $C$. It has the simple coroots as edges if $G$ is semisimple. In general it is the image of the obtuse Weyl chamber for $G^{\text {der }}$.
$C_{F} \quad$ - the Weyl chamber in $X_{*}(S)_{\mathbb{R}}$.
$C_{F}^{\vee}$ - the obtuse Weyl chamber in $X_{*}(S)_{\mathbb{R}}$.

Definition 1. Let $B(G)$ be the set of all $\sigma$ conjugacy classes of elements of $G(L)$. Two elements $x, y \in G(L)$ represent the same element in $B(G)$ if and only if $x=\operatorname{gy\sigma }(g)^{-1}$ for some $g \in G(L)$, or equivalently iff the two elements $x \sigma, y \sigma \in G(L) \rtimes\langle\sigma\rangle$ are conjugate under $G(L)$. An element $x \in G(L)$ gives, for each finite dimension $F$-rational representation $V$ of $G$, a structure of $\sigma$ - $L$-isocrystal on the space $V \otimes_{F} K$ via the action of $x \sigma \in G(L) \rtimes\langle\sigma\rangle$.

Remark. We assumed that $G$ is quasisplit over $F$. The main reason is that a convenient description of the whole set $B(G)$ is available under this assumption.

For applications we are mostly interested in the case when $F=\mathbb{Q}_{p}$, because then we will be dealing with the usual $F$-isocrystals, and also because the reductive groups attached to Shimura varieties are defined over $\mathbb{Q}$.

Definition 2. The Newton cone $\mathcal{N}(G)$ is defined to be

$$
\begin{aligned}
\mathcal{N}(G) & =\left(\operatorname{Int} G(L) \backslash \operatorname{Hom}_{L}(\mathbb{D}, G)\right)^{\langle\sigma\rangle} \\
& \cong\left(X_{*}(T)_{\mathbb{Q}} / W\right)\ulcorner
\end{aligned}
$$

Since $G$ is quasisplit over $F$, one can also identify $\mathcal{N}(G)$ with $X_{*}(S)_{\mathbb{Q}} / W_{F}$, or as the set of all rational points in $C_{F}$.

Thus $\mathbb{N}(G)$ has a canonical structure as the set of all rational points in a simplicial cone. Its faces are indexed by subsets of $\Delta_{F}$, or equivalently $\Gamma$-stable subsets of $\Delta$.

Fact: There is a canonical map

$$
\bar{\nu}_{G}: B(G) \rightarrow \mathcal{N}(G)
$$

defined by Kottwitz, which assigns every $\sigma$ conjugacy class $\bar{b} \in B(G)$ its associated Newton point $\bar{\nu}(\bar{b}) \in \mathcal{N}(G)$.

## Notation

(1) Let $\theta_{F}$ be a subset of $\Delta_{F}$ and let $\theta$ be the corresponding subset of $\Delta$.
(2) Let $T_{\theta}=\bigcap_{\alpha \in \theta}\left(\operatorname{Ker} \chi_{\alpha}\right)^{0}$ be the largest subtorus of $T$ killed by all characters $\chi_{\alpha}$ with $\alpha \in \theta$, and let $S_{\theta_{F}}=\left(T_{\theta} \cap S\right)^{0}$.
(3) Let $M_{\theta}=Z_{G}\left(T_{\theta}\right)=Z_{G}\left(S_{\theta_{F}}\right)$, the standard Levi subgroup indexed by $\theta ; T_{\theta}$ is the neutral component of $M_{\theta}$. Reflections about the root hyperplanes in $X_{*}(T)_{\mathbb{R}}$ indexed by elements in $\theta$ generate a subgroup $W_{\theta}$ of $W$, which is canonically isomorphic to the Weyl group of $M_{\theta}$.
(4) The interior of $C_{F} \cap X_{*}\left(S_{\theta_{F}}\right)_{\mathbb{R}}$ in $X_{*}\left(S_{\theta_{F}}\right)_{\mathbb{R}}$ is an open face of $C_{F}$; denote it by $C_{F}^{\theta, 0}$. The closed face $C_{F} \cap X_{*}\left(S_{\theta_{F}}\right)_{\mathbb{R}}$ will be denoted by $C_{F}^{\theta}$.

Definition 3. For each subset $\theta_{F}$ of $\Delta$, we have a canonical projection

$$
\pi_{\theta_{F}}: X_{*}(T)_{\mathbb{Q}} \rightarrow X_{*}\left(S_{\theta_{F}}\right)
$$

defined in several equivalent ways.
(1) First form: The Galois group $\Gamma$ operates on $X_{*}(T)_{\mathbb{Q}}$ via a finite quotient; the subspace of fixed vectors is $X_{*}(S)_{\mathbb{Q}}$. This gives us a projection

$$
\mathrm{pr}\left\ulcorner: X_{*}(T)_{\mathbb{Q}} \rightarrow X_{*}(S)_{\mathbb{Q}}\right.
$$

The finite reflection group $W_{\theta}$ also operated on $X_{*}(T)_{\mathbb{Q}}$, with $X_{*}\left(T_{\theta}\right)_{\mathbb{Q}}$ as the subspace of fixed vectors. This gives us a projection

$$
\operatorname{pr}^{W_{\theta}}: X_{*}(T)_{\mathbb{Q}} \rightarrow X_{*}\left(T_{\theta}\right)_{\mathbb{Q}}
$$

Clearly $X_{*}\left(S_{\theta_{F}}\right)_{\mathbb{Q}}=X_{*}(S)_{\mathbb{Q}} \cap X_{*}\left(T_{\theta}\right)_{\mathbb{Q}}$; moreover $X_{*}\left(T_{\theta}\right)_{\mathbb{Q}}$ is stable under $\Gamma$ since $\theta$ is. In fact the action of $W_{\theta}$ on $X_{*}(T)_{\mathbb{Q}}$ is normalized by the action of $\Gamma$. We define $\pi_{\theta_{F}}$ to be

$$
\pi_{\theta_{F}}=\mathrm{pr}\left\ulcorner\circ \mathrm{pr}^{W_{\theta}}=\int_{W_{\theta} \cdot \Gamma} .\right.
$$

(2) Second form: The $\mathbb{Q}$-vector subspace of $X_{*}(T)_{\mathbb{Q}}$ generated by coroots $\left\{\alpha^{\vee} \mid \alpha \in \theta\right\}$ and $\{\gamma \cdot \beta-\beta \mid \beta \in \Delta, \beta \notin \theta\}$ is a complement to $X_{*}\left(S_{\theta_{F}}\right)_{\mathbb{Q}}$. We define $\pi_{\theta_{F}}$ to be the projection to $X_{*}\left(S_{\theta_{F}}\right)$ with respect to this direct sum decomposition.
(3) Third form: Choose and fix an admissible inner product $(\cdot \mid \cdot)$ on $X_{*}(T)_{\mathbb{R}}$, i.e. it is invariant under both $\Gamma$ and $W$. Then we define $\pi_{\theta_{F}}$ to be the orthogonal projection to $X *\left(S_{\theta_{F}}\right)$ with respect to ( $\cdot \mid \cdot$ ).

Definition 4. (i) For each subset $\theta_{F}$ of $\Delta_{F}$, let $\wedge_{\theta_{F}}=\pi_{\theta_{F}}\left(X_{*}(T)\right)$ be the projection of the coweight lattice of $X_{*}(T)$ under $\pi_{\theta_{F}}$, and let $R_{\theta_{F}}^{V}$ be the projection of the coroot module $R^{\vee}(G, T)$ in $X_{*}(T)_{\mathbb{Q}}$ under $\pi_{\theta_{F}}$. Here $R^{\vee}(G, T)$ is the $\mathbb{Z}$-submodule of $X_{*}(T)$ generated by $\Phi^{\vee}$. Clearly $R_{\theta_{F}} \subseteq \wedge_{\theta_{F}} ; \wedge_{\theta_{F}}$ is a lattice in $X_{*}\left(S_{\theta}\right)_{\mathbb{Q}}$, while $R_{\theta_{F}}^{\vee}$ is a lattice in $X_{*}\left(S_{\theta}\right)_{\mathbb{Q}}$ if $T / S$ is anisotropic over $F$.
(ii) Define $C_{F, \mathbb{Z}}^{\theta, 0}$ (resp. $C_{F}^{\theta, 0}$ ) to be the intersection of $\Lambda_{\theta_{F}}$, (resp. $\left.R_{\theta_{F}}^{\vee}\right)$ with the open face $C_{F}^{\theta, 0}$ of $C_{F}$. Similarly let $C_{F, \mathbb{Z}}^{\theta}$ (resp. $C_{F, R^{\vee}}^{\theta}$ ) be the intersection of $\Lambda_{\theta_{F}}$ (resp. $R_{\theta_{F}}^{\vee}$ ) with'the closed face $C_{F}^{\theta}$ of $C_{F}$.
(iii) Define $C_{F, \mathbb{Z}}$ (resp. $C_{F, R^{\vee}}$ ) to be the disjoint union of all $C_{F, \mathbb{Z}}^{\theta, 0}$ (resp. $C_{F, R^{\vee}}^{\theta, 0}$ ), with $\theta$ running over all subsets of $\Delta$ stable under $\Gamma$. Since $\mathcal{N}(G)$ is canonically isomorphic to the set of all rational points of $C_{F}, C_{F, \mathbb{Z}}$ (resp. $C_{F, R^{\vee}}$ ) can be identified with a discrete subset of $\mathcal{N}(G)$; denote it by $\mathcal{N}(G)_{\mathbb{Z}}$ (resp. $\left.\mathcal{N}(G)_{R^{\vee}}\right)$.

Definition 5. For a give element $\nu \in \mathfrak{C}_{F, \mathbb{Z}}$, define

$$
\left.\begin{array}{c}
C_{F, \mathbb{Z}}^{\nu}=\left\{x \in C_{F, \mathbb{Z}} \mid x \preceq \nu\right\} \\
C_{F, R^{\vee}}^{\nu}=\left\{x \preceq \nu \left\lvert\, \begin{array}{c}
\exists \theta_{F} \subseteq \Delta_{F} \text { s.t. } x \in C_{F}^{\theta, 0} \\
x-\pi_{\theta_{F}}(\nu) \in \pi_{\theta_{F}}\left(R^{\vee}(G, T)\right)
\end{array}\right.\right\}
\end{array}\right\}
$$

The corresponding subsets in $\mathcal{N}(G)_{\mathbb{Z}}$ will be denoted by $\mathcal{N}(G)_{\mathbb{Z}}^{\nu}$ and $\mathcal{N}(G)_{\mathbb{Z}, R^{\vee}}^{\nu}$ respectively.

The following proposition explains why the set $C_{F, R^{\vee}}^{\nu}$ is relevant.
Proposition 1. Let $b_{1} \in B(G)$ be a $\sigma$-conjugacy class, $\bar{\nu}\left(b_{1}\right) \in C_{F, \mathbb{Z}}$ be the representative of the Newton point of $b$ in $C_{F}$. Then $C_{F, R}^{\bar{\nu}\left(b_{1}\right)}$ is equal to the image of

$$
\left\{b \in B(G) \mid \bar{\nu}(b) \preceq \bar{\nu}\left(b_{1}\right), \gamma(b)=\gamma\left(b_{1}\right)\right\}
$$

under the Newton map

$$
\bar{\nu}_{G}: B(G) \rightarrow \mathcal{N}(G)_{\mathbb{Z}} \cong C_{F, \mathbb{Z}}
$$

Theorem 1. Let $\mu$ be a miniscule dominant coweight, that is $\langle\alpha, \mu\rangle \in\{0,1\}$ for each root $\alpha \in \Phi^{+}$. Let $\mu^{\natural} \in C_{F, \mathbb{Z}}$ be the projection of $\mu$ to $C_{F}$, that is the average of $\Gamma \cdot \mu$. Then every $y \in C_{F, R^{\vee}}^{\mu^{\natural}}$ is the projection of some element of the Weyl orbit $W \cdot \mu$ under $\pi_{\theta_{F}}$ for a suitable subset $\theta_{F} \subseteq \Delta_{F}$.

Remark. In the situation of a Shimura variety $\operatorname{Sh}(G, X)$, take $\mu$ to be the coweight of $G$ attached to $X$. Theorem 1 says the prediction of the generalized Grothendieck conjecture of Rapoport-Richartz as to which Newton points will appear in the reduction modulo $p$ of $\operatorname{Sh}(G, X)$ coincides with that of the complex multiplication theory and the philosophy of motives.

Theorem 2. Let $\nu$ be an element of $C_{F, \mathbb{Z}}$. (i) The poset $C_{F, R^{\vee}}^{\nu}$ is ranked. In other words every maximal chain between two comparable elements have the same length.
(i) Let $y, z$ be as in (i). Then
length $_{C_{F, R}^{\nu}}([y, z])$

$$
\begin{aligned}
& =\#\left(E_{F, R}^{\nu \vee}(z)-E_{F, R^{\vee}}^{\nu}(y)\right) \\
& =\sum_{i=1}^{l}\binom{\left\lceil\left\langle\omega_{F, i}, \nu\right\rangle-\left\langle\omega_{F, i}, y\right\rangle\right\rceil}{-\left\lceil\left\langle\omega_{F, i}, \nu\right\rangle-\left\langle\omega_{F, i}, z\right\rangle\right\rceil}
\end{aligned}
$$

where $\omega_{F, 1}, \ldots, \omega_{F, l}$ are the fundamental $F$-coweights. Especially

$$
\operatorname{length}_{C_{F, R^{\bigvee}}^{\nu}}([x, \nu])=\sum_{i=1}^{l}\left\lceil\left\langle\omega_{F, i}, \nu\right\rangle-\left\langle\omega_{F, i}, x\right\rangle\right\rceil
$$

for any $x \in C_{F, R^{\vee}}^{\nu}$.

## Dimension of the Newton strata

Let $(G, X)$ be a Shimura data. Assume that $G$ is quasisplit over $\mathbb{Q}_{p}$ and splits over an unramified extension of $\mathbb{Q}_{p}$. Let $\mu$ be the dominant coweight with respect to a $\mathbb{Q}_{p}$-rational Borel subgroup $B$ attached to $X$. Let $\mu^{\natural}$ be the $\operatorname{Gal}\left(\overline{\mathbb{Q}_{p}} / \mathbb{Q}_{p}\right)$-average of $\mu$, and let $M_{1}=Z_{G}\left(\mu^{\mathrm{y}}\right)$. Let $b_{M_{1}}$ be the basic element in $B\left(M_{1}\right)_{\text {basic }} \cong$ $\pi_{1}\left(M_{1}\right)$ which corresponds to the image of $\mu$ in $\pi_{1}\left(M_{1}\right)$. Denote by $b_{1} \in B(G)$ the image of $b_{M_{1}}$ under the canonical map $B\left(M_{1}\right) \rightarrow B(G)$. Let $b_{0} \in B(G)_{\text {basic }} \cong \pi_{1}(G)$ be the basic element in $B(G)$ corresponding to the image of $\mu$ in $\pi_{1}(G)$. Notice that the Newton point $\bar{\nu}\left(b_{1}\right)$ of $b_{1}$ is represented by $\mu^{\natural}$.

Let $K_{p}$ be a hyperspecial maximal compact subgroup of $G\left(\mathbb{Q}_{p}\right)$. Assume furthermore that $\mathrm{Sh}_{K_{p}}(G, X)$ over the reflex field $E(G, X)$ has good reduction at a place $v$ over $p$. Let $\mathcal{S}_{\text {basic }}$ be the locus in the reduction of $\mathrm{Sh}_{K_{p}}(G, X)$ at $v$ consisting of points with type $b_{0} \in B(G)$. More generally, for each $b \in B(G)$ such that $b \preceq b_{1}$ and $\gamma(b)=\gamma\left(b_{1}\right)$, let $\mathcal{S}_{b}$ be the stratum of the reduction of $\mathrm{Sh}_{K_{p}}(G, X)$ at $v$ consisting of points with type $b$.

Question. (i) Is the codimension of $\mathcal{S}_{\text {basic }}$ in the reduction of $\mathrm{Sn}_{K_{p}}(G, X)$ equal to the length of the poset $C_{\mathbb{Q}_{p}, R^{\vee}}^{\mu^{\natural}}$ ?
(ii) More generally, suppose that $b$ is an element of $B(G)$ such that $b \leq b_{1}$ and $\gamma(b)=$ $\gamma\left(b_{1}\right)$. Is the codimension $\operatorname{codim}\left(\mathcal{S}_{b}\right)$ of $\mathcal{S}_{b}$ equal to

$$
\text { length }_{C_{\mathbb{Q} p, R^{\vee}}^{\mu^{\natural}}}\left(\left[\bar{\nu}(b), \mu^{\natural}\right]\right)
$$

Remark. (i) In the Siegel case the Question (i) is answered affirmatively by the result of Li and Oort. The thesis work of Chia-Fu Yu confirms the question (i) in many cases of Shimura varieties of PEL-type.
(ii) One expects that the generic stratum of the reduction of $\mathrm{Sh}_{K_{p}}(G, X)$ at $v$ has type $b_{1}$. The work of Jeff Achter confirms that this for many cases of Shimura varieties of PEL-type even when the polarization in question is not principal.
(iii)The stratum with type $b_{1}$ generalizes the ordinary locus in the moduli space of principally polarized varieties. It has long been observed that abelian varieties of dimension $g$ with $f$ rank $g-1$ share many desirable properties with ordinary abelian varieties of dimension $g$. They are called "almost ordinary" abelian varieties by some authors. An analog of "almost ordinary" type in the present setting in terms of the Newton points exists when $\mu^{\natural}$ is an edge element: If this is the case, then there exists a unique maximal element in $C_{\mathbb{Q}_{p}, R^{\vee}}^{\mu^{\natural}-\left\{\mu^{\natural}\right\} \text {, }}$ namely $\sup \left(E_{F, R^{\vee}}^{\mu^{\natural}}\left(\mu^{\natural}\right)-\left\{\mu^{\natural}\right\}\right)$. This occurs often; for instance when $G$ is absolutely simple, or when $G$ is $\mathbb{Q}$-simple and the reflex field is equal to $\mathbb{Q}$.
(iv) Along the same train of thought, one may ask whether there exists a unique minimal element in $C_{\mathbb{Q}_{p}, R^{\vee}}^{\mu^{\natural}}-\{0\}$. The answer is yes in some situations, for instance when $G$ is absolutely simple of type $B$ or $C$ in the Dynkin classification. But the answer is no in many cases, for instance when $G$ is absolutely simple of type $A$.

## EXAMPLES

splict $C_{2}, C_{3}, C_{4}$

The fundamental coweights are

$$
\begin{gathered}
\omega_{1}^{\vee}=e_{1}, \omega_{2}^{\vee}=e_{2}, \ldots \\
\omega_{n-1}^{\vee}=e_{1}+\cdots+e_{n-1} \\
\mu=\omega_{n}^{\vee}=\frac{1}{2}\left(e_{1}+\cdots+e_{n}\right)
\end{gathered}
$$

and the simple coroots are

$$
\alpha_{1}^{\vee}=e_{1}-e_{2}, \ldots, \alpha_{n-1}^{\vee}=e_{n-1}-e_{n}, \alpha_{n}=e_{n}
$$

## split $C_{2}$

| $x \in C_{\mathbb{Z}}^{\mu}$ | slopes of $x$ | $y \in W \cdot \mu$ with $\pi_{\theta_{F}}=x$ |
| :---: | :---: | :---: |
| $\mu=\omega_{2}^{V}$ | $1,1,0,0$ | $\mu$ |
| $\frac{1}{2} \omega_{2}^{V}$ | $1, \frac{1}{2}, \frac{1}{2}, 0$ | $\mu$ |
| 0 | $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}$ | any elt. in $W \cdot \mu$ |

We have

$$
\mu \succ \frac{1}{2} \omega_{2}^{\vee} \succ 0
$$

In the present case $C_{F, R^{\vee}}^{\mu}$ coincides with $C_{F, \mathbb{Z}}^{\mu}$.

## split $C_{3}$

| $x \in C_{\mathbb{Z}}^{\mu}$ | slopes of $x$ | $y \in W \cdot \mu$ with $\pi_{\theta_{F}}=x$ |
| :---: | :---: | :---: |
| $\mu=\omega_{3}^{V}$ | $1,1,1,0,0,0$ | $\mu$ |
| $\frac{1}{2} \omega_{2}^{V}$ | $1,1, \frac{1}{2}, \frac{1}{2}, 0,0$ | $\mu$ |
| $\frac{1}{2} \omega_{1}^{V}$ | $1, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, 0$ | $\mu$ |
| $\frac{2}{3} \omega_{3}^{V}$ | $\frac{5}{6}, \frac{5}{6}, \frac{5}{6}, \frac{1}{6}, \frac{1}{6}, \frac{1}{6}$ | does not exist |
| $\frac{1}{3} \omega_{3}^{V}$ | $\frac{2}{3}, \frac{2}{3}, \frac{1}{3}, \frac{1}{3}, \frac{1}{3}, \frac{1}{3}$ | $\frac{1}{2}\left(e_{1}+e_{2}-e_{3}\right)$ |
| 0 | $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}$ | any elt. in $W \cdot \mu$ |

We have

$$
\mu \succ \frac{1}{2} \omega_{2}^{\vee} \succ \frac{1}{2} \omega_{1}^{\vee} \succ \frac{2}{3} \omega_{3}^{\vee} \succ \frac{1}{3} \omega_{3}^{\vee} \succ 0
$$

Except $\frac{2}{3} \omega_{3}^{\vee}$, all other elements of $C_{F, \mathbb{Z}}^{\mu}$ are in $C_{F, R^{\vee}}^{\mu}$. Clearly the Newton point $\frac{2}{3} \omega_{3}^{\vee}$ does not appear in the moduli space $\mathcal{A}_{3}$ of principally polarized abelian threefolds (when $F=\mathbb{Q}_{p}$ ), since its slopes sequence is not integral.

## split $C_{4}$

| $x \in C_{\mathbb{Z}}^{\mu}$ | slopes of $x$ | $y \in W \cdot \mu$ with $\pi_{\theta_{F}}=x$ |
| :---: | :---: | :---: |
| $\mu=\omega_{4}^{V}$ | $1,1,1,1,0,0,0,0$ | $\mu$ |
| $\frac{1}{2} \omega_{3}^{V}$ | $1,1,1, \frac{1}{2}, \frac{1}{2}, 0,0,0$ | $\mu$ |
| $\frac{1}{2} \omega_{2}^{V}$ | $1,1, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, 0,0$ | $\mu$ |
| $\frac{1}{3} \omega_{1}^{V}+\frac{1}{3} \omega_{4}^{\vee}$ | $1, \frac{2}{3}, \frac{2}{3}, \frac{2}{3}, \frac{1}{3}, \frac{1}{3}, \frac{1}{3}, 0$ | $\frac{1}{2}\left(e_{1}-e_{2}+e_{3}+e_{4}\right)$ |
| $\frac{1}{2} \omega_{1}^{V}$ | $1, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, 0$ | $\mu$ |
| $\frac{1}{2} \omega_{4}^{V}$ | $\frac{3}{4}, \frac{3}{4}, \frac{3}{4}, \frac{3}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}$ | $\frac{1}{2}\left(e_{1}-e_{2}+e_{3}+e_{4}\right)$ |
| $\frac{1}{6} \omega_{3}^{V}$ | $\frac{2}{3}, \frac{2}{3}, \frac{1}{3}, \frac{1}{2}, \frac{1}{2}, \frac{1}{3}, \frac{1}{3}, \frac{1}{3}$ | $\frac{1}{2}\left(e_{1}-e_{2}+e_{3}-e_{4}\right)$ |
| $\frac{1}{3} \omega_{3}^{V}$ | $\frac{5}{6}, \frac{5}{3}, \frac{5}{6}, \frac{1}{2}, \frac{1}{2}, \frac{1}{6}, \frac{1}{6}, \frac{1}{6}$ | does not exist |
| 0 | $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}$ | any et. of $W \cdot \mu$ |

The partial ordering of $C_{F, \mathbb{Z}}^{\mu}$ is as follows:

$$
\begin{gathered}
\mu \succ \frac{1}{2} \omega_{3}^{\vee} \succ \frac{1}{2} \omega_{2}^{\vee} \succ \frac{1}{3} \omega_{1}^{\vee}+\frac{1}{3} \omega_{4}^{\vee} \succ \\
\succ \frac{1}{2} \omega_{1}^{\vee} \\
\succ \frac{1}{3} \omega_{3}^{\vee} \succ \frac{1}{2} \omega_{4}^{\vee} \succ \frac{1}{6} \omega_{3}^{\vee} \succ 0
\end{gathered}
$$

The element $\frac{1}{3} \omega_{3}^{\vee}$ is not in $C_{F, R^{\vee}}^{\mu}$; all others are. Notice that $C_{F, \mathbb{Z}}^{\mu}$ is not ranked as a partially ordered set: Both $\frac{1}{3} \omega_{1}^{\vee}+\frac{1}{3} \omega_{4}^{\vee} \succ \frac{1}{2} \omega_{1}^{\vee} \succ \frac{1}{6} \omega_{3}^{\vee}$ and $\frac{1}{3} \omega_{1}^{\vee}+\frac{1}{3} \omega_{4}^{\vee} \succ \frac{1}{3} \omega_{3}^{\vee} \succ \frac{1}{2} \omega_{4}^{\vee} \succ \frac{1}{6} \omega_{3}^{\vee}$ are maximal chains between $\frac{1}{3} \omega_{1}^{\vee}+\frac{1}{3} \omega_{4}^{\vee}$ and $\frac{1}{6} \omega_{3}^{\vee}$. But $C_{F, R^{\vee}}^{\mu}$ is ranked, once the offending element $\frac{1}{3} \omega_{3}^{\vee}$ is removed from $C_{F, \mathbb{Z}}^{\mu}$.

# quasisplit non-split $A_{2}, A_{3}, A_{4}$ 

## quasisplit $A_{2}$

| $x \in C_{\mathbb{Z}}^{\nu_{1}}$ | slopes of $x$ | $y \in W \cdot \mu$ with $\pi_{\theta_{F}}=x$ |
| :---: | :---: | :---: |
| $\nu_{1}$ | $1,1, \frac{1}{2}, \frac{1}{2}, 0,0$ | $\mu$ |
| 0 | $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}$ | any elt. in $W \cdot \mu$ |

## quasisplit $A_{3}$

| $x \in C_{\mathbb{Z}}^{D_{1}}$ | slopes of $x$ | $y \in W \cdot \mu$ with $\pi_{\theta_{F}}=x$ |
| :---: | :---: | :---: |
| $\nu_{1}$ | $1,1, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, 0,0$ | $\mu$ |
| $\frac{1}{2} \omega_{2}^{V}$ | $\frac{3}{4}, \frac{3}{4}, \frac{3}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}$ | $\mu$ |
| 0 | $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}$ | any elt. in $W \cdot \mu$ |

$$
\nu_{1} \succ \frac{1}{2} \omega_{2}^{\vee} \succ 0
$$

quasisplit $A_{4}$

| $x \in C_{\mathbb{Z}}^{\nu_{1}}$ | slopes of $x$ | $y \in W \cdot \mu$ with $\pi_{\theta_{F}}=x$ |
| :---: | :---: | :---: |
| $\nu_{1}$ | $1,1, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, 0,0$ | $\mu$ |
| $\frac{1}{4}\left(\omega_{2}^{\vee}+\omega_{3}^{\vee}\right)$ | $\frac{3}{4}, \frac{3}{4}, \frac{3}{4}, \frac{3}{4}, \frac{1}{2}, \frac{1}{2}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}$ | $\mu$ |
| 0 | $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}$ | any lt. in $W \cdot \mu$ |

$$
\nu_{1} \succ \frac{1}{4}\left(\omega_{2}^{\vee}+\omega_{3}^{\vee}\right) \succ 0
$$

