



**Dark Energy Theorems  
and  
Curious Corollaries**

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& PJS, to appear**

complement but NOT directly related to:

no-go theorems based on SuSY, SuGRA  
or based on  $\varepsilon$  or  $\eta$  problems  
or constructions leading to string landscape

more closely related to:

constraints on static deS and assuming SEC ( $\rho+3p>0$ ):

G. Gibbons (1985)

J. Maldacena & C. Nunez (2001)

S. Giddings, S. Kachru and J. Polchinski (2002)

S. Giddings and A. Maharana (2005)

Carroll, Geddes, Hoffman, Wald (2002)

directly related to:

D. Wesley, [arXiv:0802.2106](https://arxiv.org/abs/0802.2106)  
(establishes the methodology)

# Theorem I:

Suppose fundamental physics described by:

- 1) compactifying from higher dimensions with  $13 > D > 5$
- 2) where higher D theory described by Einstein GR
- 3) where extra D is conformally Ricci-flat\* and

$$ds^2 = e^{-2\Omega} (-dt^2 + a^2(t) dx^2) + e^{2\Omega} g_{ab} dy^a dy^b$$

- 4) and extra D is closed or orbi/orientifolded
- 5) null energy condition (NEC) is satisfied

... then  $w = p/\rho$  must be  $> -1$

# Strategy in proving dark energy theorems

Do all calculations in Einstein frame so interpretation is unambiguous

Treat  $T_{\mu\nu}$  space-space components as block diagonal

Then try to determine how higher D  $\langle \rho + p_k \rangle_A$  &  $\langle \rho + p_3 \rangle_A$

relate to the observed  $\rho^{4d}$  and  $p^{4d}$

(which obey usual Friedmann eqs.)

# Strategy in proving dark energy theorems

## A-averaging

NEC

$$T_{MN} n^M n^N \geq 0 \text{ for every null } n^M$$

A-averaged NEC

$$\left\langle T_{MN} n^M n^N \right\rangle_A \equiv$$

$$\left( \int T_{MN} n^M n^N \boxed{e^{A\Omega}} e^{2\Omega} \sqrt{g} d^k y \right) / \left( \int \boxed{e^{A\Omega}} e^{2\Omega} \sqrt{g} d^k y \right) \geq 0$$

N.B. If A-averaged NEC violated then NEC also violated  
(but not the converse)

# Let's get to it . . .

consider general  $g_{ab}(t, y)$  and  $\Omega(t, y)$

$$\frac{d}{dt} g_{ab} = \frac{2}{k} \xi g_{ab} + \sigma_{ab}$$

take linear combinations of  $G_{00}$  and  $G_{ij}$ :

$$\left\langle e^{2\Omega} (\rho + p_3) \right\rangle_A \propto (\rho^{4d} + p^{4d}) - \frac{k+2}{2k} \left( \langle \xi \rangle_A \right)^2 + \text{neg. semi-def.}$$

$$1 + w_{total} \geq 0 \quad \leq 0 \quad \leq 0$$

$$\left\langle e^{2\Omega} (\rho + p_k) \right\rangle_A \propto \frac{1}{2} (\rho^{4d} + 3p^{4d}) + \frac{k+2}{2k} \frac{1}{a^3} \frac{d}{dt} \left( a^3 \langle \xi \rangle_A \right) + \text{neg. semi-def. for range of A}$$

$$1 + 3 w_{total} < 0$$

only hope  
is if this is non-zero

$$\leq 0$$

# Illustrative example: pure cosmological constant ( $w_{\text{total}} = -1$ )

$$\left\langle e^{2\Omega}(\rho + p_3) \right\rangle_A \propto (\rho^{4d} + p^{4d}) - \frac{k+2}{2k} \left( \langle \xi \rangle_A \right)^2 + \text{neg. semi-def.}$$

$$1 + w_{\text{total}} \geq 0 \quad \leq 0 \quad \leq 0$$

$$= 0 \quad \text{UH-oh}$$

$$\left\langle e^{2\Omega}(\rho + p_k) \right\rangle_A \propto \frac{1}{2}(\rho^{4d} + 3p^{4d}) + \frac{k+2}{2k} \frac{1}{a^3} \frac{d}{dt} \left( a^3 \langle \xi \rangle_A \right) + \text{neg. semi-def. for range of A}$$

$$1 + 3 w_{\text{total}} = -2 \quad \text{only hope} \quad \leq 0$$

# Theorem I:

Suppose dark energy described by theory obtained by:

- 1) compactifying from higher dimensions with  $13 > D > 5$
- 2) where higher D theory described by Einstein GR
- 3) where extra D is conformally Ricci-flat and
$$ds^2 = e^{2\Omega} g_{\mu\nu} dx^\mu dx^\nu + e^{2\Omega} g_{ab} dy^a dy^b$$
- 4) and extra D is closed or orbi/orientifolded
- 5) null energy condition (NEC) is satisfied

... then  $w_{\text{total}}$  must be  $> -1$

Curious corollary:

Not only rules out pure  $\Lambda$  universe,  
but also  $\Lambda$ CDM

That is, if Theorem I assumptions are correct,  
then  $w_{DE} > -1$

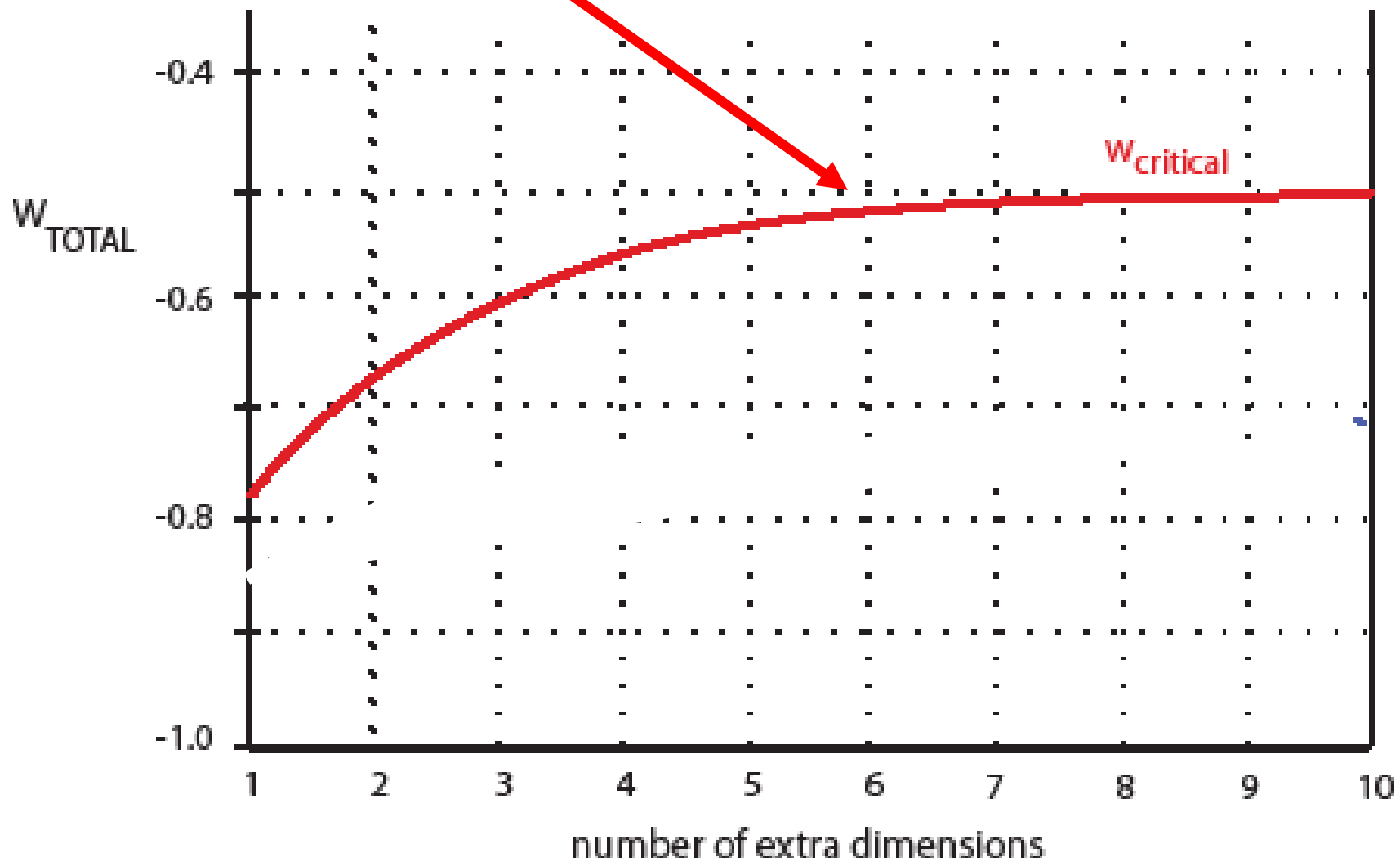
*Are the Thm I conditions  
therefore ruled out observationally?*

**Theorem II:** if all conditions of Thm I satisfied and

$$-1/3 > w_{\text{total}} > w_{\text{critical}} > -1$$

... can maintain acceleration indefinitely  
(but  $G_N$  must vary with time to maintain the NEC)

requires  $w_{\text{total}} > -0.53$  -- ruled out !



*Are the Thm I conditions  
therefore ruled out observationally?*

**Theorem III:** if satisfy all conditions of Thm I and

$$w_{\text{critical}} > w_{\text{total}} > -1$$

can maintain  $w < w_{\text{critical}}$  for only a brief period;  
( $w_{\text{DE}}$  and  $G_{\text{N}}$  must be rapidly time-varying)

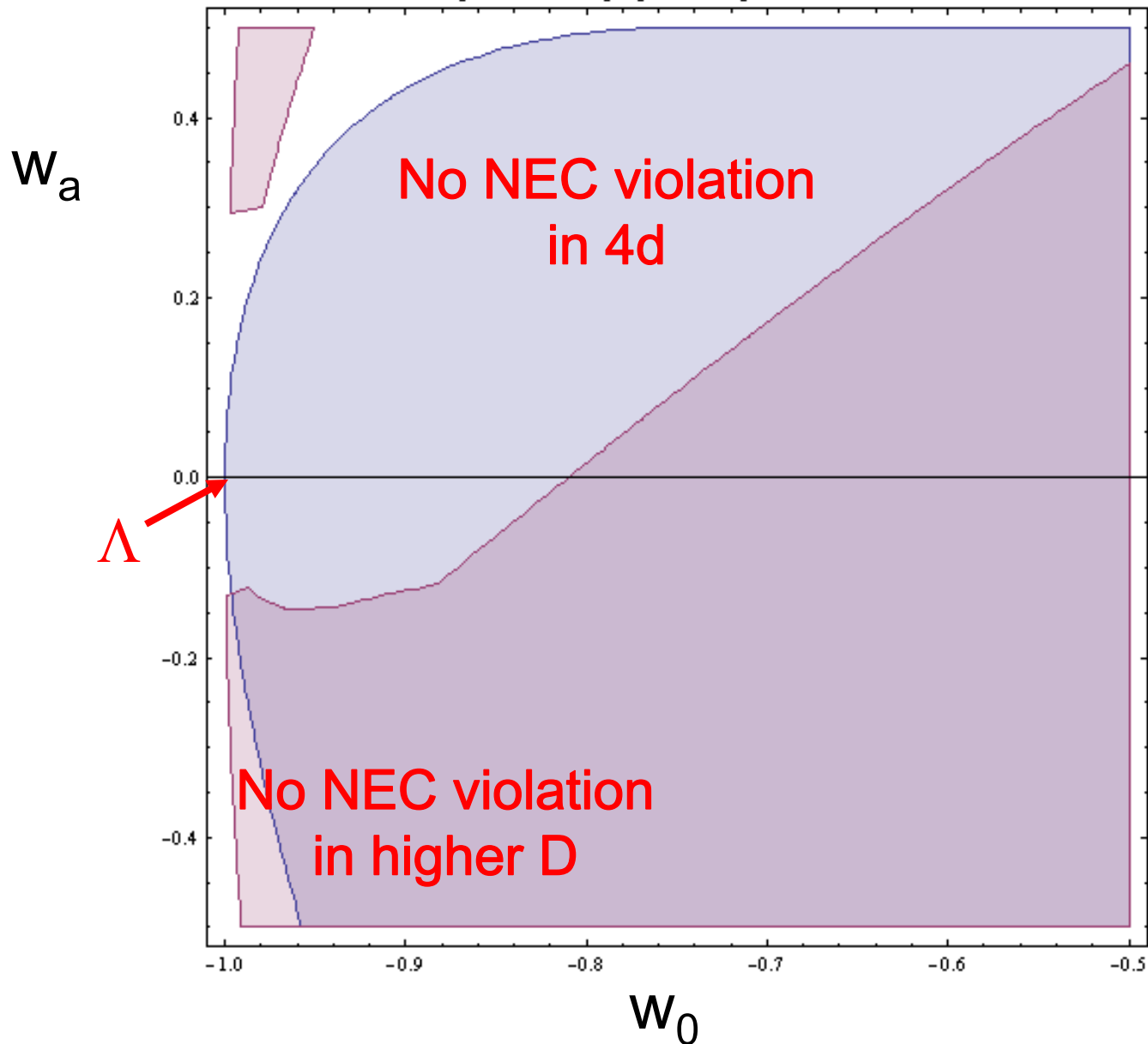
Practical example:  $w_{\text{total}} = \Omega_{\text{DE}} w_{\text{DE}} = -0.73$  today

$G_{\text{N}}$ : compare w/ model-independent limits on  $\xi = \frac{H_0 \dot{G}}{G}$  &  $\frac{|G_{\text{BBN}} - G_0|}{G}$

$$| dw_{\text{total}} / dz | < 1.3$$

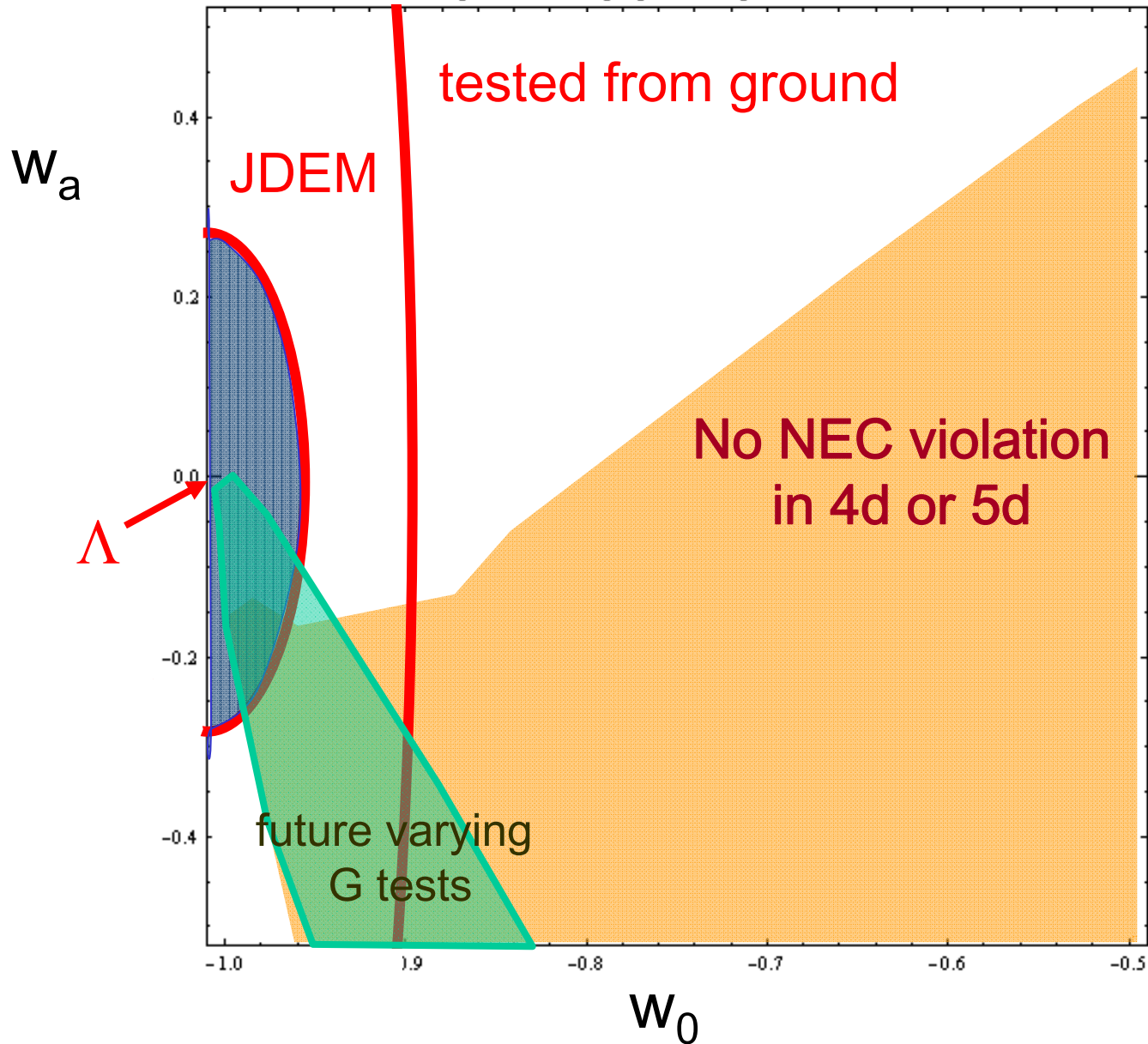
Models that satisfy constraints on  $G_N(t)$  and NEC

$$w(a) = w_0 + w_a(1-a) + w_b(1-a)^2$$



Models that satisfy constraints on  $G_N(t)$  and NEC

$$w(a) = w_0 + w_a(1-a) + w_{aa}(1-a)^2$$



*Are the Thm I conditions  
therefore ruled out observationally?*

No, not yet,  
although the situation could change  
with forthcoming experiments

JDEM (Joint Dark Energy Mission) → JEDM (Joint Extra Dimensions Mission)

On the other hand,  
Thm I conditions are *completely incompatible*  
with inflation !

## Curious Corollaries:

- inflation requires violating at least one of the Thm I conditions in the early Universe
- Thm I provides interesting counterexample to principle underlying chaotic/eternal inflation

**Theorem IV:** If fundamental physics is obtained by:

- 1) compactifying from higher dimensions with  $13 > D > 5$
- 2) where higher D theory described by Einstein GR
- 3) where extra D is conformally Ricci-flat and

$$ds^2 = e^{-2\Omega} \eta_{\mu\nu} dx^\mu dx^\nu + e^{2\Omega} g_{ab} dy^a dy^b$$

- 4) and extra D is closed or orbi/orientifolded

5) null energy condition (NEC) is NOT satisfied in higher D

6)  $G_N$  fixed (or very slowly varying)

... then, there is an  $A^*$  such that NEC is violated for any  $w_{\text{total}} < -1/3$  & violation must be in  $\langle \rho + p_k \rangle_A$

**Theorem V:** If fundamental physics is obtained by::

- 1) compactifying from higher dimensions with  $13 > D > 5$
- 2) where higher D theory described by Einstein GR
- 3) where extra D is conformally Ricci-flat and

$$ds^2 = e^{-2\Omega} \eta_{\mu\nu} dx^\mu dx^\nu + e^{2\Omega} g_{ab} dy^a dy^b$$

- 4) and extra D is closed or orbi/orientifolded

5) null energy condition (NEC) is NOT satisfied in higher D

6)  $G_N$  fixed (or very slowly varying)

... then NEC must be satisfied for same  $A^*$   
for all  $w_{\text{total}} > -1/3$

# Curious Corollary:

Constraints on avoiding large  $G_N$  variation and on the nature of NEC violation:

$$p_k^j = \frac{1}{2} ( - \rho_{4d}^j + 3 p_{4d}^j ) = -\frac{1}{2} \rho_{4d}^j (1-3 w_{4d})$$

radiation  $p_k^r = 0$

matter  $p_k^m = -\frac{1}{2} \rho_{4d}^m$

$\Lambda$   $p_k^\Lambda = -2 \rho_{4d}^\Lambda$

But maybe not what you might expect !

e.g. can't be usual  $\Lambda$  or orientifold or Casimir energy alone !

# Curious Corollary:

Constraints on avoiding  $G_N$  violation and  
the kind of NEC violation:

$$p_k^j = \frac{1}{2} ( - \rho_{4d}^j + 3 p_{4d}^j ) = -\frac{1}{2} \rho_{4d}^j (1-3 w_{4d})$$

radiation  $p_k^r = 0$

matter  $p_k^m = -\frac{1}{2} \rho_{4d}^m$

$\Lambda$   $p_k^\Lambda = -2 \rho_{4d}^\Lambda$

... also not what you get just by having  
scalar fields (inflavons) with flat potentials in 4d

Inflation more problematic than  
because you must sustain  $w$  close to  $-1$   
for 60 e-folds:  
hard to avoid violating NEC by a huge amount

$$\left\langle e^{2\Omega}(\rho + p_3) \right\rangle_A \propto (\rho^{4d} + p^{4d}) - \frac{k+2}{2k} \left( \langle \xi \rangle_A \right)^2 + \text{neg. semi-def.}$$

$$\left\langle e^{2\Omega}(\rho + p_k) \right\rangle_A \propto \frac{1}{2}(\rho^{4d} + 3p^{4d}) + \frac{k+2}{2k} \frac{1}{a^3} \frac{d}{dt} \left( a^3 \langle \xi \rangle_A \right) + \text{neg. semi-def. for range of A}$$

violation of NEC  
 $10^{100} \times \text{DE}$



source of NEC  
different from DE

&

must be able  
to annihilate it

more to come . . .