

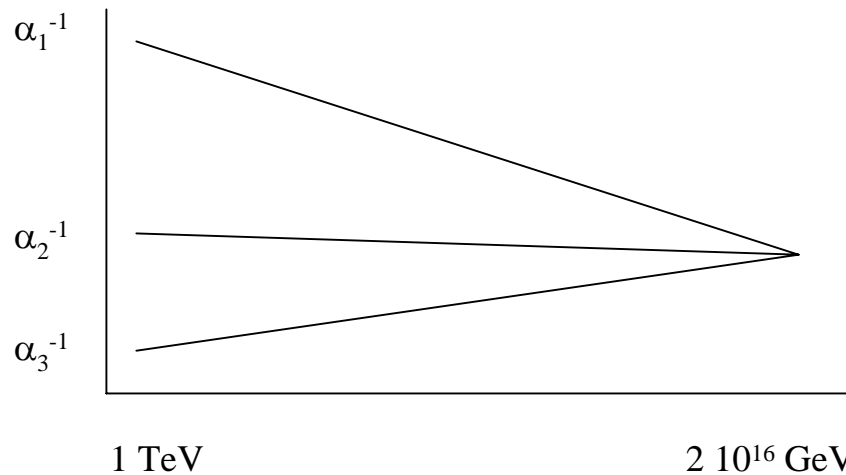
# Breaking GUTs in F-Theory

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Based on: R. Donagi and MW, [arXiv:0802.2969](https://arxiv.org/abs/0802.2969) [hep-th]  
MW & RD, in progress

Motivation: gauge coupling unification.



Unification at the GUT scale?

$$SU(3) \times SU(2) \times U(1) \subset SU(5) \subset SO(10) \subset E_6$$

But: traditional D-brane models have trouble with GUT groups.

- $SO(10)$  GUT: no **16** (spinor representation)
- $SU(5)$  GUT: top quark Yukawa from **10** x **10** x **5<sub>h</sub>**.

Since  $SU(5) \rightarrow U(5)$ , must be non-perturbative *and* order one.

Such restrictions may be evaded if we have exceptional gauge symmetry.

Exceptional gauge symmetry in string theory:

10 dimensions

Heterotic string

9 dimensions

Strongly coupled Type I'

8 dimensions

F-theory on ALE  $\implies$   $CY_4$  compactifications

7 dimensions

M-Theory on ALE  $\implies$   $G_2$  compactifications

Existence? Does not follow from Het/M duality

(Eg. D-terms are not isomorphic)

6 dimensions

IIa on ALE/IIb with NS5

No chiral matter  
in 4D



## Stringy cosmic strings in four dimensions

Consider 
$$S^{(4)} = \int_{R^4} \sqrt{g} \left[ R - \frac{1}{2} \frac{d^2 \tau}{\text{Im}(\tau)^2} \right]$$

This is a reduction of 
$$S^{(6)} = \int_{R^4 \times T^2} \sqrt{g} R$$

Here  $\tau$  is the complex structure of  $T^2$ , defined up to  $\text{PSl}(2, \mathbb{Z})$  transformations.

Recipe for constructing solitons (vortices, “cosmic strings”) of  $S^{(4)}$ :

Greene/Shapere/Vafa/Yau

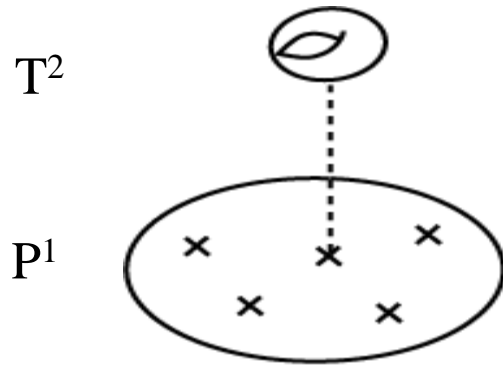
Choose complex coordinate  $z$  on  $R^4$

$$ds^2 = -dt^2 + dx^2 + e^{\varphi(z, \bar{z})} dz d\bar{z}$$

$$e^{\varphi} = \text{Im}(\tau) \eta^2 \bar{\eta}^2 \left| \prod_{i=1}^N (z - z_i)^{-1/12} \right|^2 \quad \Rightarrow \quad \text{CY metric on } (T^2 \rightarrow M_4)$$

$$\bar{\partial} \tau(z, \bar{z}) = 0, \quad j(\tau(z)) = \frac{P(z)}{Q(z)} \quad \text{rational}$$

Main example: elliptically fibered K3 surface



24 singular fibers

Monodromy around singular fibers:

$$\tau \rightarrow e^Q \tau \quad Q = \begin{pmatrix} 0 & p \\ -q & 0 \end{pmatrix}$$

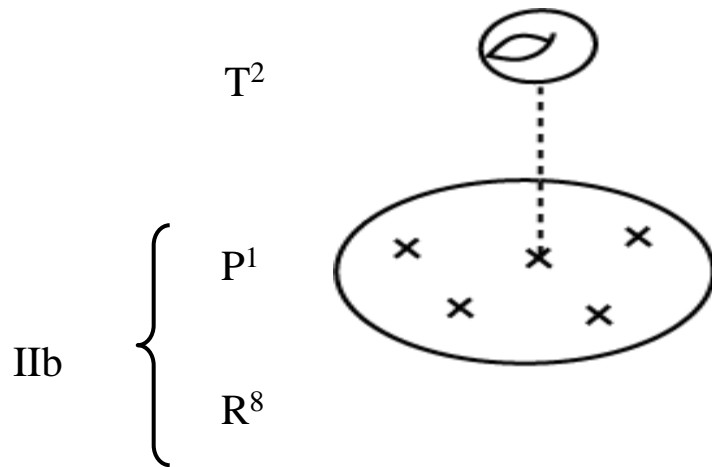
$\Rightarrow$  24 cosmic strings on  $\mathbb{R}^2 \times \mathbb{P}^1$

## Seven-branes in IIB string theory

$$S^{(10)} = \int_{R^{10}} \sqrt{g} \left[ R - \frac{1}{2} \frac{d^2 \tau}{\text{Im}(\tau)^2} \right] + \dots$$

Here  $\tau = \frac{i}{g_s} + a_{RR}$  and is defined mod  $\text{PSl}(2, \mathbb{Z})$

$\Rightarrow$  Use stringy cosmic string to construct BPS 7-branes solutions in IIB string theory



Monodromy around singular fibers:

$$\tau \rightarrow K_{[p,q]} \tau \quad K_{[p,q]} = \begin{pmatrix} 1 + pq & p^2 \\ -q^2 & 1 - pq \end{pmatrix}$$

$\Rightarrow$  (p,q) 7-brane

7-branes are *mutually non-local* if  $\begin{vmatrix} p_1 & q_1 \\ p_2 & q_2 \end{vmatrix} \neq 0$

This 12-dimensional construction is called F-Theory

## Abelian Gauge fields

The U(1) gauge fields on 7-branes are collective coordinates constructed from  $B_{NS}^{(2)}$ ,  $C_{RR}^{(2)}$

In turn,  $B_{NS}^{(2)}$ ,  $C_{RR}^{(2)}$  are most naturally encoded in a 3-form  $C^{(3)}$  living in 12 dimensions, with one leg on the  $T^2$ :

$$C^{(3)} \sim (C_{RR} - \tau B_{NS}) \wedge (dx + \tau dy) + c.c. \quad \text{Gukov/Vafa/Witten}$$

Therefore U(1) gauge fields come from harmonic 2-forms with one leg on  $T^2$ :

$$C_{\mu\nu\lambda}^{(3)} = A_{\mu}^I \wedge \omega_{I,\nu\lambda}$$

The divergence  $G_4 = dC_3$  is often called the *G-flux*.

## Non-abelian Gauge fields

Colliding 7-branes lead to ALE singularities of the  $T^2$ -fibration

ADE singularity  $\implies$  ADE enhanced gauge symmetry

The non-abelian gauge bosons are BPS string junctions

## How do we get (charged) chiral matter in IIB string theory?

Take two 7-branes intersecting over  $\mathbb{R}^4 \times \Sigma$  with fluxes  $F_1$  and  $F_2$  ( $\Sigma$  a Riemann surface)

Net number of chirals on  $\mathbb{R}^4$  charged under  $U(N_1) \times U(N_2)$  is given by

$$\text{Net chiral} = \frac{1}{2\pi} \int_{\Sigma} F_1 - F_2$$

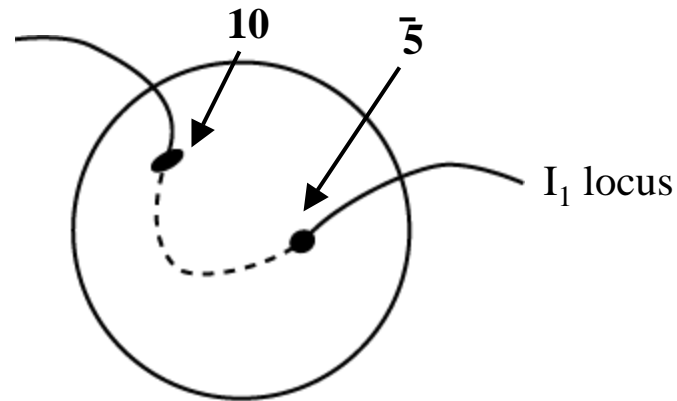
If N=1 SUSY in 4D is preserved, can also compute actual number of chirals (not just net number)

In order to generalize this to F-theory, need to address the following:

- \* Branes are not necessarily mutually local (get more interesting matter representations)
- \* Allowed 7-brane worldvolume fluxes are encoded in G-flux

For details, see Donagi/Wijnholt (see also Beasley/Heckman/Vafa, Watari et al.)

These ingredients allow one to construct GUT models with  $SU(5)$  (or  $SO(10)$ ) gauge group



$SU(5)$  brane wrapping compact  $S_4$

Bulk chiral matter on  $SU(5)$  brane also allowed; see Donagi/Wijnholt, Beasley/Heckman/Vafa

But how does one break the GUT group?

## Breaking the GUT group:

- \* Adjoint matter: in principle allowed in F-theory, but undesirable 4D phenomenology
- \* Discrete Wilson lines on 7-brane worldvolume. But discrete symmetries have fixed points, leading to singularities on the 7-brane worldvolume
- \* GUT breaking by U(1) fluxes

Allowed a priori, though some subtleties in implementation (in progress).

But at least toy models with 3 net generations, SM gauge group and primitive G-flux seem to be available.

## Some of the issues with breaking by U(1) fluxes (in progress)

\* coupling to closed string axions:

$$\mathcal{L} \approx \int_{\mathcal{R}^4} d^4 x (\Pi_M A_\mu^Y + \partial a_M)^2$$

where  $\Pi_M = \int_{Y_4} G \wedge \omega_Y \wedge \beta_M$  and  $C_{RR}^{(4)} = a_M \wedge * \beta^M$

So  $A_\mu^Y$  picks up a mass unless  $\Pi_M = 0$  for all  $M$

$\Rightarrow$  Topological constraint on UV completion (i.e. compactification)

This is similar to Buican/Malyshev/Morrison/Verlinde/MW.

\* Simple suggestion:  $G \sim \alpha \wedge \omega_Y$ ,  $\alpha \in \text{CoKer}(i^*) : H^{1,1}(Y_4) \rightarrow H^{1,1}(S)$

Ruled out: gives wrong spectrum.

Need more general G-fluxes, similar to heterotic  $U(n) \times U(1)$  constructions; it appears they can be chosen primitive (so that the D-terms are satisfied).

\* Need higher derivative ( $\text{Tr}(F^4)$ ) and KK threshold corrections to be small.

Summary:

- All the ingredients for GUT model building are available in F-theory:

GUT groups, chiral matter, Yukawa couplings, GUT breaking.

- Local model building  $\Rightarrow$  more flexible than heterotic string
- Toy models, but completely realistic model not yet constructed
- Coupling to 4D gravity while satisfying phenomenological constraints will be challenging.