

Chap. 6

Eigenvalues, eigenvectors,  
diagonalization, triangulation

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$$T: V \rightarrow V \quad \mapsto \quad A \leftrightarrow T$$

$\mathcal{B} \quad \mathcal{B}$   
basis

$m \times m$   
 $T = T_{A, \mathcal{B}}$

Change basis  $\mapsto$  change  $m \times m$  of  $T$   
 $m \times m$   $A, B$  w.r.t two bases

$$\Leftrightarrow A, B \text{ are } \underline{\text{similar}} \quad A \sim B$$
$$B = C^{-1} A C \text{ for some } C$$

Some  $m \times m$ 's are easier to work with  
than others.

e.s. to compute det  
--- rank  
.....

Given  $T$ :

Does there exist a basis of  $V$   
for which the m.x of  $T$  is diagonal?  
- or at least, triangular?

Diagonal:

$$T: V \rightarrow V \quad n \text{ dim}$$

$\mathcal{B}$  basis,  $\mapsto$  m.x  $A \in M_n(F)$

Is there a diagonal m.x  $D \sim A$ ?

(If so, what is  $D$ ? What is the new basis?)

$$\text{If } A \sim D = \begin{pmatrix} c_1 & & 0 \\ & \ddots & \\ 0 & & c_n \end{pmatrix}$$

$\uparrow$   
rep's  $T$  w.r.t  $v_1, \dots, v_n$

$$\text{Then } T(v_j) = c_j v_j.$$

If we find lin ind vectors  $v_1, \dots, v_n$

st  $T(v_j) = c_j v_j$  for some  $c_j \in F$

then  $D = \begin{pmatrix} c_1 & & 0 \\ & \ddots & \\ 0 & & c_n \end{pmatrix}$  rep's  $T$  w.r.t  $\{v_1, \dots, v_n\}$

$$A \sim D.$$

$$\text{Then } \det A = \det D = \prod c_i$$

$$\text{rk } A = \text{rk } D = \# \text{ of non-0 } c_i \text{ s.}$$

$$T: V \rightarrow V$$

If  $v \in V$ ,  $c \in F$ ,  $T(v) = cv$   
call  $v$  an eigenvector of  $T$ .  
("characteristic vector")

If  $v \in V$  is a non-0 eigenvector  
with  $T(v) = cv$   $c \in F$ :  
we call  $c$  the corresponding  
eigenvalue of  $T$ .

("characteristic value")  $\swarrow$   $n \times n$   $T$   $\searrow$   $n$  dim  
So to diag  $\Rightarrow A \xrightarrow{\quad} B$ :  
Find  $n$  lin ind eigenvectors  $v_i$  for  $T$   
& their corresp eigenvalues  $c_i$ .

Then:  $A \sim D = \begin{pmatrix} c_1 & & 0 \\ & \ddots & \\ 0 & & c_n \end{pmatrix}$   
 $\uparrow$   
with basis  $v_1, \dots, v_n$  of  $V$ .

How to find the eigenvectors  $v = (x_1, \dots, x_n)$   
& eigenvalues  $c$ ?

$$A \quad n \times n. \quad A[v] = c[v]$$

Solve  $A \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = c \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$

Equivalently  $A \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = cI \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$

"  $\underbrace{(A - cI)}_{n \times n} \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = 0$

$\uparrow \neq 0$

$\exists \text{ sol.} \iff \det(A - cI) = 0$

This depends on  $c$ .

If this happens,  $c$  is an eigenvalue  
 $\& (x_1, \dots, x_n) = v$  is the corresp. eigenvector.

Ex.  $A = \begin{pmatrix} 1 & 7 \\ 4 & 4 \end{pmatrix} \Leftrightarrow T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$

$A - cI = \begin{pmatrix} 1-c & 7 \\ 4 & 4-c \end{pmatrix}$

$\det(A - cI) = (1-c)(4-c) - 7 \cdot 4$   
 $= c^2 - 5c - 24$

$= (c-8)(c+3)$

$\det = 0 \iff c = 8, -3. \leftarrow \text{eigenvalues.}$

$A \sim D = \begin{pmatrix} 8 & 0 \\ 0 & -3 \end{pmatrix}$  if  $\exists$  basis of eigenvectors.



(Here,  $v_1 \leftrightarrow 8$ ,  $v_2 \leftrightarrow -3$ ,

$$T(v_1) = 8v_1, \quad T(v_2) = -3v_2$$

$\therefore v_1, v_2$  are not mult's of each other; lin. ind. in  $\mathbb{R}^2$

$\therefore$  form a basis.  $\therefore A \sim D$ , proof

Find  $v_1, v_2$ :

$\exists$  non-0 solns to  $(A - cI) \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$ . Find!  $\downarrow$  free

$c = 8$ :  $A - cI = \begin{pmatrix} -7 & 7 \\ 4 & -4 \end{pmatrix} \rightsquigarrow \begin{pmatrix} 1 & -1 \\ 4 & -4 \end{pmatrix} \rightsquigarrow \begin{pmatrix} 1 & -1 \\ 0 & 0 \end{pmatrix}$

$$x - y = 0$$

$$x = y$$

(1, 1)

$\uparrow$  multiples from

Take  $v_1 = (1, 1) \leftrightarrow 8$

$c = -3$ :  $A - cI = \begin{pmatrix} 4 & 7 \\ 4 & 7 \end{pmatrix} \rightsquigarrow \begin{pmatrix} 1 & 7/4 \\ 4 & 7 \end{pmatrix} \rightsquigarrow \begin{pmatrix} 1 & 7/4 \\ 0 & 0 \end{pmatrix}$

Solns to homog eqn:  $x + \frac{7}{4}y = 0$

$$y = -\frac{4}{7}x$$

$v_2 = (7, -4)$  is a soln

(also its multiples)

$\swarrow$   
-3

$v_1, v_2$  are lin. ind.; basis of  $\mathbb{R}^2$

In this basis: mx of  $T$  is  $D = \begin{pmatrix} 8 & 0 \\ 0 & -3 \end{pmatrix}$   
 $A \sim D$

Change of basis  $m \times C$ :

Express  $v_1, v_2$  in terms of  $e_1, e_2$

Columns of  $C \leftarrow$

$$v_1 = (1, 1) = e_1 + e_2 \leftarrow$$

$$v_2 = (7, -4) = 7e_1 - 4e_2 \leftarrow$$

$$C = \begin{pmatrix} 1 & 7 \\ 1 & -4 \end{pmatrix}$$

$\uparrow \quad \uparrow$   
 $v_1 \quad v_2$

$$D = C^{-1}AC$$

(check)

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In gen:  $A = (a_{ij}) \in M_n(F)$

$$\uparrow$$
$$T: F^n \rightarrow F^n$$

To find eigenvalues  $\lambda$

& eigenvectors  $v$  of  $A$ .

— if  $\exists$  basis of  $F^n$

consisting of eigenvectors of  $T$

then diagonalize  $A$ .

Want  $\lambda$  st.  $\det(A - \lambda I) = 0$

$$\Leftrightarrow \det(\lambda I - A) = 0$$

$$\det(xI - A) \in F[x], \text{ degree } n, \text{ monic}$$

$$= \det \begin{pmatrix} x - a_{11} & -a_{12} & \dots & -a_{1n} \\ \vdots & x - a_{22} & & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ -a_{n1} & \dots & \dots & x - a_{nn} \end{pmatrix}$$

Characteristic poly of  $A$ ,  $P_A(x)$

(\*) Eigenvalues of  $A$  are the roots of  $P_A(x) \in F[x]$

$$P_A(x) = 0 \text{ for } x = c, \quad \begin{matrix} \uparrow \\ \text{monic, degree } n \\ \text{equation} \end{matrix}$$

$$(**) (A - cI)X = 0 \text{ has non-0 solns } \begin{matrix} \uparrow \\ \text{eigenvalue} \\ X = [v] \end{matrix}$$

$$A[v] = c[v]. \text{ Fix } c: \text{ roots of } P_A(x).$$

Fix  $v$ : solns to (\*\*).

Apoly of degree  $n$  has  $\leq n$  roots, in  $F$ .

Say:  $P_A(x)$  has  $n$  roots in  $F$  (distinct).

$c_1, \dots, c_n$ ; get  $v_1, \dots, v_n$   
 eigenvalues Corresponding eigenvectors

We would like to use  $v_1, \dots, v_n$  as a basis of eigenvalues of  $F^n$ .

Then: wrot this basis,  
mx of  $T$  is  $D = \begin{pmatrix} c_1 & & \\ & \ddots & \\ & & c_n \end{pmatrix}$

How do we know if

$v_1, \dots, v_n$  are a basis?

IFF  $v_1, \dots, v_n$  are lin. ind.

Prop If  $v_1, \dots, v_k$  are  $n$  eigenvectors for  
 $A \in M_n(F)$  corresponding to  
distinct eigenvalues  $c_1, \dots, c_k$ ,  
then  $v_1, \dots, v_k$  are lin. ind.

$\therefore$  If we have  $v_1, \dots, v_n$  as above,  
then a basis;  $A$  is diagonalizable.

Pf of Prop

If not always true, take a minimal  
counterexample (smallest  $k$ )

$c_1, \dots, c_k \in F$  distinct eigenvalues  
 $v_1, \dots, v_k$  eigenvectors for  $A$   
 $n \times n$  mx.

So:  $v_1, \dots, v_k$  are lin. dep.

$$(*) \quad a_1 v_1 + \dots + a_k v_k = 0 \quad a_i \in F, \text{ not all } 0.$$

Since this is mild,  
no  $a_i = 0$ . (oo: could omit it)

$$A \leftrightarrow T = TA: F^n \rightarrow F^n$$

<sup>n x n</sup>  
 Apply  $T, T^2, \dots, T^{k-1}$   
 to (\*)

$$a_1 v_1 + \dots + a_k v_k = 0$$

$$a_1 c_1 v_1 + \dots + a_k c_k v_k = 0$$

$$a_1 c_1^2 v_1 + \dots + a_k c_k^2 v_k = 0$$

⋮

$$a_1 c_1^{k-1} v_1 + \dots + a_k c_k^{k-1} v_k = 0$$

$$\begin{pmatrix} a_1 & \dots & a_k \\ a_1 c_1 & \dots & a_k c_k \\ a_1 c_1^2 & \dots & a_k c_k^2 \\ \vdots & \ddots & \vdots \\ a_1 c_1^{k-1} & \dots & a_k c_k^{k-1} \end{pmatrix} \begin{pmatrix} v_1 \\ \vdots \\ v_k \end{pmatrix} = 0$$

↑

By PS 10: if all  $a_i \neq 0$  we have this  
 then this mx is invertible.

Mult on left by inverse  $\begin{pmatrix} v_1 \\ \vdots \\ v_k \end{pmatrix} = 0$

But  $v_i \neq 0$ . Contradiction.

So: If  $A$  non,  
 $\rightarrow$   $P_A(x)$  has  $n$  distinct roots  $c_1, \dots, c_n$   
 then  $A$  is diagonalizable.  $A \sim \begin{pmatrix} c_1 & & 0 \\ & \ddots & \\ 0 & & c_n \end{pmatrix}$

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What if  $P_A(x)$  has  $< n$  roots in  $F$ ?  
 (i.e.  $A$  has  $< n$  distinct eigenvalues in  $F$ )  
 $\rightarrow$  Is  $A$  diagonalizable?

(i.e.  $\exists$ ? basis of eigenvectors)

More complicated.

Ex 1.  $A = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$

Char poly  $P_A(x) = \det(xI - A)$

$$= \det \begin{pmatrix} x-2 & 0 \\ 0 & x-2 \end{pmatrix} = (x-2)^2$$

Only one root (repeated)  
 " " eigenvalue ( $c=2$ )

Every  $v \in F^2$  is an eigenvector for  $A$

$$T(v) = 2v \quad A = 2I$$

Std basis  $e_1, e_2$  is a basis of eigenvectors.

In fact,  $A$  is already diagonal.

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Ex 2.  $A = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$

Char poly:  $P_A(x) = \det \begin{pmatrix} x & 1 \\ -1 & x \end{pmatrix}$   
 $= x^2 + 1.$

a)  $S_{\mathbb{R}} F = \mathbb{R}.$   
 $x^2 + 1$  has no roots in  $\mathbb{R}$

No eigenvalues, No eigenvectors  $\neq 0$

Can't be diagonalized (over  $\mathbb{R}$ )  
 (can rotate by  $90^\circ$ )

b)  $F = \mathbb{C}.$

$x^2 + 1 = (x+i)(x-i)$

2 distinct roots.  $c = i, -i.$

So diagonalizable  $A \sim_{\mathbb{C}} \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$

Ex 3.  $A = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$

$P_A(x) = \det \begin{pmatrix} x-1 & -1 \\ 0 & x-1 \end{pmatrix} = (x-1)^2$

one root,  $c = 1.$  (repeated)

Eigenvectors?

$(A - cI) \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$

$$(A - I) \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

$$A - I = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

row reduce.

$$y = 0. \quad (x \text{ arb})$$

Eigenvectors  $\underline{(1, 0)}$  + multiples.

No basis of eigenvectors.

Not diagonalizable. (over any field)

Criterion? Yes - using min poly.  
(later)

$T: V \rightarrow V$  lin op  
? a lin.

Basis  $\rightarrow A$

$A \sim B$

Another basis  $\rightarrow B$

$$B = C^{-1} A C$$

↑  
ch of basis.

$P_A(x), P_B(x)$

↑ ↑  
Relationships?



$$\begin{aligned}
 xI - B &= xI - C^{-1}AC \\
 &= C^{-1}(xI)C - C^{-1}AC \\
 &= C^{-1}(xI - A)C
 \end{aligned}$$

$$xI - B \sim xI - A$$

$$\begin{aligned}
 P_D(x) &= \det(xI - B) \\
 &= \det(xI - A) = P_A(x).
 \end{aligned}$$

$$\text{So: } P_A(x) = P_D(x).$$

Can refer to  $P_T(x)$  (char. poly. of  $T$ )  
(any one of these).

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So,  $A$  is diag' ble.

$$A \sim D = \begin{pmatrix} c_1 & & 0 \\ & \ddots & \\ 0 & & c_n \end{pmatrix}$$

$A, D$  represent same lin. tr.  $T$   
(wrt diff. bases)

$$\begin{aligned}
 P_A(x) &= P_D(x) = \det(xI - D) \\
 &= \det \begin{pmatrix} x - c_1 & & 0 \\ & \ddots & \\ 0 & & x - c_n \end{pmatrix} = \prod_{i=1}^n (x - c_i)
 \end{aligned}$$

Conclusion: If  $A$  is diagonalizable,  
 then  $P_A(x)$  is a product of  
 linear factors  $x - \lambda_i$  eigenvalues,  
 (possibly repeated)

List distinct eigenvalues

$$\lambda_1, \dots, \lambda_m \quad m \leq n.$$

$A$  arbitrary.

If  $P_A(x)$  is a product of lin factors  
 $\equiv$  each is  $x - \lambda_i$ , occurs  $d_i$  times,  $d_i \geq 1$   
 $d_1 + \dots + d_m = n$   
 $d_i \leq n$

$$A \leftrightarrow T \quad P_A(x) = \prod_{i=1}^m (x - \lambda_i)^{d_i}$$

$\lambda_1, \dots, \lambda_m \in F$  distinct

Let  $W_i = \{ \text{eigenvectors for } \lambda_i \}$

Claim:  $W_i \subset V$  is a subspace.

Why? If  $v_1, v_2 \in W_i$   
 $T(v_1) = \lambda_i v_1$        $T(v_2) = \lambda_i v_2$   
 $T(v_1 + v_2) = T(v_1) + T(v_2) = \lambda_i v_1 + \lambda_i v_2 = \lambda_i (v_1 + v_2)$

$T(cv_i) = \lambda_i(cv_i)$  similarly.

Call  $W_i$  the eigenspace corresp to  $\lambda_i$

So,  $A$  is diagonal.  $A \sim D = \begin{pmatrix} c_1 & & 0 \\ & \ddots & \\ 0 & & c_n \end{pmatrix}$   
 $v_1 \dots v_n$

$$P_D(x) = P_A(x) = \prod_{i=1}^n (x - \lambda_i)^{d_i}$$

"  $\longleftrightarrow$

$$\prod_{i=1}^n (x - c_i)$$

So:  $d_1$  of the  $c_i$ 's are  $= \lambda_1$   
 $d_2 \dots \dots \dots = \lambda_2$   
etc.

Can order  $v_1, \dots, v_n$  so that

1<sup>st</sup>  $d_1$  of them are eigenvectors for  $\lambda_1$   
next  $d_2 \dots \dots \dots = \lambda_2$   
etc.

$v_1, \dots, v_{d_1}$  are all eigenvectors for  $\lambda_1$

In  $\text{span}(v_1, \dots, v_{d_1})$ ; all are  $\dots$ .

$\widehat{W}_1$   $\uparrow$   $\dim = d_1$

$\leftarrow$  Can this be bigger?

Ans: No.

To see this:

$$\text{Say } w \in W_1 \subset F^n$$

$$\text{WTS } w \in \text{span}(v_1, \dots, v_{d_1})$$

$v_1, \dots, v_{d_1}, \dots, v_n$ : basis of  $F^n$

$$W_1 \ni w = \underbrace{a_1 v_1 + \dots + a_{d_1} v_{d_1}}_{\substack{\uparrow \\ w_1 \in W_1}} + \underbrace{\dots + a_n v_n}_{\substack{\uparrow \\ w_2 \in W_2} \quad \uparrow \\ w_m \in W_m}}$$

$$w = w_1 + w_2 + \dots + w_m \quad w_i \in W_i$$

$$0 = (w_1 - w) + w_2 + \dots + w_m \quad \begin{array}{c} \uparrow \\ w_1 \end{array} \quad \begin{array}{c} \uparrow \\ w_2 \end{array} \quad \begin{array}{c} \uparrow \\ w_m \end{array} \quad \begin{array}{l} \text{eigenvectors} \\ \text{for} \end{array}$$

(distinct)  $\lambda_1 \quad \lambda_2 \quad \dots \quad \lambda_m$  ←

So  $w_1 = w, w_2, \dots, w_m$ : lin. ind. if non-0.  
Sum is 0.  $\therefore$  they're all 0.

$$w_1 = w$$

$$\text{" } a_1 v_1 + \dots + a_{d_1} v_{d_1} \in \text{span}(v_1, \dots, v_{d_1}). \checkmark$$

Similarly, same for  $W_2, \dots, W_m$ .

AND dies.

Conclusion:  $W_1 = \text{span}(v_1, \dots, v_{d_1})$   
 $W_2 = \text{span}(v_{d_1+1}, \dots, v_{d_1+d_2})$   
etc.

$\dim W_i = d_i = \#$  of diag entries  
equal to  $\lambda_i$   
 $=$  exponent of  $x - \lambda_i$   
in  $P_A(x)$ .

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Prop Say  $\dim V = n$  vs  $F$

$T: V \rightarrow V$ , diag'ble,

Say by  $D$ , whose distinct  
diag entries are  $\lambda_1, \dots, \lambda_m$

with  $\lambda_i$  appearing  $d_i$  times.

(So  $n = \sum_{i=1}^m d_i$ )

Then  $P_T(x) = \prod_{i=1}^m (x - \lambda_i)^{d_i}$ ,

of degree  $n$ , &  $\dim$  of the  
eigenspace  $W_i$  of  $\lambda_i$  is  $d_i$ .

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Can get nec. & suff cond'n for diag'ble

Prop  $V$   $n$ -dim  $US / F$ ,

$T: V \rightarrow V$ , with eigenvalues  $\lambda_1, \dots, \lambda_m \in F$   
with corresp. eigenspaces  $W_1, \dots, W_m \subset V$ .

The TFAE:

i)  $T$  is diagible, by a diag  $D$   
each of whose diag entries is one of

$$\text{(ii) } P_T(x) = \prod_{i=1}^m (x - \lambda_i)^{d_i} \leftarrow$$

with  $d_i = \dim W_i$ .

$$\text{(iii) } \sum_{i=1}^m \dim W_i = n. \leftarrow$$

Pf. (i)  $\Rightarrow$  (ii) by prev prop.

$$\text{(ii) } \Rightarrow \text{(iii)} \quad \sum_{i=1}^m \dim W_i = \sum d_i = \deg P_T(x) = n \checkmark$$

(iii)  $\Rightarrow$  (i):

As in prev pb take a basis of  
each  $W_i$ :

$$\begin{array}{ll} v_{1,1}, \dots, v_{1,d_1} & \text{for } W_1 & \lambda_1 \\ v_{2,1}, \dots, v_{2,d_2} & \text{for } W_2 & \lambda_2 \\ \vdots & & \vdots \\ v_{m,1}, \dots, v_{m,d_m} & \text{for } W_m & \lambda_m \end{array}$$

Claim: The set  $v_1, \dots, v_n$  is lin ind  
( $\therefore$  a basis of  $V$ )

$$\text{Say } 0 = \sum a_i v_i$$

$$= (a_1 v_1 + \dots + a_{i-1} v_{i-1}) + (a_{i+1} v_{i+1} + \dots + a_{i-1} v_{i-1}) + \dots + (a_n v_n)$$

lin ind of  $n$  eigenvectors for distinct eigenvalues  
 $\therefore$  each bracketed term = 0.

$$a_1 v_1 + \dots + a_{i-1} v_{i-1} = 0$$

$v_1, \dots, v_{i-1}$ : basis of  $W_{i-1}$ , lin ind

$$\therefore a_1 = \dots = a_{i-1} = 0$$

$\therefore$  all  $a_i = 0$ .  $\checkmark$  for Claim.

$\therefore V$  has a basis consisting of  $v_1, \dots, v_n$  eigenvectors for  $T$ .  $\Rightarrow$  diagonalizable.  $\checkmark$

Back to examples:

Ex 1.  $A = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$ , diag

$$P_A(x) = (x-2)^2 \quad \lambda_1 = 2. \quad \begin{matrix} \text{(i) holds} \\ \text{(ii) holds} \end{matrix} \quad d_1 = W_1 = 2 = \dim V$$

Ex 2.  $A = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$   $P_A(x) = x^2 + 1$   
 Over  $\mathbb{R}$ , not diagonalizable. (i) fails. Not a prod of lin factors.  
 No non-0 eigenvectors. (ii) fails.  
 (iii) fails. (Over  $\mathbb{C}$ , all hold)

Ex 3  $A = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , not diag: (i) fails.

One  $\lambda$ ,  $\lambda = 1$ .  $P_A(x) = (x-1)^2$

$W_1 = \text{span}(1, 0) = x\text{-axis}$

$\dim W_1 = 1 \neq 2$

↑

(ii) fails.

(iii) fails

$1 \neq 2 \text{ dim.}$

easy to find det & rank

What if  $A$  (not  $T$ ) is not diagonalizable?

Can still check if it's  $\Delta$ ible.

$A \sim U = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$ , upper  $\Delta$ ible.

(equiv. to  $\sim$  lower  $\Delta$ ible)

↙ reverse basis vectors.



In Ex 3 above:  $A = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$

Not diagonalizable. But  $\Delta^v$ .

$$P_A(x) = (x-1)^2$$

↑  
prod. of lin factors.

In Ex 2 above:  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ , over  $\mathbb{R}$

Not diagonalizable.  $P_A(x) = x^2 + 1$

↑  
Not a prod.  
of lin factors/ $\mathbb{R}$ .  
No roots in  $\mathbb{R}$ .  
No eigenvalues.

Not  $\Delta^v$ :

$$\text{If } A = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \sim \begin{pmatrix} a & b \\ 0 & a \end{pmatrix}$$

↑  
T  
The  $T(v_1) = av_1$

non-0 eigenvalue.

But T has no such.

Diagonalizable  
↓  
Eigenvalues.

General condition:

Prop  $A$  is  $\Delta^v$  over  $F \iff P_A(x)$  is a product of lin factors over  $F$ ,  $\prod_{i=1}^n (x-\lambda_i)^{d_i}$

Here,  $\lambda_i$ 's are the eigenvalues. ( $\sum d_i = n$ )

Pf.  $\Rightarrow$ :

$A \sim U$ , upper  $\Delta$  or  $m \times m$

$$U = \begin{pmatrix} c_1 & & * \\ & \ddots & \\ 0 & & c_n \end{pmatrix}$$

$c_1, \dots, c_n$ : eigenvalues  
Collect like ones  
 $\lambda_1, \dots, \lambda_m$   
 $d_1, \dots, d_m$  times

$$P_A(x) = P_U(x) = \det \begin{pmatrix} x-c_1 & & * \\ & \ddots & \\ 0 & & x-c_n \end{pmatrix}$$
$$= \prod_{i=1}^m (x-c_i) = \prod_{i=1}^m (x-\lambda_i)^{d_i}$$

$$\sum_{i=1}^m d_i = n \quad \text{---}$$

$\Leftarrow$ : Use induction on  $n$ .

$n=1$ : Every  $1 \times 1$   $m \times m$  is  $\Delta$ ble.  $\checkmark$

Induction step: assume result holds for  $n-1$ ; WTS: holds for  $n$ .

$A \in M_n(F)$ ,

suppose  $P_A(x) = \text{prod. of lin. factors.}$

WTS:  $A$  is  $\Delta$ ble  $/ F$ .

Take a linear factor, say  $x-c_1$ .

$c_1$  is an eigenvalue, for some  
 non-0 eigenvector  $v_1$ .  $T(v_1) = c_1 v_1$

Can extend to a basis  
 $v_1, v_2', \dots, v_n'$  of  $F^n$ .

$$A \leftrightarrow T: F^n \rightarrow F^n$$

In this new basis, m.m. for  $T$  is

$$A' = \left( \begin{array}{c|c} c_1 & * \\ \hline 0 & B \\ \vdots & \\ 0 & \end{array} \right) \quad \begin{array}{l} B \text{ is} \\ n-1 \times n-1 \end{array}$$

$v_1 \quad v_2' \quad \dots \quad v_n'$

Want to check:  $P_B(x)$  is a product of linear factors.

$$A \sim A'$$

$$P_A(x) = P_{A'}(x) = \det(xI - A')$$

expand along 1<sup>st</sup> column

$$= (x - c_1) \det(xI - B)$$

$P_B(x)$

$$P_A(x) = (x - c_1) P_B(x).$$

$P_A(x) = \text{prod. of linear factors}$

$$(x-c_1)(x-c_2) \dots (x-c_n)$$

$P_B(x) = \text{prod of lin factors.}$

$B$  is an  $(n-1) \times (n-1)$  mtr,  $\mathbb{F}$ .

Ind hyp:  $B$  is  $\Delta'$ ble.

$B \leftrightarrow S$   $\text{span}(v_1, \dots, v_{n-1})$   
↙  
 lin tr. on a vs of dim  $n-1$ .

$\exists v_1, \dots, v_{n-1}$  of this v.s.

st mtr of  $S$  is  $\Delta'$ .

$$B' = \begin{pmatrix} c_1 & * \\ 0 & c_2 \end{pmatrix}$$

Now take  $v_1, v_2, \dots, v_n$

Basis of  $F^n$

Mtr of  $T$  in this basis is

$$\left( \begin{array}{c|ccc} c_1 & x & & * \\ \hline 0 & c_2 & & \\ \vdots & & \ddots & * \\ 0 & 0 & & c_n \end{array} \right) : \Delta' \text{v.}$$

This case:  $F^n = V_1 \oplus V_2 \leftarrow \dim = n-1$ .

$$\begin{array}{ccc} \text{Spec}(V_1) & \nearrow & \text{Spec}(V_1 - V_2) \\ & \text{dim} = 1 & \text{Spec}(V_1 - V_2) \end{array}$$

Another approach:

$$V_1 \subset F^n, \quad W = F^n / V_1$$

$1 \quad n$

$n-1$

Work with this

$B, B'$

If  $F = \mathbb{C}$ :

Then: every  $n \times n$   $M \in M_n(\mathbb{C})$

can be  $\Delta$ d over  $\mathbb{C}$ .

b/c every  $f(x) \in \mathbb{C}[x]$

is a prod. of linear factors.

b/c every  $f(x) \in \mathbb{C}[x]$

has a root.

This holds more generally, for a field  $F$  st every non-const. poly in  $F[x]$  has a root in  $F$ .

(i.e. every  $m \times n$  in  $M_n(F)$  can be  $\Delta$ 'd over  $F$ .)

These fields are called algebraically closed.

$\mathbb{C}$  is alg. closed.

$\mathbb{R}$  is not alg. closed.

$\mathbb{F}_2$  . . . . .

$(x^2 + 1)$   
 $(x^2 - x - 1)$

$$F = \overline{\mathbb{Q}} = \{ \alpha \in \mathbb{C} \mid \alpha \text{ is algebraic} \}$$

root of a non-0 poly in  $\mathbb{Q}[x]$ .  
 Ex.  $\sqrt{2}$  root of  $x^2 - 2$ : alg.  
 $e, \pi$  are not alg.

field,  
 alg. closed.

transcendental.

Every field  $F$  is contained in  
an alg. close field.

— Start with  $F$ , adjoin roots of  
all the polys  $/F$ .

Smallest such: algebraic closure  
of  $F$ ;  $\overline{F}$ .

---

Char poly  $P_A(x) = \det(xI - A)$   
deg =  $n$ , monic.

Key property:  $P_A(A) = 0$ .

i.e.  $P_A(x) \in I = \{f(x) \in F[x] \mid f(A) = 0\}$ .

This shows  $I \neq \{0\}$ .

We need to show:

$\exists$  monic poly  $p_A(x)$ , of deg =  $d$   
 $0 < d \leq n$

$p_A(x) \in I$ , monic, smallest deg.  $\in I$ ,

$\dagger p_A(x) \mid f(x)$  for all  $f(x) \in I$ .

Still need to show:  $P_A(x) \in I$   
i.e.  $P_A(A) = 0$ .

Cayley-Hamilton Thm

$H_A \in K$ , § C.3, Th 4, pp. 194-196.

A different pf:

1<sup>st</sup> case:  $A$  is  $\Delta^r$ :  $A = \begin{pmatrix} c_1 & & * \\ & \ddots & \\ 0 & & c_n \end{pmatrix}$

$$P_A(x) = \prod_{i=1}^n (x - c_i)$$

$$P_i(x) = x - c_i \quad \rightarrow \quad P_A(x) = \prod_{i=1}^n P_i(x)$$

$$P_A(A) = \prod_{i=1}^n P_i(A) \quad (\text{PS } \#5 \text{ a})$$

$\uparrow$   $\uparrow$   $\uparrow$   $A - c_i I$   
by  $\Delta^r$   $n \times n$ , 0 in  $c_i$  slot  
 $= 0$  (b, ind on  $n$ )

$$\left( \text{Ex } n=2 \quad \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \right. \\ \left. \begin{pmatrix} 0 & * \\ 0 & * \end{pmatrix} \begin{pmatrix} * & * \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \right) \quad \therefore \checkmark$$

2<sup>nd</sup> case:  $A$  is  $\Delta^r$ ble.

$A \sim U$ , up  $\Delta^r$ .

$$P_A(x) = P_U(x)$$



$$P_A(A) = P_U(A) \sim P_U(U) \quad (\text{PS 6} \#2)$$

$A \sim U$   
 By 1st case.  $\checkmark$

3<sup>rd</sup> case:  $A$  carb.

If  $F$  is alg. closed: OK by Case 2.

If  $F$  is not alg. closed,

$$F \subset E \quad A \text{ is Nble } / E.$$

alg. cl.

$$P_A(x) = \det(xI - A) \in F[x] \subset E[x]$$

$\parallel \leftarrow$  by working / E.  
 $0$   $\checkmark$

C-H says:  $\quad \quad \quad / F$

$$P_A(A) = 0$$

$P_A(x)$  annihilates  $A$ .

$$P_A(x) \in I = \{ f(x) \in F[x] \mid f(A) = 0 \}$$

$\uparrow$  ideal  $\quad \quad \parallel$   
 $\quad \quad \quad \{ \text{multiples of } P_A(x) \}$

$$\therefore P_A(x) \mid P_A(x).$$

Above pf of C-H case:

$$\text{if } A \in M_n(F) \text{ + } F \subset E$$

then  $P_A(x)$  is same /  $F$  and /  $E$ .

$$\parallel \\ \det(xI - A)$$

Q: If  $A, E \subset F$  as above,  
 "  $P_A(x)$  the same /  $F$  or /  $E$ ?

Ans: Yes.

Prop. If  $A \in M_n(F)$ , +  $F \subset E$ ,  
 fields

then  $P_A(x)$  is the same /  $F$  & /  $E$ .

P.S. Write  $P_{A,F}(x)$ ,  $P_{A,E}(x)$  for these  
 min. polys.

$$P_{A,F}(x) \in F[x] \subset E[x]$$

$$P_{A,F}(A) = 0. \quad \therefore P_{A,F}(x) \in \underbrace{\{f(x) \in E[x] \mid f(A) = 0\}}_{\text{m.i.d.}} \rightarrow P_{A,E}(x)$$

$$\therefore P_{A,E}(x) \mid P_{A,F}(x)$$

$\uparrow$  this deg  $\leq$  this deg;  $\uparrow$  & degrees are = iff polys are =.

WTS degrees are  $\geq$  (and  $\leq$ )

Let  $d = \deg p_{A,E}(x)$ .

WTS  $A$  satisfies poly of deg  $d$  over  $F$ .

$$p_{A,E}(x) = a_0 + a_1 x + a_2 x^2 + \dots + a_d x^d \in E[x]$$

$$0 = p_{A,E}(A) = a_0 I + a_1 A + \dots + a_d A^d$$

$$\text{So } I, A, A^2, \dots, A^d \in M_n(F) \cong F^{n \times n}$$

are lin. dep. over  $E$ ; i.e. in

$$M_n(E) \cong E^{n \times n}.$$

By PS 7 #2 (for  $\mathbb{R} \subset \mathbb{C}$ , but same arg works in gen)

$I, A, \dots, A^d$  lin dep in  $M_n(F) \cong F^{n \times n}$ .

So  $\exists b_0, \dots, b_d \in F$ , not all 0,

$$\text{st } \sum b_i A^i = 0.$$

$$A \text{ satisfies } f(x) = \sum_{i=0}^d b_i x^i$$

$\therefore$  min poly  $p_{A,F}(x)$  has  $\deg \leq d$ .

See:

$$\therefore = d.$$

---

$$C-H \Rightarrow p_A(x) \mid \chi_A(x)$$

So every root of  $p_A(x)$   
is a " "  $\chi_A(x)$

↑ eigenvalues of A

∴ Every root of  $p_A(x)$   
is an eigenvalue of A. )

Q: Conversely,

= Is every eigenvalue of A  
a root of  $p_A(x)$ ?

Ans Yes!

1st lemma:

Lemma If  $T: V \rightarrow V$  /  $F$   
has an eigenvector  $v$ , with eigenvalue  $c$ ,  
& if  $f(x) \in F[x]$  then  
 $f(T)(v) = f(c)v$ .

Pf.  $f(x) = \sum b_i x^i \in F[x]$

$$f(T)(v) = \sum b_i T^i(v) \rightarrow \sum b_i c^i v = f(c)v.$$

$$(b/c \ T^i(v) = c^i v) \quad \checkmark$$

Now: to show: every eigenvalue  
of  $A^{AT}$  is a root of  $P_A(x) = P_T(x)$

For this,

Let  $c$  be  
an eigenvalue  
of  $A^{AT}$   
over  $F$ .

So:  $\exists$  non-0  
eigenvector  $v$  for  $c$ .

$$\begin{aligned} P_A(c) &= 0, \quad 0 = P_A(c)v \\ &= \underbrace{P_A(c)}_{\in F} v \quad \uparrow \neq 0 \quad \Rightarrow \quad P_A(c) = 0. \end{aligned}$$

If  $A, B$  rep.  $T$   
wrt diff. bases,  
then  $A \sim B$ . So for  $f(x) \in F[x]$ ,  
 $f(A) = 0 \Leftrightarrow f(B) = 0$ .  
 $\therefore P_A(x) = P_B(x)$ .  
So: can refer to  $P_T(x)$

So: the roots of  $P_A(x)$  are  
precisely the eigenvalues of  $A$ .

So:  $P_A(x), P_{A^{-1}}(x)$  have the same roots  
(but poss. with different multiplicities)

Examples — start with easy case —  
 $n \times n$  m.m. with  $n$  distinct eigenvalues

Ex 0.  $n=2$ .  $A = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}$

eigenvalues: 1, 2.

$P_A(x) = (x-1)(x-2)$  diag.

$\therefore P_A(x) = (x-1)(x-2) = \underline{P_A(x)}$ .

What if don't have  $n$  distinct eigenvalues?

Ex 1.  $A = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$  diag,

just one eigenvalue (2).  $P_A(x) = (x-2)^2$

$P_A(x) = (x-2)$ , b/c  $A-2I=O$ .

$\neq \underline{P_A(x)}$ .

Ex 2.  $A = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$

Over  $\mathbb{R}$ : no eigenvalues.

$P_A(x) = x^2 + 1$ , no root in  $\mathbb{R}$ .

Not diagonalizable, not  $\Delta^i$ ble. /  $\mathbb{R}$ .

$P_A(x) \mid P_A(x) \therefore P_A(x) = x^2 + 1 = \underline{P_A(x)}$ .

Over  $\mathbb{C}$ :  $P_A(x) = x^2 + 1 = (x+i)(x-i)$

2 distinct eigenvalues,  $i, -i$ .  $A \sim \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$   
diagonalizable.

$$\therefore P_A(x) = (x+i)(x-i) = x^2 + 1 = P_A(x).$$

(same  $P_A$   $P_A \in \mathbb{R}$ )

Ex 3.  $A = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , only eigenvalue = 1.

Not diagonalizable/any field.

$$P_A(x) = (x-1)^2.$$

$P_A(x) \mid P_A(x)$ , same roots.

↑ either  $x-1$  or  $(x-1)^2$ .

$$A - I \neq 0.$$

not  $P_A(x)$ .

$$P_A(x) = P_A(x) = (x-1)^2.$$

Can use this approach to find  $P_A(x)$ :

Ex.  $A \in M_4(\mathbb{R})$ ,  $P_A(x) = (x-1)(x-2)(x-3)^2$

Then  $P_A(x) = (x-1)(x-2)(x-3)$   
 or  $(x-1)(x-2)(x-3)^2$

So: Plug in  $A$  into  $(x-1)(x-2)(x-3)$ .

If get 0, then this is  $P_A(x)$ .

If not, then  $(x-1)(x-2)(x-3)^2$ .

Ex 2 over  $\mathbb{R}$  is a bit different:  
 $P_A(x) = x^2 + 1$  has no roots in  $\mathbb{R}$ .

$P_A(x)$  is the same /  $\mathbb{R}$  + /  $\mathbb{C}$ .

$P_A(x)$  - - - - -

Can work /  $\mathbb{C}$ , & get  $P_A(x) = x^2 + 1$

In gen'l, each irred factor of  $P_A(x)$  over  $\mathbb{R}$  must be an " " "  $P_A(x)$ , over  $\mathbb{R}$  - same reason.

More generally: true / any field  $F$ :

Reason: pass to a bigger field where the irred factor has roots  
- e.g. algebraic closure of  $F$   
( $\bar{F}$ )

Conclusion: the irred factors /  $F$  of  $P_A(x)$ , &  $P_A(x)$  are the same.  
(exc. for multiplicity)

Ex.  $A \in M_f(\mathbb{R})$

$$P_A(x) = (x-1)^2 (x^2+1)(x^2+2)$$



Then

$$P_A(x) = (x-1)^{\uparrow 1 \text{ or } 2} (x^2+1)^{\downarrow} (x^2+2)^{\downarrow 1 \text{ or } 2}$$

To find  $P_A(x)$ , start with  
 $(x-1)(x^2+1)(x^2+2)$ .  $\leftarrow 5$

If  $A$  satisfies this, this is  $P_A(x)$ .

If not, try an exp of 2 on

$$(x-1), \text{ then } \dots \dots \dots (x^2+2)$$

(but not  $(x-1)$ )

do  $\downarrow$  If these don't work, do  $\uparrow$   
 then  $P_A(x) = P_A(x)$ .

---

We saw

$$A \text{ diag'ble over } F \iff P_A(x) \text{ is a prod of lin factors over } F$$

$$\iff P_A(x) \dots \dots \dots$$

Thm (H+K, Th 6, p 204)

$A$  is diag'ble  $\iff$

$P_A(x)$  is a prod of distinct linear factors.

Recall: If  $P_A(x)$  is a product of distinct linear factors, then  $A$  is diagonalizable.  
— but not conversely.

Let's check this against the examples:

In  $\text{Ex } 0, \text{Ex } 1, \text{Ex } 2 / \mathbb{C}$ ,

$P_A(x)$  is a prod of distinct linear factors &  $A$  is diagonalizable.

In  $\text{Ex } 2 / \mathbb{R}$ , &  $\text{Ex } 3$ ,

$P_A(x)$  is not a prod. of distinct linear factors, and  $A$  is not diagonalizable.

---

Re ' $\Rightarrow$ ' in Thm:

Let  $D$  be an  $n \times n$  diag. mtr.

- diag. entries:  $\lambda_1, \dots, \lambda_m$  (distinct)  
multiplicities:  $d_1, \dots, d_m$

$$P_D(x) = \prod_{i=1}^m (x - \lambda_i)^{d_i}$$

→  $P_D(x) = \prod_{i=1}^m (x - \lambda_i)$ , prod. of  
distinct lin. factors.

Reason:  $D - \lambda_i I$  has a 0

at every diag. entry where

$D$  has  $\lambda_i$ .

(also 0 off the diag.)

$$\prod_{i=1}^m (D - \lambda_i I) = 0$$

i.e.  $\prod_{i=1}^m (x - \lambda_i)$  because 0

if we set  $x = D$ . ✓

What if  $A$  is diagonalizable?

Then  $A \sim D \leftarrow$  diag.

$$P_A(x) = P_D(x)$$

(b/c if  $A \sim B$ , then  $f(A) \sim f(B)$ )

∴  $P_A(x)$  is a prod. of distinct  
lin. factors. —

This shows '⇒' of Thm.

We'll come back to this.

1st: discussion of invariant subspaces.

$$T: V \rightarrow V \text{ lin tr } \neq 0$$

$W \subset V$  Subspace.

Can restrict  $T$  to  $W$

$$T_W: W \rightarrow V \quad \text{restriction of } T \text{ to } W$$

$$\uparrow T|_W, T|_W$$

(shrink domain)

Image might be smaller.

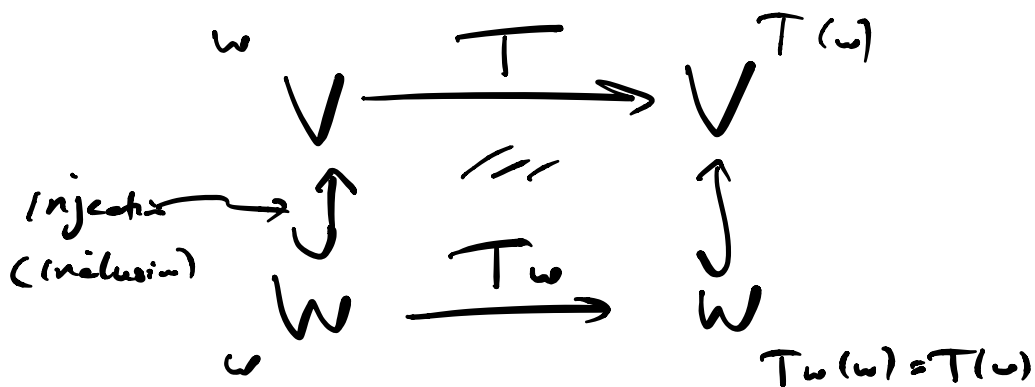
$$\text{If } \text{Im } T|_W = T(W)$$

is contained in  $W$ , say

$W$  is invariant under  $T$

(or:  $T$ -invariant)

$$\text{Then: } T_W: W \rightarrow W$$



Commutative diagram

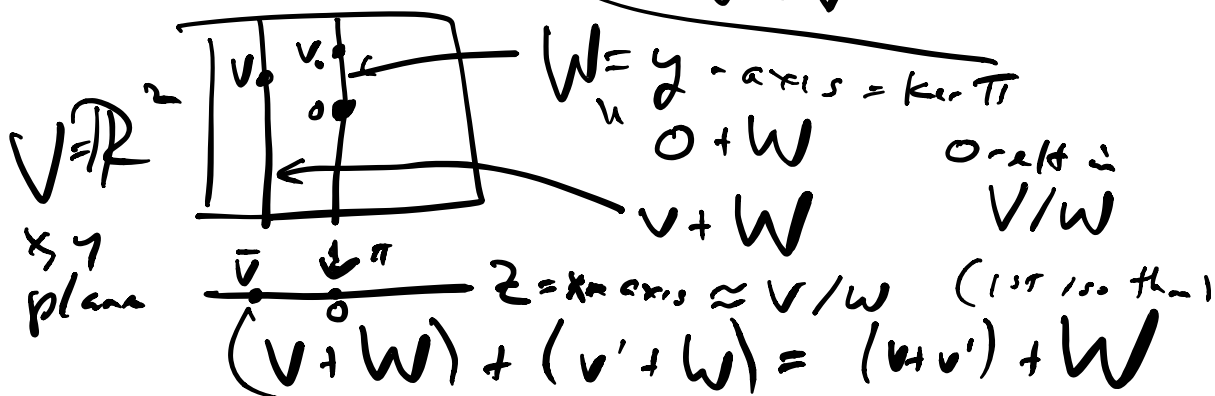
$W \subset V$  is invariant under  $T: V \rightarrow V$

$V/W = \{ \text{cosets of } W \text{ in } V \}$

Quotient space

$$v + W$$

$$v \in V$$



$$(v + W) + (v' + W) = (v + v') + W$$

$$c(v + W) = cv + W$$

$$T: V \rightarrow V,$$

$$W \subset V$$

$\{ T\text{-invariant} \}$

We get

$$\begin{aligned}\overline{T}: V/W &\longrightarrow V/W \\ v+W &\longmapsto T(v)+W\end{aligned}$$

Well defined?

What if  $v, v'$  are in the same coset?

$$v+W = v'+W \quad v' = v+w \quad w \in W$$

$$\overline{T} \quad \downarrow \quad \downarrow$$
$$T(v)+W \stackrel{?}{=} T(v')+W$$

$$\begin{aligned}&= T(v) + \underbrace{T(w)}_{\text{in } W, \text{ by LINEARITY}} + W \\ &= T(v) + W\end{aligned}$$

So get  $\overline{T}: V/W \longrightarrow V/W$ .

Easy: lin. transf.

So:  $\overline{T}$  is the map on  $V/W$   
induced by  $T$ .

Have a comm diagram:

$$\begin{array}{ccc}
 v \in V & \xrightarrow{T} & V \\
 \downarrow & \text{surj} \downarrow & \downarrow \\
 v+W \in V/W & \xrightarrow{\bar{T}} & V/W
 \end{array}$$

---


$$W \subset V \quad T: V \rightarrow V$$

↳ linear under T.

$$\bar{T}: V/W \rightarrow V/W$$

$$f(x) \in F[x]$$

$$\text{and } f(T) = 0.$$

$$\text{Then: } f(\bar{T}) = 0 \in 0+W$$

Reason:

$$\begin{aligned}
 f(\bar{T})(v+W) &= \overbrace{f(T)}^0(v) + W \\
 &= 0 + W = W
 \end{aligned}$$

✓ 0-elt in V/W

$$\text{Take } f = p_{\bar{T}}(x), \text{ set } p_{\bar{T}}(\bar{T}) = 0.$$

$$\therefore p_{\bar{T}}(x) \mid p_T(x)$$

Use this to prove the " $\Leftarrow$ "  
of the theorem:

If  $p_T(x) = \text{prod of distinct}$   
 $\text{linear factors}$

then  $T$  is diagonal. (on  $V$ ,  
 $n$  distinct  
 $\mathbb{F}$ )

$$p_T(x) = (x - c_1) \cdots (x - c_n)$$

$c_1, \dots, c_n$  distinct  
eigenvalues of  $T$ .

$c_i \leftrightarrow W_i$ , eigenspace.

Let  $W = \text{Span of } \cup W_i = W_1 \cup \dots \cup W_n$   
 $= \dots$  all eigenvectors  
 $= W_1 + \dots + W_n$ .

$W$  is invariant under  $T$  (PS 11, #3b)

$\therefore$  get  $\bar{T} : V/W \rightarrow V/W$

If we show  $W = V$  then

$V$  is spanned by its eigenvectors.

So  $\mathcal{B}$  basis for  $V$  consists of  
eigenvectors of  $T$ .

$\therefore T$  is diagonal. So - done.



To prove  $W = V$ , assume not.

If  $W \neq V$ ,  $W \subsetneq V$ ,

then  $V/W \neq 0$

$\bar{T}: V/W \rightarrow V/W$

Min poly  $p_{\bar{T}}(x)$  for  $\bar{T}$

$p_{\bar{T}}(x) \mid p_T(x)$ ,  $\uparrow$  deg  $\geq 1$ .

same roots.

$\uparrow$  prod. of distinct lin factors

$\therefore$  " " " " " "

" " some of the  $x - c_i$ 's.

After reordering  $c_i$ 's,

$(x - c_1) \mid p_{\bar{T}}(x)$ .

$\therefore c_1$  is an eigenvalue of  $\bar{T}$ .

Take a non-0 eigenvector

$$\bar{v} = v + W \in V/W \quad v \in V$$

for  $\bar{T}$ , with eigenvalue  $c_1$ .

$$\bar{v} \neq 0 \quad v + W \neq 0 + W = W$$

$$\therefore v \notin W$$

$$\overline{T}(\overline{v}) = c_1 \overline{v}, \quad \overline{v} \neq 0 \in V/W$$

If  $c' \neq c_1$ , then  $\overline{T}(\overline{v}) \neq c' \overline{v}$

$c_1, \dots, c_k$  are distinct,  $c_1, \dots, c_k \neq c_1$ .

$$(\overline{T} - c_2 I) \overline{v} = \underbrace{\overline{T} \overline{v}}_{c_1 \overline{v}} - c_2 \overline{v} \neq 0$$



$$\underbrace{(c_1 - c_2)}_{\neq 0} \overline{v} \neq 0 \quad \uparrow \neq 0.$$

Non 0 mult of  $\overline{v}$ .

Another non 0 eigenvector for  $\overline{T}$   
with eigenvalue  $c_1$ .

Repeat; using  $\overline{T} - c_3 I, \dots, \overline{T} - c_k I$ :

Get

$$(\overline{T} - c_2 I) \dots (\overline{T} - c_k I) \overline{v} = a \overline{v}$$

$$\nearrow a \neq 0, \quad a = \prod_{i=2}^k (c_1 - c_i) \quad \swarrow$$

Non 0 eigenvector in  $V/W$  for  $\overline{T}$   
with eigenvalue  $c_1$ .

$$a \overline{v} = a v + W \neq 0 + W = W$$

$\uparrow$   
 $V/W$

$a v \notin W.$

$$Q = \prod_{i=2}^h (c_i - c_i)$$

$$Qv = \prod_{i=2}^h (T - c_i I)v + W \neq 0 \in V/W$$

$$\Rightarrow \prod_{i=2}^h (T - c_i I)v \notin W.$$

contains all  
eigenvectors of T

$\therefore$  this is not an eigenvector of T

$$\text{But: } 0 = P_T(T)(v)$$

$$= \prod_{i=1}^h (T - c_i I)(v)$$

$$= (T - c_1 I) \prod_{i=2}^h (T - c_i I)v$$

$$\begin{aligned} 0 &= (T - c_1 I)v' \\ &= Tv' - c_1 v' \\ \therefore Tv' &= c_1 v' \end{aligned}$$

Contradiction.

$\therefore$  is an eigenvector  
for T with  
eigenvalue  $c_1$ .

This completes the pf of the th:

Th (H+K, Th 6, p 204) (diff. pf.)

$T \mapsto A$  is diag'ble  $\Leftrightarrow P_A(x)$  is a prod. of distinct lin. factors.

To use this to determine  
diag'bilith: (over  $F$ )

$$A \xrightarrow{\text{find}} P_A(x).$$

We call the find  $P_A(x)$ :

Factor  $P_A(x)$  over  $F$ ,  
- just products of irred factors,  
- taking each at least once.

Can do even less!

Factor  $P_A(x)$  over  $F$ ;  
look at irred factors.

If any irred factor of  $P_A(x)$   
is non-linear, then  $A$  is  
not diag'ble.

If all of the irred factors  
of  $P_A(x)$  are linear, then:

$$P_A(x) = \prod_{i=1}^n (x - \lambda_i)^{d_i}$$

$\lambda_1, \dots, \lambda_n$  distinct.

Test  $f(x) = \prod_{i=1}^n (x - \lambda_i)$ :

If  $f(A) = 0$  then  $f(x) = p_A(x)$ ,  
+  $A$  is diagonalizable.

If  $f(A) \neq 0$ , then  $p_A(x)$  has  
a factor with an exponent  $> 1$ ,  
So not a product of  
distinct linear factors,  
+ so  $A$  is not diagonalizable.

---

Additional results about  
diag +  $\Delta^n$ :

Say have a collection of lin trans:

$$T_i: V \rightarrow V$$

(or corresp mtr  $A_i$ )  
↑  
flds  $F$

Suppose that each is  $\Delta^b$ .

(i.e.  $\forall i \exists$  basis  $B_i$  of  $V$   
making  $T_i$  ( $A_i$ )  $\Delta^n$ )

Q: Is there a single basis  $B$   
that makes them all  $\Delta^n$ .  
(Simultaneous  $\Delta^n$ )

In said No. But:  
Th (Th 7, H&K, p 207):  
 If  $T_i$ 's (or  $A_i$ 's)  
 commute with each other  
 (i.e.  $T_i T_j = T_j T_i$ )  
 then yes.

The pt is related to the pt  
 that lin. tr. is  $\Delta$ ble  $\Leftrightarrow$  min poly  
 is a prod of lin. factors.

Cor (Cor to Th 7 above):

If  $F$  is alg. closed (e.g.  $F = \mathbb{C}$ ),  
 if  $T_i: V \rightarrow V$  commute,  $\Leftrightarrow A_i$   
 then:  $\exists C$ , invertible, st  
 $C^{-1} A_i C$  is upper  $\Delta$   $\forall i$ .

Also analog of result for diagonalizability:  
 (Th 8, H&K, p 207) f.d.v.s /  $F$   
 If  $T_i$  are a family of diagonalizable commuting lin. tr.:  $V \rightarrow V$   
 then  $\exists$  basis  $B$  making all  $T_i$ 's diag.

Simultaneous diag'n.

Pf uses similar ideas, to prev. thm.

Can phrase in terms of  $m \times s A_i$   
instead of  $n \times n T_i$ .