

For any algebra  $A$  containing a copy of  $\Lambda = \Lambda(X)$ , there is an adjoint action of  $\Lambda$  on  $A$  arising from the Hopf algebra structure of  $\Lambda$ .

- $X, Y$ : formal alphabet to distinguish  $\Lambda \otimes \Lambda \cong \Lambda(X) \Lambda(Y)$
  - coproduct:  $\Delta f = f[X+Y]$
  - antipode:  $S(f) = f[-X]$

The adjoint action of  $f \in \Lambda$  on  $\xi \in A$  is given by:

$$(\text{Ad } f) \zeta = \sum_i f_i [x_i] \zeta g_i [x_i] \quad \text{where} \quad f[x - Y] = \sum_i f_i(x) g_i(Y) \quad \leftarrow ((\text{Ad } S) \Delta f = \sum_i f_i \otimes g_i)$$

depends on which variable  $\lambda$  in  $\Lambda$  contains  $x$

change  $Y$  to  $-Y$

More explicitly, we have  $\cdot (\text{Ad}_{p_n})\zeta = p_n\zeta - \zeta p_n = [p_n, \zeta]$  ( $\because p_n[X-Y] = p_n(X) - p_n(Y) = p_n(X) \cdot 1 - 1 \cdot p_n(Y)$  )

$$\bullet (\text{Ad } h_n) \zeta = \sum_{j \in \mathbb{N}} (-1)^j h_{g_j} \zeta e_k \quad (\because h_{g_j}[X-Y] = \sum_{j \in \mathbb{N}} h_{g_j}[X] h_{g_j}[Y] = \sum_{j \in \mathbb{N}} h_{g_j}[X] (-1)^k e_k[Y])$$

$$\text{Let } \Omega(x) = \sum_n h_n(x). \text{ Then } (\text{Ad}_x \Omega([z]))^{\xi} = \Omega([z]x)^{\xi} \Omega([z]). \quad (\because \Omega([z](x-y)) = \sum_n h_n([z]x-y) = \sum_n (\sum_{g \in F} h_{ng}([z]x) h_{g^{-1}}(y)) = \sum_j h_j([z]x) \sum_k h_k([z]) = \Omega([z]x) \Omega([z])).$$

$$= \exp \frac{\rho(x)}{k}$$

$$(*) \quad \Delta_2[a_1+a_2+\dots+b_1+b_2+\dots] = \frac{\prod_{i=1}^r (1-b_i)}{\prod_{i=1}^r (1-a_i)}, \quad \Delta_2[X^T] = \prod_{i,j=1}^r \frac{1}{1-x_i y_j} = \sum_{\lambda} s_{\lambda}[x] s_{\lambda}[y] \quad (\text{Cauchy identity})$$

$$\text{Notation: } M = (1-q)(1-t), \quad \hat{M} = (1 - \frac{1}{q+t})(1-q)(1-t) = (1 - \frac{1}{q+t})M$$

- $k := \mathbb{Q}(q, t)$
  - Schiffmann algebra  $\mathcal{E}$ : generated by a central Laurent polynomial subalgebra  $F = k[c_1^{\pm 1}, c_2^{\pm 1}]$
  - a family of subalgebras  $\{\Lambda_F(x^{mn}) : q, c_i \text{ d.c. } (m, n) = 1\}$  where  $\Lambda_F(x^{mn}) \cong \Lambda_F(x)$  for all coprime pairs  $(m, n)$

## Structure and symmetries :

- For  $(m,n) \in \mathbb{Z}^2$  s.t.  $\text{g.c.d}(m,n)=1$ , a family of alphabets  $\{X_{\theta}^{m,n} : \theta \in \arg(m+n)\}$  satisfying

$$X_{\theta+2\pi}^{m,n} = X_{\theta}^m C_2^n X_{\theta}^{m,n} \quad (X^{m,n} := X_{\text{Arctan}(m:n)}^{m,n}) \text{ i.e. } X_{\theta}^{m,n} = X_{\theta}^{m,n} \text{ with } \theta \in (-\pi, \pi])$$

$$\text{e.g. } (m, n) = (3, -5), \quad \operatorname{Arg}(3-5i) = \tan^{-1}(-\frac{5}{3})$$

$$X^{\frac{3}{\tan^{-1}(-\frac{3}{5})+4\pi}} = C_1^3 C_2^{-5} X^{\frac{3}{\tan^{-1}(-\frac{3}{5})+2\pi}} = C_1^6 C_2^{-10} X^{\frac{3}{5}}$$

- $\Lambda_F(x^{m,n}) = \Lambda_F(x_{\theta}^{m,n})$  (independent of the choice of  $\theta$ )  
 $\Lambda_K(x^{m,n}) \neq \Lambda_K(x_{\theta}^{m,n})$  (depends on the choice of  $\theta$ )

- Universal extension  $\widehat{\mathrm{SL}_2(\mathbb{Z})} \rightarrow \mathrm{SL}_2(\mathbb{Z})$  acts on the set

$$\{(m, n, \theta) \in (\mathbb{Z}^2 \setminus \{(0,0)\}) \times \mathbb{R} \mid \theta \text{ is a value of } \arg(z_{m+n})\}$$

lifting the  $SL_2(\mathbb{Z})$  action on  $(m,n)$ , with the 'rotation by  $2\pi$ ' map  $(m,n,\theta) \mapsto (m, n, \theta + 2\pi)$  generating the central subgroup  $\mathbb{Z}$

$\widehat{\mathrm{SL}_2(\mathbb{Z})}$  acts on  $\mathcal{E}$  by  $K$ -algebra automorphisms, compatibly with  $\mathrm{SL}_2(\mathbb{Z})$ -action on  $\mathbb{Z}^2$ .

- \*  $\widehat{\mathrm{SL}_2(\mathbb{Z})}$  acts on the generators of  $E$  as follows:

$$\rho \cdot f(X_{\theta}^{m,n}) := f(X_{\theta'}^{m,n'}) \quad \text{for } f(x) \in \Lambda_k(x), \quad \rho \in \widehat{\mathrm{SL}_n(\mathbb{K})}$$

where  $\rho \cdot (m, n, \theta) = (m', n', \theta')$ .

Action on  $F$  factors through the  $SL_2(\mathbb{Z})$  action on  $k \cdot \mathbb{Z}^2 \cong F$ .

e.g. If  $p \in \widehat{SL_2(\mathbb{Z})}$  is the ‘rotation by  $\pi/3$ ’ element in  $SL_2(\mathbb{Z})$ ,  $p$  fixes  $F$ , and

$$\therefore p \sim \text{multiply by } c_1^m c_2^n \rightarrow p \cdot f(X_{\theta}^{m,n}) = f(X_{\theta+2\pi}^{m,n}) = f[c_1^m c_2^n X_{\theta}^{m,n}]$$

$$p \cdot f(X_{\frac{m+n}{2}}^{mn}) = f(X_{\frac{m+n}{2}}^{mn}) = f\left[c_1^{\frac{m}{2}} c_2^{\frac{n}{2}} X_B^{mn}\right], \quad p \cdot f(X_{\frac{m+n}{2}+1}^{mn}) = p \cdot f\left[c_1^m c_2^n X_A^{mn}\right] = f\left[c_1^m c_2^n X_{B2^{m+n}}^{mn}\right] = f\left[c_1^m c_2^n X_B^{mn}\right]$$

- $\mathcal{E}$  is  $\mathbb{Z}^2$  graded
- Central subalgebra  $F$  with degree  $(0,0)$
- For  $f \in \Lambda(X)$  with  $\deg f = d$ ,  $\deg(f(x^{m,n})) = (dm, dn)$ . e.g.  $\deg e_{21}(x^{3,-5}) = (9, -15)$

Note: 'rotation by  $2\pi$ '-map  $p$  preserves all relations that respect the  $\mathbb{Z}^2$ -grading b/c  $p$  acts by multiplying  $c_i^{m,n}$  in any given deg  $(m,n)$

$\downarrow$   
 $\deg = (0,0)$

Defining relations of  $\mathcal{E}$ :

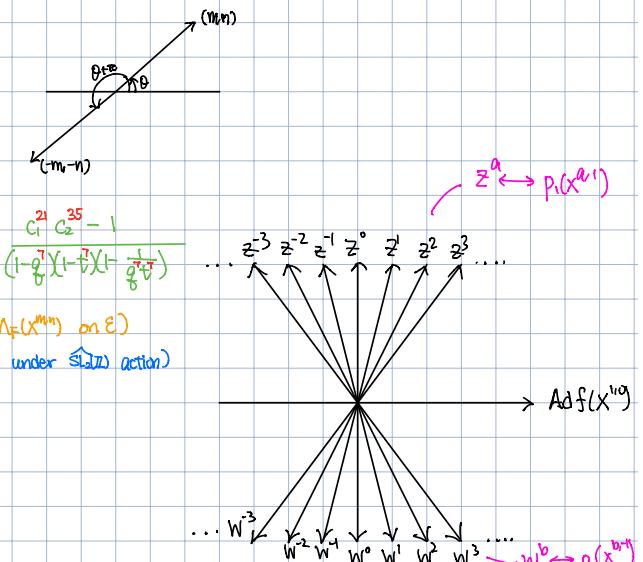
(1) Heisenberg relations:

For each pair of subalgebras  $\Lambda_F(x^{m,n})$  and  $\Lambda_F(x^{m',n'})$

$$[p_k(x_0^{-m,n}), p_\ell(x_0^{m',n'})] = \delta_{k\ell} k p_k \left[ \frac{c^m c^{m'-1}}{\hat{M}} \right]$$

e.g.  $[p_2(x_0^{-3,5}), p_4(x_0^{3,5})] = 0 \quad \theta \in \arg(-3,5)$

$$\bullet [p_7(x_0^{-3,5}), p_7(x_0^{3,5})] = 7 p_7 \left[ \frac{c_1^{21} c_2^{35} - 1}{(1-q^7)(1-q^7)(1-q^{14})} \right]$$



(2) Internal action relations: (describes the adjoint action of each  $\Lambda_F(x^{m,n})$  on  $\mathcal{E}$ )

(For simplicity, we set  $(m,n)=(1,0)$ . The full set of relations is closed under  $\widehat{SL(2)}$  action)

$$(\text{Ad } f(x^{1,0})) p_i(x^{m,1}) = (\omega f)[z] \Big|_{z^k \mapsto p_i(x^{m+k,1})}$$

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e.g.  $(\text{Ad } p_2(x^{1,0})) p_1(x^{3,1}) = (\omega p_2)[z] \Big|_{z^k \mapsto p_1(x^{3+k,1})} = (-1)^{a-1} p_2[z] \Big|_{z^k \mapsto p_1(x^{3+k,1})} = -z^2 \Big|_{z^k \mapsto p_1(x^{3+k,1})} = -p_1(x^{5,1})$

$$\bullet (\text{Ad } e_3(x^{1,0})) p_1(x^{3,1}) = \mathcal{H}_3[z] \Big|_{z^k \mapsto p_1(x^{3+k,1})} = \sum_{n=3}^7 \frac{p_n[z]}{z^n} \Big|_{z^k \mapsto p_1(x^{3+k,1})} = \frac{p_3[z]}{3} + \frac{p_4[z]}{2} + \frac{p_5[z]}{6} \Big|_{z^k \mapsto p_1(x^{3+k,1})}$$

$$= \frac{1}{3} z^3 + \frac{1}{2} z^4 z + \frac{1}{6} z^5 \Big|_{z^k \mapsto p_1(x^{3+k,1})} = p_1(x^{6,1})$$

$$\bullet (\text{Ad } h_3(x^{1,0})) p_1(x^{3,1}) = \mathcal{Q}_3[z] \Big|_{z^k \mapsto p_1(x^{3+k,1})} = \sum_{n=3}^{3-2(n)} \frac{(-1)}{z_n} p_n[z] \Big|_{z^k \mapsto p_1(x^{3+k,1})} \\ = \frac{p_3[z]}{3} - \frac{p_4[z]}{2} + \frac{p_5[z]}{6} \Big|_{z^k \mapsto p_1(x^{3+k,1})} \\ = \left( \frac{1}{3} - \frac{1}{2} + \frac{1}{6} \right) z^3 \Big|_{z^k \mapsto p_1(x^{3+k,1})} = 0$$

(3) Axis-crossing relations:

(For simplicity, we set  $(m,n)=(1,0)$ . The full set of relations is closed under  $\widehat{SL(2)}$  action)

$$[p_1(x^{b,1}), p_1(x^{a,1})] = - \frac{e_{a+b}[-\hat{M}x^{1,0}]}{\hat{M}} \quad \text{for } a+b > 0$$

Rotate this relation by  $\pi$  anti-clockwise:

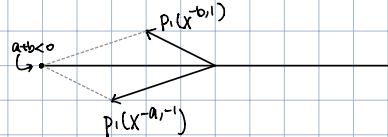
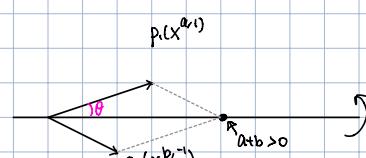
$$[p_1(x^{-b,1}), p_1(x^{a-1,0})] = - \frac{e_{a+b}[-\hat{M}x^{1,0}]}{\hat{M}} \quad \text{for } a+b > 0$$

$$\therefore [p_1(x^{-b,1}), p_1(x^{a-1,0})] = [p_1(x^{-b,1}), p_1(x^{a-1,0})]$$

$$= [p_1(x^{-b,1}), c_1 c_2 p_1(x^{a-1,0})] = - \frac{c_1^a c_2 e_{a+b}[-\hat{M}x^{1,0}]}{\hat{M}}$$

$$\text{i.e. } [p_1(x^{a-1,0}), p_1(x^{-b,1})] = \frac{c_1^a c_2 e_{a+b}[-\hat{M}x^{1,0}]}{\hat{M}} \quad \text{for } a+b > 0 \Rightarrow [p_1(x^{a-1,0}), p_1(x^{a,1})] = \frac{c_1^{-b} c_2 e_{-a-b}[-\hat{M}x^{1,0}]}{\hat{M}} \quad \text{for } a+b < 0$$

$a \rightarrow -b, b \rightarrow -a$



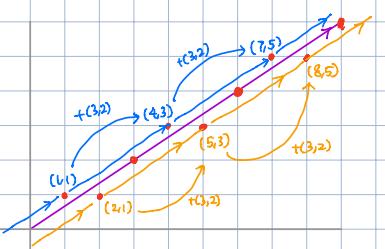
By Heisenberg relation:  $[p_i(x^{b,i}), p_j(x^{b,j})] = \frac{c_1^b c_2 - 1}{\lambda}$

$\therefore$  We get

$$[p_i(x^{b,i}), p_j(x^{b,j})] = \begin{cases} -\frac{\epsilon_{abc}[-\hat{M}x^{i,0}]}{\lambda} & \text{for } a+b>0 \\ \frac{c_1^b c_2 - 1}{\lambda} & \text{for } a+b=0 \\ c_1^b c_2 e^{-\epsilon_{abc}}[-\hat{M}x^{i,0}] & \text{for } a+b<0 \end{cases}$$

\* Using  $\widehat{SL}_2(\mathbb{Z})$  image, we can express any  $e_k[-\hat{M}x^{m,n}]$  with  $n>0$  in terms of iterated commutators of the elements  $p_i(x^{a,i})$ . ( $p_i(x^{a,i})$ )

e.g. Express  $e_2[-\hat{M}x^{3,2}]$  in terms of  $p_i(x^{a,i})$ 's:



• points on  $x=i$  (i.e.  $z$ ) closest to the line  $y=\frac{3}{2}x$

These points are:  $[(1,1)+k(3,2) : k \in \mathbb{Z}]$ ,  $[(2,1)+k(3,2) : k \in \mathbb{Z}]$   
or  $[(0,0)+k(3,2) : k \in \mathbb{Z}]$ .

$\therefore$  Points just above the axis  $y=\frac{3}{2}x$  are:  $[(1,1)+k(3,2) : k \in \mathbb{Z}]$

Points just below the axis  $y=\frac{3}{2}x$  are:  $[(0,0)+k(3,2) : k \in \mathbb{Z}]$

By axis-crossing relations:

In general, to find  $e_k[-\hat{M}x^{m,n}]$ :

Find two closest points to the

ray  $y=\frac{n}{m}x$ , say  $(a,b)$  and  $(c,d)$

s.t.  $\gcd(a,b) = \gcd(c,d) = 1$

$(a,b)+(c,d) = jk(m,n)$

$$\begin{aligned} e_2[\hat{M}x^{3,2}] &= -\hat{M}[p_i(x^{2,1}), p_i(x^{4,3})] \\ p_i(x^{4,3}) &= -\frac{1}{\lambda} \epsilon [-\hat{M}x^{4,3}] = -\frac{1}{\lambda} \cdot (-\hat{M}) [p_i(x^{3,2}), p_i(x^{4,1})] \\ &= [p_i(x^{3,2}), p_i(x^{4,1})] \end{aligned}$$

$$\begin{aligned} p_i(x^{3,2}) &= -\frac{1}{\lambda} e_i[-\hat{M}x^{3,2}] = -\frac{1}{\lambda} (-\hat{M}) [p_i(x^{2,1}), p_i(x^{4,1})] \\ &= [p_i(x^{2,1}), p_i(x^{4,1})] \end{aligned}$$

$$\text{Hence } e_2[-\hat{M}x^{3,2}] = -\hat{M}[p_i(x^{2,1}), [p_i(x^{2,1}), p_i(x^{4,1})]].$$

Def: Define  $E^{*,>0} :=$  subalgebra of  $E$  generated by  $\Lambda_F(x^{m,n})$ ,  $n>0$  (upper half subalgebra of  $E$ )

$E^{*,<0} :=$  subalgebra of  $E$  generated by  $\Lambda_F(x^{m,n})$ ,  $n<0$  (lower half subalgebra of  $E$ )

$\therefore e_k[-\hat{M}x^{m,n}]$  can be written as iterated commutators of elements  $p_i(x^{a,i})$ ,  $a \in \mathbb{Z}$

$\therefore E^{*>0}$  is generated by  $\{p_i(x^{a,i}) : a \in \mathbb{Z}\}$ .

Similarly,  $E^{*,<0}$  is generated by  $\{p_i(x^{a,i}) : a \in \mathbb{Z}\}$ .

$\therefore$  The internal action relations give the adjoint action of  $\Lambda_F(x^{b,0})$  on the space spanned by  $\{p_i(x^{a,i}), p_i(x^{b,i}) : a \in \mathbb{Z}\}$

$\therefore$  Using the formula  $(\text{Ad } f)(S_1 S_2) = \sum ((\text{Ad } f_{10}) S_1) ((\text{Ad } f_{20}) S_2)$  where  $\Delta f = \sum f_{10} \otimes f_{20}$ , this determines the adjoint action on  $E^{*>0}$  and  $E^{*<0}$ .

- The Heisenberg relations give the adjoint action of  $\Lambda(X^{k+1})$  on  $\Lambda(X^{k+1})$ , and  $\Lambda(X^{k+1})$  acts on itself trivially
- $\therefore$  All these determine the adjoint action of  $\Lambda_F(X^{k+1})$  on  $E$ . (Holds for  $\Lambda(X^{m+n})$  by symmetry)

$$(\text{Ad}f(X^{k+1}))g(X^{k+1}) = (f \sqcup g)(X^{k+1})$$

Useful relations:  $[\omega p_k(x^{k+1}), p_l(x^{k+1})] = p_l(x^{k+k+1})$  By internal action relations (put  $f = \omega p_k$ )  
 $[\omega p_k(x^{k+1}), p_l(x^{k+1})] = -p_l(x^{k+k+1})$  ← Use symmetry

Def: (anti-involution)  
 $\Phi: E \rightarrow E$    st.    $\Phi(g(c_1, c_2)) = g(c_2^*, c_1^*)$ ,  $\Phi f(X_{\theta}^{m,n}) = f(X_{\bar{\theta}}^{n,m})$

Notation: (used in Path)

$E^+ \subseteq E$  := subalgebra of  $E$  generated by  $\Lambda_R(X^{mn})$ ,  $m > 0$  ('right half-plane' subalgebra)  
 $E^- \subseteq E$  := subalgebra of  $E$  generated by  $\Lambda_F(X^{mn})$ ,  $m < 0$  ('left half-plane' subalgebra)

Note:  $\Phi E^+$  is generated by  $\Lambda_R(X^{mn})$ ,  $n > 0$

We leave out  $F$  b/c the relations of  $E^+$  do not depend on  $c_1, c_2$

Heisenberg, adjoint action and  
anti-commuting relations

