

# $p$ -adic Modular Forms and Arithmetic

A conference in honor of

*Haruzo Hida*

on his 60th birthday

Hecke symmetry on  
PEL moduli varieties

Local Hecke  
symmetry

The global rigidity  
problem

Local rigidity  
problems

Known results,  
obstacles and hope

A glimpse of a new  
approach

The Lubin-Tate  
action

Universal  
isomorphism  
 $p$ -typical formal  
group laws

Sketch of the steps

The first test

六十而耳順

(I knew the truth in all I heard when I turned sixty. Confucious)

# HECKE SYMMETRY, RIGIDITY AND THE LUBIN-TATE ACTION

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UCLA, June 22, 2012

# Outline

- 1 Hecke symmetry on PEL moduli varieties
- 2 Local Hecke symmetry
- 3 The global rigidity problem
- 4 Local rigidity problems
- 5 Known results, obstacles and hope
- 6 A glimpse of a new approach
- 7 The Lubin-Tate action
- 8 Universal isomorphism  $p$ -typical formal group laws
- 9 Sketch of the steps
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# PEL type modular varieties

## I. An overview

A PEL type modular variety  $\mathcal{M}$  is the moduli space attached to a PEL input datum  $\mathcal{D} = (D, *, \mathcal{O}_D, H, \langle \cdot, \cdot \rangle, h)$ , whose points corresponds to abelian varieties with imposed symmetry  $(A, \rho : A \rightarrow A^t, \iota : \mathcal{O}_D \rightarrow \text{End}(A), \text{level structure})$  whose  $H_1$  are modeled on the linear algebra structure  $\mathcal{D}$ .

Fix a prime number  $p$ , *unramified* for the PEL datum  $\mathcal{D}$ . We will focus on the equal characteristic  $p$  situation unless otherwise specified:  $\mathcal{M}$  is a moduli space over  $\overline{\mathbb{F}}_p$ .

Let  $B = \text{End}_D(H)$ , with involution  $*_B$  induced by  $*$ . Let  $G = \text{SU}(B, *_B)$  (or  $\text{GU}(B, *_B)$ ).

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# Hecke symmetry

Let  $\tilde{\mathcal{M}}$  be the prime-to- $p$  tower for  $\mathcal{M}$ ; it is a profinite étale Galois cover of  $\mathcal{M}$  with group  $G(\hat{\mathbb{Z}}^{(p)})$ . The group  $G(\mathbb{A}_f^{(p)})$  operates on  $\tilde{\mathcal{M}}$ , inducing Hecke correspondences on  $\mathcal{M}$ .

**Example:**  $\mathcal{M} = \mathcal{A}_g$  = the moduli space classifying  $g$ -dimensional principally polarized abelian varieties,  $G = \mathrm{Sp}_{2g}$  (or  $\mathrm{GSp}_{2g}$ ).

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# Local Hecke symmetry

Given a point  $x \in \mathcal{M}(\overline{\mathbb{F}}_p)$ , corresponding to a quadruple  $(A_x, \rho_x : A_x \rightarrow A_x^t, \iota_x : \mathcal{O}_D \rightarrow \text{End}(A_x), \text{level structure})$ .

Let  $\mathcal{M}^{/x}$  be the formal completion of  $\mathcal{M}$  at  $x$ .

Let  $H_x := \text{U}(\text{End}_D^0(A_x), *_{\text{Ros}})(\mathbb{Z}_{(p)})$ , and let  $G_x := \text{U}(\text{End}_D^0(A_x[p^\infty]), *_{\text{Ros}})(\mathbb{Z}_p)$ .

The Serre-Tate deformation theorem implies that there is a natural action of the compact  $p$ -adic group  $G_x$  on  $\mathcal{M}^{/x}$ , by “changing the marking”.

This action can be regarded as a *local version* of the global Hecke symmetries.

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# Local stabilizer subgroups

We call  $G_x$  the *local stabilizer subgroup* at  $x$ . The group  $H_x$  can be thought of as the “**intersection**” of  $G_x$  with the global Hecke symmetries on  $\mathcal{M}$ .

**Lemma.** If a closed subvariety  $Z \subset \mathcal{M}$  is stable under all Hecke symmetries, then  $Z^{/x} \subset \mathcal{M}^{/x}$  is stable under the action of the  $p$ -adic closure of  $H_x$  in  $G_x$ .

**Examples.** For a “general”  $x \in \mathcal{A}_g(\overline{\mathbb{F}}_p)$  (in particular  $x$  is ordinary), the Zariski closure of  $H_x$  is a  $g$ -dimensional torus, while the Zariski closure of  $G_x$  is  $\mathrm{GL}_g$ .

For a supersingular point  $x \in \mathcal{A}_g(\overline{\mathbb{F}}_p)$ ,  $H_x$  is  $p$ -adically dense in  $G_x$ , and the Zariski closure of  $G_x$  is a twist of  $\mathrm{Sp}_{2g}$ .



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# The global rigidity problem

(Oort's Hecke orbit conjecture)

**Prediction.** Let  $Z \subset \mathcal{M}/\overline{\mathbb{F}}_p$  be a reduced closed subset of  $\mathcal{M}$  stable under all prime-to- $p$  Hecke correspondences.

Then  $Z$  contains the leaf  $C(x)$  passing through  $x$  for every point  $x \in Z(\overline{\mathbb{F}}_p)$ .

(Every Hecke-invariant closed subset of  $\mathcal{M}/\overline{\mathbb{F}}_p$  is a union of leaves; the latter can be regarded as “generalized Shimura subvarieties in char.  $p$ ”.)

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# Definition and examples of leaves

- A leaf  $C(x)$  in  $\mathcal{M}/\overline{\mathbb{F}}_p$  is the locus in  $\mathcal{M}/\overline{\mathbb{F}}_p$  where *all*  $p$ -adic invariants have the same “value” as those of  $x$ .
- The *ordinary* locus  $\mathcal{A}_g^{\text{ord}} \subset \mathcal{A}_g/\overline{\mathbb{F}}_p$  is a leaf in  $\mathcal{A}_g/\overline{\mathbb{F}}_p$ .
- The leaf passing through a *supersingular* point in  $\mathcal{A}_g$  is finite.
- The leaf passing through a point in  $\mathcal{A}_3$  corresponding to a 3-dimensional abelian variety with slopes  $\{1/3, 2/3\}$  is two-dimensional. Such leaves form a one-dimensional family in the slopes  $\{1/3, 2/3\}$  locus of  $\mathcal{A}_3$ .  
(The latter locus has dimension three.)

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# Strong forms of global rigidity problem

**Remark.** In application(s) to Iwasawa theory pioneered by Hida, certain strong versions of the global rigidity problem appear naturally:

- The assumption on  $Z$  is weakened to:  
 $Z$  is stable under the action of a “not-to-small” subset of Hecke correspondences.
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# Local rigidity problems

**Set-up.**  $Z \subset \mathcal{M}^{\wedge x}$  is a reduced closed formal subscheme of  $\mathcal{M}^{\wedge x}$ , stable under the action of a “not-too-small” subgroup of  $G_x$ .

**Restricted** local rigidity problem (to make it easier):  
Assume in addition that  $Z \subset C(x)^{\wedge x}$ .

**Desired conclusion.**  $Z$  has a (very) special form (e.g. defined by a finite collection of Tate cycles.)

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# Results on the restricted local rigidity problem

## II. Known results, obstacles and hope

**Proposition.** Restricted local rigidity holds for  $\mathcal{A}_g$ , in the case when  $A_x$  has only two slopes.

- $C(x)^{/x}$  has a natural structure as a torsor for an isoclinic  $p$ -divisible formal group  $X_x$ .
- If  $Z \subset C(x)^{/x}$  is stable under a not-too-small subgroup of  $G_x$ , then  $Z_x$  is a torsor for a  $p$ -divisible subgroup of  $X_x$ .

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# Restricted local rigidity: an example and consequences

**An example.** Let  $Z$  be an irreducible formal subscheme of a formal torus  $\hat{\mathbb{G}}_m^r$  over  $\overline{\mathbb{F}}_p$ . Suppose that  $Z$  is closed under the action of  $[1 + p^m]$  for some  $m \geq 2$ . Then  $Z$  is a formal subtorus of  $\hat{\mathbb{G}}_m^r$ . (exercise)

**Consequence** of restricted local rigidity:  
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# Results on global rigidity using the Hilbert trick

**Theorem.** Global rigidity holds for  $\mathcal{A}_g$ .

**Remarks.** (1) Besides the restricted local rigidity and monodromy arguments, the proof uses a **trick**:

Every point  $x \in \mathcal{A}_g(\overline{\mathbb{F}}_p)$  is contained in a Hilbert modular subvariety of  $\mathcal{A}_g$ .

(Global rigidity is substantially easier for these “small” modular varieties; see below.)

(2) This “Hilbert trick” **fails** for PEL modular varieties of type A or D.

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# A tantalizing dream

The **holy grail** for the rigidity problems (don't have better leads):

To pry **actionable intelligence** out of the action of the local stabilizing subgroup.

**Main obstacle:** Our poor understanding of this action (so cannot deploy enhanced interrogation techniques).

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# A glimpse of a new approach

We will explain a method to obtain an approximate (or even asymptotic) formula for the action of the local stabilizer subgroup, in the first non-trivial case,

where  $\mathcal{M}^{/x} = \text{Def}(G_0)$  is the Lubin-Tate moduli deformation space for a one-dimensional formal group  $G_0$  of finite height  $h$  over  $\overline{\mathbb{F}}_p$ .

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# Notation

Let  $h$  be a positive integer.

Let  $G_1$  be the one-dimensional formal group over  $\mathbb{Z}_{(p)}$  with logarithm

$$\sum_{j \in \mathbb{N}} p^{-j} x^{p^{jh}} = x + \frac{x^{p^h}}{p} + \frac{x^{p^{2h}}}{p^2} + \dots$$

(so it is a Lubin-Tate formal group for  $W(\mathbb{F}_{p^h})$ .)

Let  $G_0$  be the base extension to  $\overline{\mathbb{F}_p}$  of the closed fiber of  $G_1$ ; it is a one-dimensional formal group over  $\mathbb{F}_p$  of height  $h$ .

It is well-known that  $\text{End}(G_0)$  is the maximal order of  $\text{End}^0(G_0) =$  a central division algebra over  $\mathbb{Q}_p$  of dimension  $h^2$ .

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# The Lubin-Tate action

Let  $\mathcal{M}_h := \text{Def}(G_0)$ ; it is a smooth formal scheme over  $W(\overline{\mathbb{F}}_p)$  of relative dimension  $h - 1$ .

Let  $G_{\text{univ}} \rightarrow \mathcal{M}_h$  be the universal formal group over  $\mathcal{M}_h$ .

The compact  $p$ -adic group  $\text{Aut}(G_0) = \text{End}(G_0)^\times$  operates on  $\mathcal{M}_h$  by functoriality, as follows.

$\forall \gamma \in \text{Aut}(G_0)$ ,  $\exists!$  formal scheme automorphism  $\rho(\gamma)$  of  $\mathcal{M}_h$  and a formal group isomorphism

$$\tilde{\rho}(\gamma) : G_{\text{univ}} \rightarrow \rho(\gamma)^* G_{\text{univ}}$$

such that  $\tilde{\rho}(\gamma)|_{G_0} = \gamma$

**Remark.** This action  $\gamma \mapsto \rho(\gamma)$  of  $\text{Aut}(G_0)$  on the Lubin-Tate moduli space  $\mathcal{M}_h$  was first studied by Lubin and Tate in 1966. It is also known as (the essential part of) the *Morava stabilizer subgroup* action in chromatic homotopy theory.

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# The universal $p$ -typical formal group law

Let  $\tilde{R} = \mathbb{Z}_{(p)}[\underline{v}] = \mathbb{Z}_{(p)}[v_1, v_2, v_3, \dots]$ , and let  $\sigma : \tilde{R} \rightarrow \tilde{R}$  be the ring homomorphism such that  $\sigma(v_j) = v_j^p$  for all  $j \geq 1$

Let  $G_{\underline{v}}(x) \in \tilde{R}[[x, y]]$  be the one-dimensional  $p$ -typical formal group law over  $\tilde{R}$  whose logarithm

$$g_{\underline{v}}(x) \in \tilde{R}[1/p][[x]] = \sum_{n \geq 1} a_n(\underline{v}) \cdot x^{p^n}$$

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Remarks on the formal group law  $G_{\underline{v}}$ 

**Remarks.** (1) The above “functional equation” is a recursive formula for the coefficients  $a_n(\underline{v}) \in p^{-n} \cdot \mathbb{Z}_{(p)}[v_1, v_2, \dots, v_n]$  of  $g_{\underline{v}}(x)$ .

(2) Explicitly:

$$\begin{aligned} a_n(\underline{v}) &= \sum_{\substack{i_1, i_2, \dots, i_r \geq 1 \\ i_1 + \dots + i_r = n}} p^{-r} \cdot \prod_{s=1}^r v_{i_s}^{j_1 + i_2 + \dots + i_{s-1}} \\ &= \sum_{\substack{i_1, i_2, \dots, i_r \geq 1 \\ i_1 + \dots + i_r = n}} p^{-r} \cdot v_{i_1} \cdot v_{i_2}^{j_1} \cdot v_{i_3}^{j_1 + i_2} \cdots v_{i_r}^{j_1 + \dots + i_{r-1}} \end{aligned}$$

Note that  $a_n(\underline{v})$  is a homogeneous polynomial in  $v_1, \dots, v_n$  of weight  $p^n - 1$  when  $v_j$  is given the weight  $p^j - 1 \ \forall j \geq 1$ .

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# The universal formal group over $\mathcal{M}_h$ made explicit

Let  $R = R_h = W(\overline{\mathbb{F}}_p)[[w_1, w_2, \dots, w_{h-1}]]$ .

Let  $\pi = \pi_h : \tilde{R} \rightarrow R$  be the ring homomorphism such that

$$\pi(v_i) = \begin{cases} w_i & \text{if } 1 \leq i \leq h-1 \\ 1 & \text{if } i = h \\ 0 & \text{if } i \geq h+1 \end{cases}$$

The classifying morphism  $\mathrm{Spf}(R) \rightarrow \mathcal{M}_h$  for the deformation  $\pi_* G_{\underline{v}}$  of  $G_0$  is an isomorphism.

We will identify  $\mathcal{M}_h$  with  $\mathrm{Spf}(R)$  and the universal deformation  $G_{\mathrm{univ}}$  of  $G_0$  with the formal group underlying the formal group law  $G_R := \pi_* G_{\underline{v}}$ .

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# The universal strict isomorphism

Let  $\mathbb{Z}_{(p)}[\underline{v}, \underline{t}] = \mathbb{Z}_{(p)}[v_1, v_2, v_3, \dots; t_1, t_2, t_3, \dots]$ , and let  $\sigma : \mathbb{Z}_{(p)}[\underline{v}, \underline{t}] \rightarrow \mathbb{Z}_{(p)}[\underline{v}, \underline{t}]$  be the obvious Frobenius lifting as before, with  $\sigma(v_i) = v_i^p$  and  $\sigma(t_i) = t_i^p \forall i \geq 1$ .

Let  $G_{\underline{v}, \underline{t}}(x, y)$  be the one-dimensional formal group law over  $\mathbb{Z}_{(p)}[\underline{v}, \underline{t}]$  whose logarithm  $g_{\underline{v}, \underline{t}}(x)$  satisfies

$$g_{\underline{v}, \underline{t}}(x) = x + \sum_{i=1}^{\infty} t_i \cdot x^{p^i} + \sum_{j=1}^{\infty} \frac{v_j}{p} \cdot g_{\underline{v}, \underline{t}}^{(\sigma^j)}(x^{p^j})$$



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# The universal strict isomorphism, continued

It is known that  $\alpha_{\underline{v}, \underline{t}} := g_{\underline{v}, \underline{t}}^{-1} \circ g_{\underline{v}} \in \mathbb{Z}_{(p)}[\underline{v}, \underline{t}][[x]]$ , and defines a *strict isomorphism*

$$\alpha_{\underline{v}, \underline{t}} : G_{\underline{v}} \rightarrow G_{\underline{v}, \underline{t}}$$

between  $p$ -typical formal group laws over  $\mathbb{Z}_{(p)}[\underline{v}, \underline{t}]$ .

(A *strict* isomorphism is an isomorphism between formal group laws which is  $\equiv x$  modulo higher degree terms in  $x$ .)

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# Parameters of $G_{\underline{v}, \underline{t}}$

By the universality  $G_{\underline{v}}$  for  $p$ -typical formal group laws, there exists a unique ring homomorphism

$$\eta : \mathbb{Z}_{(p)}[\underline{v}] \rightarrow \mathbb{Z}_{(p)}[\underline{v}, \underline{t}]$$

such that

$$\eta_* G_{\underline{v}} = G_{\underline{v}, \underline{t}}.$$

The elements

$$\bar{v}_n = \bar{v}_n(\underline{v}, \underline{t}) \in \mathbb{Z}_{(p)}[\underline{v}, \underline{t}], \quad n \in \mathbb{N}_{\geq 1}$$

are the *parameters* of the  $p$ -typical formal group law  $G_{\underline{v}, \underline{t}}$ .

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# A known recursive formula for the parameters of

 $G_{\underline{v}, t}$ 

$$\begin{aligned}\bar{v}_n &= v_n + p t_n + \sum_{\substack{i+j=n \\ i,j \geq 1}} (v_j t_i^{p^j} - t_i \bar{v}_j^{p^i}) \\ &+ \sum_{j=1}^{n-1} a_{n-j}(\underline{v}) \cdot \left( v_j^{p^{n-j}} - \bar{v}_j^{p^{n-j}} \right) \\ &+ \sum_{k=2}^{n-1} a_{n-k}(\underline{v}) \cdot \sum_{\substack{i+j=k \\ i,j \geq 1}} \left( v_j^{p^{n-k}} t_i^{p^{n-i}} - t_i^{p^{n-k}} \bar{v}_j^{p^{n-j}} \right)\end{aligned}$$

(This formula contains high power of  $p$  in the denominators. Consequently it is not very useful for our purpose.)

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(This formula contains high power of  $p$  in the denominators. Consequently it is not very useful for our purpose.)



# An integral recursion formula for $\bar{v}_n(\underline{v}, \underline{t})$

(useful for computing the Lubin-Tate action)

$$\begin{aligned}\bar{v}_n &= v_n + p t_n - \sum_{j=1}^{n-1} t_j \cdot \bar{v}_{n-j}^{p^j} + \\ &+ \sum_{l=1}^{n-1} v_l \sum_{k=1}^{n-l-1} \frac{1}{p} \cdot a_{n-k-l}(\underline{v})^{(p^l)} \cdot \left\{ (\bar{v}_k^{(p^l)})^{p^{n-l-k}} - (\bar{v}_k^{p^l})^{p^{n-l-k}} \right. \\ &\quad \left. + \sum_{\substack{i+j=k \\ i,j \geq 1}} t_j^{p^{n-k}} \left[ (\bar{v}_i^{(p^l)})^{p^{n-l-i}} - (\bar{v}_i^{p^l})^{p^{n-l-i}} \right] \right\} \\ &+ \sum_{l=1}^{n-1} v_l \cdot \left\{ \frac{1}{p} (\bar{v}_{n-l}^{(p^l)} - \bar{v}_{n-l}^{p^l}) + \sum_{\substack{i+j=n-l \\ i,j \geq 1}} t_j^{p^l} \cdot \frac{1}{p} \cdot \left[ (\bar{v}_i^{(p^l)})^{p^j} - (\bar{v}_i^{p^l})^{p^j} \right] \right\}\end{aligned}$$

for every  $n \geq 1$ .

# Step 1

Given an element  $\gamma \in \text{Aut}(G_0)$ , construct

- a  $p$ -typical one-dimensional formal group law  $F = F_\gamma$  over  $R$  whose closed fiber is equal to  $G_0$ , and
- an isomorphism

$$\bar{\Psi} = \bar{\Psi}_\gamma : F_{\bar{R}} \rightarrow G_{\bar{R}}$$

over  $\bar{R} := R/pR = \overline{\mathbb{F}}_p[[w_1, \dots, w_{h-1}]]$  whose restriction to the closed fibers is

$$(\bar{\Psi}|_{G_0} : G_0 \rightarrow G_0) = \gamma.$$

Here  $F_{\bar{R}} = F \otimes_R \bar{R}$ ,  $G_{\bar{R}} = G_R \otimes_R \bar{R}$ .

Note that both the formal group law  $F$  over  $R$  and the isomorphism  $\bar{\Psi}$  over  $\bar{R}$  depends on the given element  $\gamma \in \text{Aut}(G_0)$ .

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The formal group law  $F_c$ ,  $c \in W(\mathbb{F}_{p^h})^\times$ 

For  $\gamma = [c] \in W(\mathbb{F}_{p^h})^\times = \text{Aut}(G_1)$ , we can take  $F_c$  to be the formal group over  $R$  whose logarithm  $g_c(x)$  satisfies

$$f_c(x) = x + \sum_{i=1}^h \frac{c^{-1+\sigma^i} \cdot w_i}{p} \cdot f_c^{(\sigma^i)}(x^{p^i})$$

( $w_h=1$  by convention).

Let

$$\psi_c(x) = \log_{G_R}^{-1} \circ (c \cdot f_c)$$

We have  $\psi_c(x) \in R[[x]]$  and  $\psi_c$  defines an isomorphism from  $F_c$  to  $G_R$  over  $R$  (not just over  $\bar{R}$ !) with  $\psi_c|_{G_0} = [c]$ .

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## Step 2

Compute the parameters

$$(u_i = u_i(w_1, \dots, w_{h-1}))_{i \in \mathbb{N}_{\geq 1}}$$

for the  $p$ -typical group law  $F = F_\gamma$  over  $R$ .

The above condition means that

$$\xi_* G_{\tilde{v}} = F,$$

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# Parameters for $F_c$ , $c \in W(\mathbb{F}_{p^h})^\times$

In the case when  $\gamma \in \text{Aut}(G_0)$  lifts to an element  $[c]$  with  $c \in W(\mathbb{F}_{p^h})^\times \simeq \text{Aut}(G_1)$ , we have the following integral recursive formula for the parameters  $u_n = u_n(c; \underline{w})$ .

$$\begin{aligned} u_n(c; \underline{w}) &= c^{-1+\sigma^n} w_n \\ &+ \sum_{j=1}^{n-1} c^{-1+\sigma^j} \cdot \frac{1}{p} \left[ u_{n-j}(c; \underline{w})^{(p^j)} - u_{n-j}(c; \underline{w})^{p^j} \right] \cdot w_j \\ &+ \sum_{j=1}^{n-1} \sum_{i=1}^{n-j-1} \frac{1}{p} a_{n-i-j}(\underline{w})^{(p^j)} \cdot c^{-1+\sigma^{n-i}} \\ &\quad \left[ (u_i(c; \underline{w})^{(p^j)})^{p^{n-i-j}} - (u_i(c; \underline{w})^{p^j})^{p^{n-i-j}} \right] \cdot w_j \end{aligned}$$

where  $w_h = 1$ ,  $w_m = 0 \forall m \geq h+1$  by convention.

# Parameters for $F_c$ , continued

Remark. The above recursive formula for the parameters  $u_n(c; \underline{w})$  can be turned into an explicit “path sum” formula for  $u_n(c, \underline{w})$ , with terms indexed by “paths”.

# Step 3

Find/compute the uniquely determined element

$$\tau_n \in \mathfrak{m}_R, \quad n \in \mathbb{N}_{\geq 1}$$

and

$$\hat{u}_1 \in \mathfrak{m}_R, \dots, \hat{u}_{h-1} \in \mathfrak{m}_R, \hat{u}_h \in 1 + \mathfrak{m}_R$$

such that

$$\bar{v}_n(\hat{u}_1, \hat{u}_2, \dots, \hat{u}_h, 0, 0, \dots; \underline{\tau}) = u_n \quad \forall n \geq 1.$$

**Remark.** (1) The existence and uniqueness statement above is an application the implicit function theorem for an infinite dimensional space over  $\tilde{K}$ , applied to the “vector-valued” function with components  $\bar{v}_n$  in the integral recursion formula discussed before.

(2) This step is a substitute for the operation *taking the quotient of the group “changes of coordinates”* in a space of formal group laws.

(3) The approximate solution coming from the linear term in the  $\tau_j$  variables is often good enough for our application.

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# A congruence formula for $\bar{v}_n$

The follow formula helps to explain the last remark.

$$\begin{aligned}\bar{v}_n \equiv & v_n - \sum_{j=1}^n t_j \cdot v_{n-j}^{p^j} \\ & + \sum_{\substack{i,j,t,s_1,s_2,\dots,s_t \geq 1 \\ s_1+\dots+s_t+i+j=n}} (-1)^{t-1} t_i \cdot v_j^{p^i} \cdot v_1^{(p^{s_1}+p^{s_2}+\dots+p^{s_t}-t)/(p-1)} \\ & \cdot v_{n-s_1}^{p^{s_1}-1} \cdot v_{n-s_1-s_2}^{p^{s_2}-1} \cdots v_{n-s_1-\dots-s_t}^{p^{s_t}-1} \\ & \text{mod } (pt_a, t_a \cdot tb)_{a,b \geq 1} \mathbb{Z}[\underline{v}, \underline{t}]\end{aligned}$$



## Step 4

**Rescale**  $\hat{u}_1, \hat{u}_2, \dots, \hat{u}_h$  as follows:

$\exists!$   $\tau_0 \in \mathfrak{m}_R$  such that

$$(1 + \tau_0)^{p^h - 1} \cdot \hat{u}_h = 1.$$

Let

$$\hat{v}_i := (1 + \tau_0)^{p^i - 1} \cdot \hat{u}_i \quad \text{for } i = 1, \dots, h - 1.$$

Let  $\omega : \tilde{R} \rightarrow R$  be the ring homomorphism such that

$$\omega(v_i) = \hat{u}_i \quad \forall i \geq 1.$$

Let  $\rho : R \rightarrow R$  be the  $W(\overline{\mathbb{F}}_p)$ -linear ring homomorphism such that

$$\rho(w_i) = \hat{v}_i \quad \forall i \geq 1.$$

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## Step 4

**Rescale**  $\hat{u}_1, \hat{u}_2, \dots, \hat{u}_h$  as follows:

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# The meaning of Steps 3 and 4

The universal strict isomorphism  $\alpha_{\underline{v}, t}$  specializes to a strict isomorphism

$$\alpha = \alpha_{\hat{u}, \tau} : F \rightarrow \omega_* G_{\underline{v}}$$

with  $\alpha|_{G_0} = \text{Id}_{G_0}$ .

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Hecke symmetry on  
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Local Hecke  
symmetry

The global rigidity  
problem

Local rigidity  
problems

Known results,  
obstacles and hope

A glimpse of a new  
approach

The Lubin-Tate  
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# Conclusion

Combined with  $\bar{\psi}$ , we obtain an isomorphism

$$\bar{\psi} \circ \bar{\alpha}^{-1} \circ \bar{\beta}^{-1} : \bar{\rho}_* G_{\bar{R}} \rightarrow G_{\bar{R}}$$

whose restriction to the closed fiber  $G_0$  is equal to the given element  $\gamma \in \text{Aut}(G_0)$ .

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**Conclusion.** The given element  $\gamma \in \text{Aut}(G_0)$  operates on the equi-characteristic deformation space  $\text{Spf}(\bar{R})$  of  $G_0$  via the ring automorphism  $\rho$ .

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# Local rigidity for the Lubin-Tate moduli space: the first non-trivial case

**Proposition.** Let  $Z \subset \mathcal{M}_3_{\overline{\mathbb{F}}_p} = \mathrm{Spf}(\overline{\mathbb{F}}_p[[w_1, w_2]])$  be an irreducible closed formal subscheme of  $\mathcal{M}_3$  over  $\overline{\mathbb{F}}_p$  corresponding to a height one prime ideal of  $\overline{\mathbb{F}}_p[[w_1, w_2]]$ . If  $Z$  is stable under the action of an open subgroup of  $W(\overline{\mathbb{F}}_p)^\times$ , then  $Z = \mathrm{Spf}(\overline{\mathbb{F}}_p[[w_1, w_2]]/(w_1))$ .

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