

Remarks on Fully Extended 3-Dimensional Topological Field Theories

Dan Freed

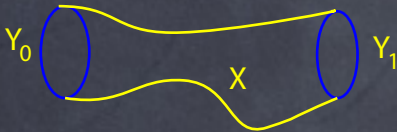
University of Texas at Austin

June 6, 2011

Work in progress with Constantin Teleman

Manifolds and Algebra: Abelian Groups

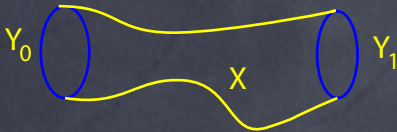
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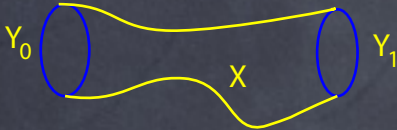


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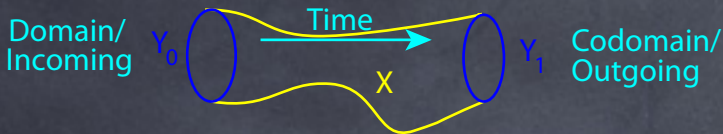
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Many applications in homotopy theory: (i) framed bordism groups are stable homotopy groups of spheres (Pontrjagin-Thom construction); (ii) complex cobordism is universal among certain cohomology theories (Quillen)

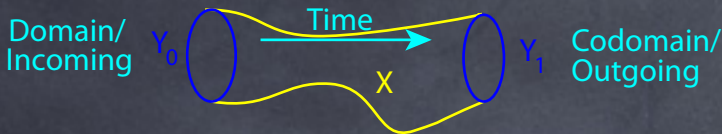
Manifolds and Algebra: Symmetric Monoidal Categories

A more elaborate algebraic structure is obtained if we (i) do not identify bordant manifolds and (ii) remember the bordisms. So fix n and introduce a **bordism category** Bo_n whose objects are closed $(n - 1)$ -manifolds and morphisms are compact n -manifolds $X: Y_0 \rightarrow Y_1$

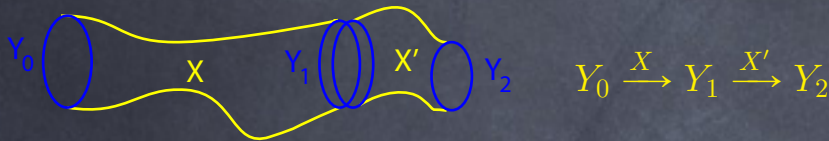


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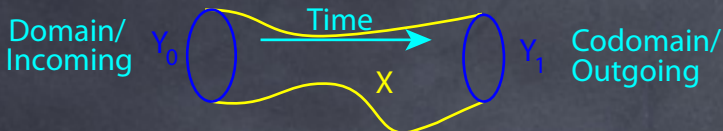


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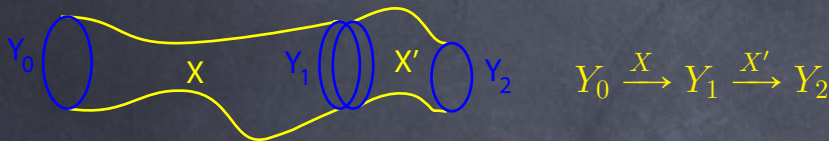


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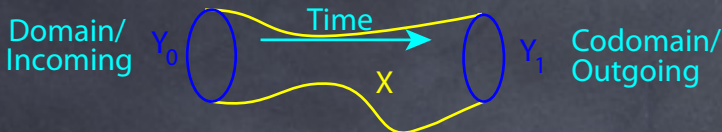
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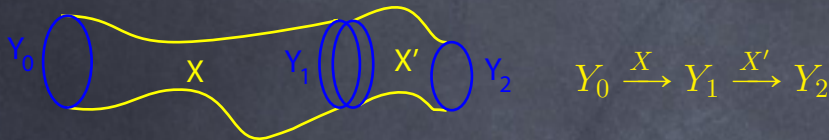
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Recover the abelian group Ω_{n-1} by declaring all morphisms to be invertible=*isomorphisms*. New information from non-invertibility.

Topological Quantum Field Theory

$\mathbf{Vect}_{\mathbb{C}}$ = symmetric monoidal category (\otimes) of complex vector spaces.

Definition: An n -dimensional TQFT is a homomorphism

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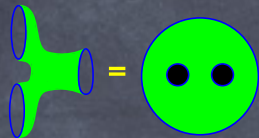
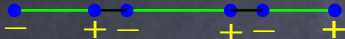
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E_n -algebra structure on $S^{n-1} \in \mathbf{Bo}_n$ via the generalized “pair of pants”:

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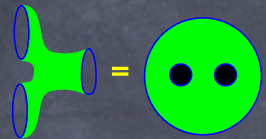
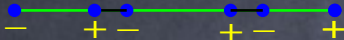
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Therefore, $F(S^{n-1}) \in \mathbf{Vect}_{\mathbb{C}}$ is also an E_n -algebra (OPE)

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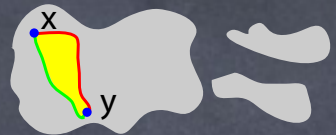
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α factors through MT spectrum \Rightarrow homotopy theory techniques

Extended Field Theories

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Partial results in special cases (**F.**, **Walker**, **F.-Hopkins-Lurie-Teleman**, **Kapustin-Saulina**, **Bartels-Douglas-Henriques**).

Manifolds and Algebra: (∞, n) -Categories

A new algebraic gadget: the **bordism (∞, n) -category Bord_n** .

Bo_n : $(n - 1)$ -manifolds and n -manifolds with boundary

Bord_n : 0-, 1-, \dots , n -manifolds with corners

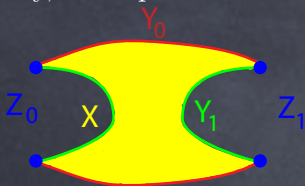
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$$Z_0 \begin{array}{c} \xrightarrow{Y_0} \\ \Downarrow X \\ \xleftarrow{Y_1} \end{array} Z_1$$

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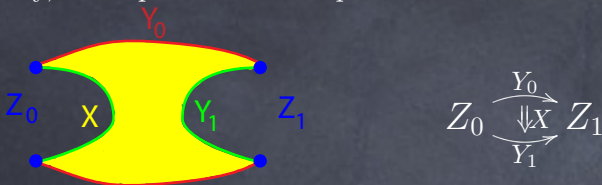
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For example, if $n = 3$ then typically $F(S^1)$ is a \mathbb{C} -linear category, also an E_2 -algebra. $E_2(\mathbf{Cat}_{\mathbb{C}}) = \beta^{\otimes} \mathbf{Cat}_{\mathbb{C}}$ are **braided tensor categories**.

The Cobordism Hypothesis

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Theorem: For framed manifolds the map

$$\begin{aligned} \mathrm{Hom}(\mathrm{Bord}_n, \mathcal{C}) &\longrightarrow \mathcal{C} \\ F &\longmapsto F(\mathrm{pt}) \end{aligned}$$

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Full dualizable is a **finiteness** condition. For example, in a TQFT the vector spaces attached to closed $(n - 1)$ -manifolds are finite dimensional. In an extended theory $F(\mathrm{pt})$ satisfies analogous finiteness conditions.

Spheres and Invertibility

Theorem (F.-Teleman): Let $\alpha: \text{Bord}_n \rightarrow \mathcal{C}$ be an extended TQFT such that $\alpha(S^k)$ is invertible. Then if $n \geq 2k$ the field theory α is invertible.

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Remark 3: As I explain later we apply this to $n = 4$, $k = 2$, and $\mathcal{C} = \beta \otimes \mathbf{Cat}_{\mathbb{C}}$ the symmetric monoidal 4-category of braided tensor categories. Then α is the **anomaly** theory for Chern-Simons, and we construct Chern-Simons as a 0-1-2-3 *anomalous* theory.

Proof Sketch

First, by the cobordism hypothesis (easy part) it suffices to prove that $\alpha(\text{pt}_+)$ is invertible; '+' denotes the orientation. We omit ' α ' and simply say ' pt_+ is invertible'.

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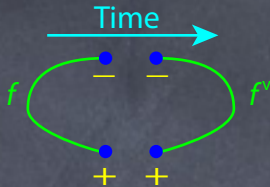
We prove the 0-manifolds pt_+ and pt_- are inverse:

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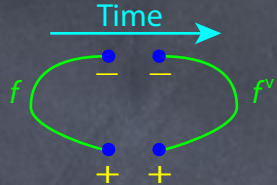
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We arrive at a statement about 1-manifolds: the compositions

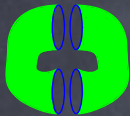
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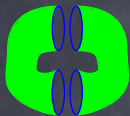
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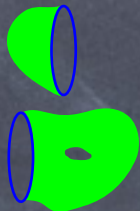
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Apply the lemma to the 2-morphisms

$$g = D^2: 1 \longrightarrow S^1$$

$$h = S^1 \times S^1 \setminus D^2: S^1 \longrightarrow 1$$

Conclude that $S^1 \cong 1$ and $S^2 = g^\vee \circ g$ is invertible.
 Also, $g \circ g^\vee = \text{id}_{S^1} \otimes S^2$, a simple surgery.



Recall that we must prove that the compositions

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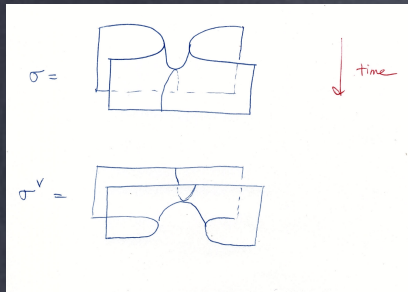


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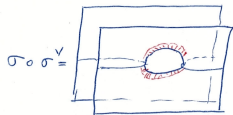
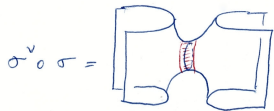


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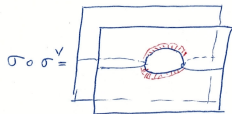
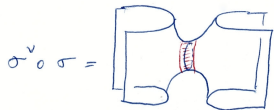
$\sigma : f \circ f^\vee \rightarrow \text{id}_{S^0}$ is an isomorphism with inverse $\sigma^\vee \otimes S^2$.



The saddle σ is diffeomorphic to $D^1 \times D^1$, which is a manifold with corners. Its dual σ^\vee is the time-reversed bordism.

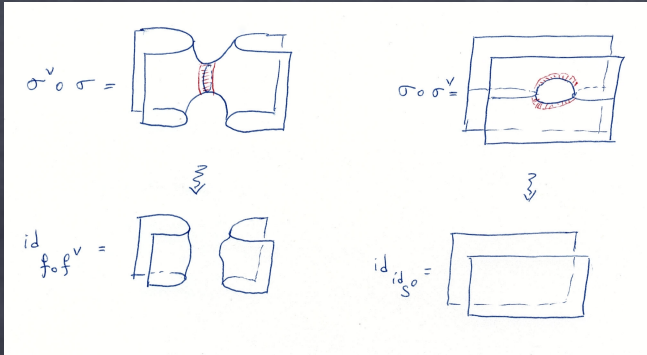


Inside each composition $\sigma^\vee \circ \sigma$ and $\sigma \circ \sigma^\vee$ we find a cylinder $\text{id}_{S^1} = D^1 \times S^1$, which is $(S^2)^{-1} \otimes g \circ g^\vee = (S^2)^{-1} \otimes (S^0 \times D^2)$ by a previous argument. Making the replacement we get the desired isomorphisms to identity maps.



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This completes the proof of the theorem in $n = 2$ dimensions.

In higher dimensions we see a kind of **Poincaré duality** phenomenon: we prove invertibility by assuming it in the middle dimension. A new ingredient—a dimensional reduction argument—also appears.

Application to Modular Tensor Categories

Let F denote the usual quantum Chern-Simons 1-2-3 theory for some gauge group G . It was introduced by **Witten** and constructed by **Reshetikhin-Turaev** from quantum group data. The latter construction works for any **modular tensor category** A , a braided tensor category which satisfies **finiteness** conditions (semisimple with finitely many simples, duality, etc.) and a **nondegeneracy** condition (the S matrix is invertible). Then F is a 1-2-3 theory with $F(S^1) = A$.

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Let A be a braided tensor category with braiding $\beta(x, y): x \otimes y \rightarrow y \otimes x$.

Theorem: The nondegeneracy condition on A is equivalent to

$$\{x \in A : \beta(y, x) \circ \beta(x, y) = \text{id}_{x \otimes y} \text{ for all } y \in A\} = \{\text{multiples of } 1 \in A\}.$$

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Recall that $\beta \otimes \text{Cat}_{\mathbb{C}} = E_2(\text{Cat}_{\mathbb{C}})$.



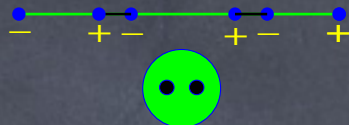
Braided tensor categories form the objects of a 4-category!

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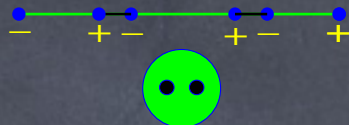
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So, given sufficient finiteness, a braided tensor category determines (using the cobordism hypothesis) an extended 4-dimensional TQFT

$$\alpha: \mathbf{Bord}_4 \rightarrow \beta \otimes \mathbf{Cat}_{\mathbb{C}}$$

In the theory α we compute

$$\alpha(S^2) = \{x \in A : \beta(y, x) \circ \beta(x, y) = \text{id}_{x \otimes y} \text{ for all } y \in A\} \in \mathbf{Cat}_{\mathbb{C}}$$

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We believe that this is the **anomaly theory** for a 0-1-2-3 extension of the 1-2-3 theory F with $F(S^1) = A$. In the remainder of the lecture I will explain this idea.

Anomalous Field Theories

The top-level values of an n -dimensional field theory $F: \mathbf{Bo}_n \rightarrow \mathbf{Vect}_{\mathbb{C}}$ are complex numbers $F(X^n) \in \mathbb{C}$, the *partition function* of a closed n -manifold. In an **anomalous field theory** f there is a complex line L_X associated to X and the partition function $f(X) \in L_X$ lies in that line.

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f is an n -dimensional theory with values in the $(n + 1)$ -dimensional theory α . We write $f: 1 \rightarrow \alpha$ in the sense that $f(X): 1 \rightarrow \alpha(X)$ for all X . (1 is the trivial theory.) If α is invertible we say **f is anomalous with anomaly α .** The same ideas apply in *extended* field theories.

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Remark: The notion of α -valued field theory makes sense even if α is not invertible and also for non-topological field theories. Examples: (i) $n = 2$ chiral WZW valued in topological Chern-Simons, (ii) $n = 6$ (0,2)-(super)conformal field theory valued in a 7-dimensional theory.

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Remark: This is a specialization of the notion of a **domain wall**.

Fully Extended Chern-Simons

Recall that a modular tensor category A determines an invertible extended field theory $\alpha: \mathbf{Bord}_4 \rightarrow \beta^{\otimes} \mathbf{Cat}_{\mathbb{C}}$ with values in the 4-category of braided tensor categories, or equivalently E_2 -algebras in $\mathbf{Cat}_{\mathbb{C}}$.

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For example, the composition $1 \xrightarrow{f(S^1)} \alpha(S^1) \xrightarrow{\alpha(D^2)} 1$ is $F(S^1) = A$, where the bordism $D^2: S^1 \rightarrow 1$ is used to trivialize the anomaly on S^1 .