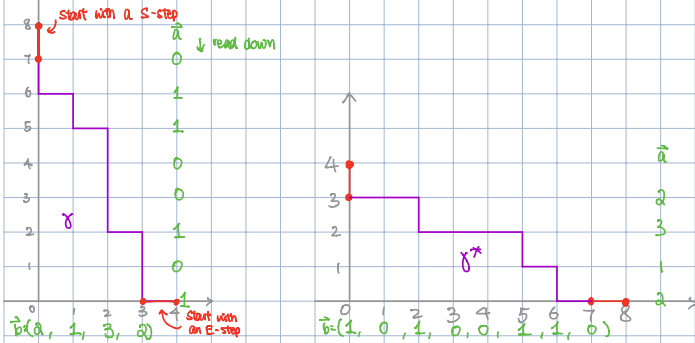


Def: A S.E. lattice path γ from $(0, n)$ to $(m, 0)$ ($m, n \in \mathbb{Z}^+$) is **admissible** if it starts with a S-step and ends with an E-step.

- Notation:
- $\vec{b}(\gamma) = (b_1, b_2, \dots, b_m)$ where $b_i = \#$ S-steps on $x=i-1 \ \forall 1 \leq i \leq m$ ($b_i \geq 1$)
 - $\vec{a}(\gamma) = (a_1, a_2, \dots, a_n)$ where $a_j = \#$ E-steps on $y=j-1 \ \forall 1 \leq j \leq n$ ($a_j \geq 1$)
 - $D_\gamma := D_{\beta(\gamma)}, E_\gamma := E_{\beta(\gamma)}$
 - $\gamma^* = \text{transpose of } \gamma$ (S-step \leftrightarrow E-step) and hence also admissible

$\therefore \vec{b}(\gamma^*) = (a_1, \dots, a_n)$
 $\vec{a}(\gamma^*) = (b_1, b_2, \dots, b_m)$
 $E_\gamma = \Phi(D_{\gamma^*})$ (Recall $E_{r_1, r_2, \dots, r_k} := \Phi(D_{r_1, r_2, \dots, r_k}) \ \forall (r_1, \dots, r_k) \in \mathbb{Z}^k$)

e.g. $m=4, n=8$



γ is an admissible path from $(0, 8)$ to $(4, 0)$ γ^* is an admissible path from $(0, 4)$ to $(8, 0)$.

$\vec{b}(\gamma) = (8, 1, 3, 2)$ $\vec{b}(\gamma^*) = (1, 0, 1, 0, 0, 1, 1, 0) = \text{rev}(\vec{a}(\gamma))$
 $\vec{a}(\gamma) = (0, 1, 1, 0, 0, 1, 0, 1)$ $\vec{a}(\gamma^*) = (8, 3, 1, 2) = \text{rev}(\vec{b}(\gamma))$

$D_\gamma = D_{2132}$ $D_{\gamma^*} = D_{10100110}$
 $E_\gamma = E_{01101010} = \Phi(D_{10100110})$ $E_{\gamma^*} = E_{2312} = \Phi(D_{2132}) = \Phi(D_\gamma)$

Prop 4.3.3: If γ is an admissible path, then $D_\gamma = E_{\gamma^*}$.

Proof: Let γ be an admissible path from $(0, n)$ to $(m, 0)$, $m, n \in \mathbb{Z}^+$.

When $n=1$:



$D_\gamma = D_{1, 0, \dots, 0}, E_\gamma = E_m = p_1[-MX^{m+1}]$

Recall: $\text{Adp}(X^{i_0}) p_1[-MX^{m+1}] = -M(\omega p_1) |_{x^i \rightarrow p_1[X^{i+m+1}]} = -Mx |_{x \rightarrow p_1[X^{i+m+1}]} = -Mp_1[X^{i+m+1}] = p_1[-MX^{i+m+1}]$

$\therefore \text{Adp}(X^{i_0}) p_1[-MX^{m+1}] = p_1[-MX^{i+m+1}]$
 $(\text{Adp}(X^{i_0}))^r p_1[-MX^{m+1}] = (\text{Adp}(X^{i_0}))^{r-1} p_1[-MX^{i+m+1}] = \dots = p_1[-MX^{i+m+1}]$

When $m=1$, $D_\gamma = D_1 = p_1[-MX^{n+1}] = E_1$

When $m \geq 1$, $E_\gamma = p_1[-MX^{m+1}] = (\text{Adp}(X^{i_0}))^{m-1} p_1[-MX^{m+1}] = (\text{Adp}(X^{i_0}))^{m-1} D_1$

Recall for any algebra A containing a copy of Λ , $(\text{Adp}_A) \xi = [p_1, \xi] \ \forall \xi \in A$

$\therefore (\text{Adp}(X^{i_0})) D_{1,0^m} = [p_1(X^{i_0}), D_{1,0^m}] = [-\frac{1}{\hbar} p_1 E M X^{i_0}, D_{1,0^m}] = -\frac{1}{\hbar} D_{1,0^m} D_{1,0^m}$

Recall Prop 4.2.1 (i):

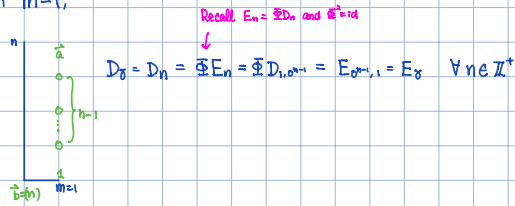
$[D_{a_1} D_{b_1} \dots D_{b_k}] = -M \sum_{i=1}^k \sum_{j=i+1}^k D_{b_1, \dots, b_{i-1}, j, a_1, b_{i+1}, \dots, b_k}$

$\therefore [D_1, D_{1,0^m}] = -M \sum_{i=1}^m \sum_{j=i+1}^m D_{1, \dots, 1, j, 0, \dots, 0} = -M D_{1, 1, 0, \dots, 0} = -M D_{1, 1, 0, \dots, 0} \Rightarrow -\frac{1}{\hbar} [D_1, D_{1,0^m}] = D_{1,0^{m+1}}$

$\sum_{j=i+1}^m = \text{empty sum, } \sum_{j=i+1}^m = \sum_{j=i+1}^m$ (Hence only consider $i=1$ case)

$\therefore (\text{Adp}(X^{i_0})) D_{1,0^m} = D_{1,0^{m+1}} \Rightarrow (\text{Adp}(X^{i_0}))^m D_1 = (\text{Adp}(X^{i_0}))^{m-2} D_{1,0} = \dots = D_{1,0^{m-1}} \Rightarrow E_\gamma = E_m = D_{1,0^m} = D_\gamma$ for $n=1$ and $m \in \mathbb{Z}^+$

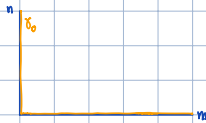
When $m=1$,



When $m, n > 1$, we proceed by induction.

Assume $D_\gamma = E_\gamma$ for all admissible paths γ from $(0, n)$ to $(m', 0)$ when $m' \leq m$ and $n' \leq n$ and $(m', n') \neq (m, n)$.

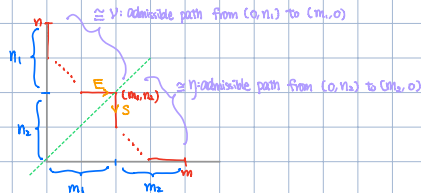
Denote γ_0 : path with 0 area:



Suppose $\gamma \neq \gamma_0$. Then γ contains an E-step from (m_1-1, n_2) to (m_1, n_2) and a S-step (m_1, n_2) to (m_1, n_2-1) where $1 \leq m_1 < m, 1 \leq n_2 < n$. Set $m_2 = m - m_1, n_1 = n - n_2$.

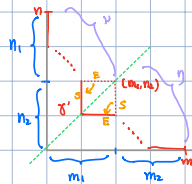


Then $\gamma = \nu \cdot \eta$ for shorter admissible paths ν and η where $\nu \cdot \eta$ is defined to be the lattice path obtained by coinciding end of ν with start of η . Here ν is an admissible path from $(0, n_1)$ to $(m_1, 0)$ and η is an admissible path from $(0, n_2)$ to $(m_2, 0)$, and we form γ by putting $(m_1, 0)$ & $(0, n_2)$ at (m_1, n_2) .



Define $\nu \cdot \eta'$ to be the path obtained by first replacing the last E-step of ν to a S-step and the first S-step of η to an E-step and coincide the new end of ν and new start of η together.

Denote $\gamma' = \nu \cdot \eta'$.



Recall $D_{b_1 a_1 + b_2 a_2 + \dots + b_n a_n} = D_{b_1 \dots b_n} - q t D_{b_1 \dots b_{i-1} b_{i+1} b_{i+2} \dots b_n} - \dots - q t D_{b_1 \dots b_{i-1} b_{i+1} b_{i+2} \dots b_n}$ (cf §4.1)

$E_{a_1 a_2 + a_3 a_4 + \dots + a_n} = E_{a_1 \dots a_n} - q t E_{a_1 \dots a_{i-1} a_{i+1} a_{i+2} \dots a_n} - \dots - q t E_{a_1 \dots a_{i-1} a_{i+1} a_{i+2} \dots a_n}$

$\therefore D_\nu D_\eta = D_\gamma - q t D_{\gamma'}$

$E_\nu E_\eta = E_\gamma - q t E_{\gamma'}$

By induction, $D_\nu = E_\nu, D_\eta = E_\eta$. Hence $D_\gamma - q t D_{\gamma'} = E_\gamma - q t E_{\gamma'} \Rightarrow D_\gamma - E_\gamma = q t (D_{\gamma'} - E_{\gamma'}) = (q t)^2 (D_{\gamma''} - E_{\gamma''}) = \dots = (q t)^{\text{area}(\gamma)} (D_{\gamma_0} - E_{\gamma_0})$

Hence it suffices to prove $D_{\gamma_0} = E_{\gamma_0}$ i.e. $D_{\frac{0, n, 0, \dots, 0}{m-1}} = E_{\frac{0, 0, \dots, 0, m}{n-1}}$

We can assume $D_{n,0,\dots,0} = E_{0,\dots,0,m-1}$ because the corresponding admissible path starts from $(0,n)$ and ends at $(0,m-1)$.

$$[D_0, D_{n,0,\dots,0}] = [p[-MX^{(0)}, D_{n,0,\dots,0}]] = -M [p(X^{(0)}, D_{n,0,\dots,0})] = -M (\text{Ad } p(X^{(0)})) D_{n,0,\dots,0} = -M (\text{Ad } p(X^{(0)})) E_{0,\dots,0,m-1}$$

Recall Prop 4.2.1 (i):

$$[D_a, D_{b_1, \dots, b_m}] = -M \sum_{i=1}^m \sum_{j=1}^{b_i} D_{b_1, \dots, b_{i-1}, j, a+b_i-j, b_{i+1}, \dots, b_m}$$

$$\therefore [D_0, D_{n,0,\dots,0}] = -M \sum_{i=1}^n \sum_{j=1}^n D_{0, \dots, 0, i, n-j, 0, \dots, 0} = -M \sum_{j=1}^n D_{0, \dots, 0, n-j, 0, \dots, 0}$$

$\sum_{i=1}^n =$ empty sum, $\sum_{j=1}^n = \sum_{j=1}^n$ (Hence only consider $i=1$ case)

Recall Lemma 4.1.2:

$$(\text{Ad } p(X^{(0)})) E_{a_1, \dots, a_n} = \psi^p \left(\frac{\omega_f(z_1, \dots, z_n) z_1^{a_1} \dots z_n^{a_n}}{\prod_{i=1}^n (1 - q^i z_i)} \right)$$

$$\therefore (\text{Ad } p(X^{(0)})) E_{0, \dots, 0, m-1} = \psi^p \left(\frac{p(z_1, \dots, z_n) z_1^{m-1} \dots z_n^0}{\prod_{i=1}^n (1 - q^i z_i)} \right) = \psi^p \left(\frac{z_1^m + z_1^{m-1} z_2 + z_1^{m-2} z_2^2 + \dots + z_1^{m-1} z_n}{\prod_{i=1}^n (1 - q^i z_i)} \right) = \sum_{j=1}^n \psi^p \left(\frac{z_1^{m-1} z_j}{\prod_{i=1}^n (1 - q^i z_i)} \right) = \sum_{j=1}^n E_{0, \dots, 0, m-j+1, 0, \dots, 0}$$

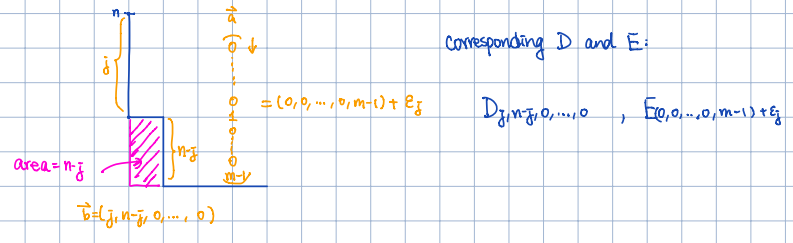
$(0, \dots, 0, 0, \dots, 0, j)$
 \uparrow
 j^{th} position

Hence $\sum_{j=1}^n D_{0, \dots, 0, n-j, 0, \dots, 0} = \sum_{j=1}^n E_{0, \dots, 0, m-1} + \epsilon_j$

$$D_{0_0} + \sum_{j=1}^n D_{0, \dots, 0, n-j, 0, \dots, 0} = E_{0_0} + \sum_{j=1}^n E_{0, \dots, 0, m-1} + \epsilon_j \Rightarrow D_{0_0} + E_{0_0} + \sum_{j=1}^n (D_{0, \dots, 0, n-j, 0, \dots, 0} - E_{0, \dots, 0, m-1} + \epsilon_j) = 0$$

(when $j=n$) (when $j=n$)

For $1 \leq j \leq n-1$:



$$\therefore D_{0, \dots, 0, n-j, 0, \dots, 0} - E_{0, \dots, 0, m-1} + \epsilon_j = (qt)^{n-j} (D_{0_0} - E_{0_0})$$

$$\therefore (D_{0_0} - E_{0_0}) + \sum_{j=1}^{n-1} (qt)^{n-j} (D_{0_0} - E_{0_0}) = 0$$

$$\text{i.e. } [1 + qt + (qt)^2 + \dots + (qt)^{n-1}] (D_{0_0} - E_{0_0}) = 0 \Leftrightarrow D_{0_0} - E_{0_0} = 0 \Leftrightarrow D_{0_0} = E_{0_0} \quad \square$$

Corollary 4.3.4: For any $a_1, \dots, a_\ell \in \mathbb{Z}$, we have

$$E_{a_1, \dots, a_\ell, a_1 \cdot 1} = E_{a_1, \dots, a_\ell, 0 \cdot 1} \quad (\text{independent of } a_i)$$

Proof: Suppose $a_i > 0 \forall 1 \leq i \leq \ell$. Then (a_1, \dots, a_ℓ) corresponds to an admissible path γ from $(0, \ell)$ to $(a_1 + a_2 + \dots + a_\ell, 0)$.

Consider $\tilde{\gamma}(0)$. Recall that Lemma 3.4.2 in Path (§4.1) we know $D_{\tilde{\gamma} \cdot 1}$ is independent of trailing zeros which corresponds to the # E steps (except the last E step) on $y=0$ (i.e. $a_i - 1 = \#$ trailing zeros in $\tilde{\gamma}(0)$) $D_{0_0} \cdot 1$

$\therefore D_{\tilde{\gamma}} = E_{\tilde{\gamma}}$ (by Prop 4.3.3)

$\therefore E_{\tilde{\gamma}} \cdot 1$ is independent on the number of E-steps on $x=0$ as long as there is one E-step on $y=0$ (i.e. as long as $a_i \geq 1$) to keep $\tilde{\gamma}$ admissible.

Known: The symmetry of $\Phi \in \mathcal{E}^t : f(x^{m,n}) \mapsto f(x^{m+k,n})$ sends E_{a_1, \dots, a_l} to $E_{a_1+k, a_2+k, \dots, a_l+k}$ (b/c $p[x^{a_i}] \mapsto p[x^{a_i+k}]$ which corresponds to $z_0 \dots z_l \mapsto z_0^{a_i+k} \dots z_l^{a_i+k}$)

By Lemma 3.4.1 (Path) (c.f. §3.3), $\nabla^k f(x^{m,n}) \nabla^{-k} = f(x^{m+k,n}) \Rightarrow \nabla^k E_{a_1, \dots, a_l} = E_{a_1+k, \dots, a_l+k} \nabla^k$

$$\Rightarrow (\nabla^k \cdot E_{a_1, \dots, a_l}) \cdot 1 = (E_{a_1+k, \dots, a_l+k} \nabla^k) \cdot 1$$

$$\Rightarrow \nabla^k (E_{a_1, \dots, a_l} \cdot 1) = E_{a_1+k, \dots, a_l+k} (\nabla^k \cdot 1)$$

$\nabla \cdot 1 = 1 \Rightarrow \nabla^k \cdot 1 = 1 \forall k$

$$\Rightarrow \nabla^k (E_{a_1, \dots, a_l} \cdot 1) = E_{a_1+k, \dots, a_l+k} \cdot 1$$

\therefore For any $a_1, \dots, a_l \in \mathbb{Z}^l$, we can find a large enough $k \geq 1$ such that $a_i+k \geq 0 \forall i$ with $a_i+k \geq 1$.

Then by the result above, $E_{a_1, \dots, a_l} \cdot 1 = E_{a_1+k, \dots, a_l+k} \cdot 1 \xrightarrow{k \geq 1} E_{a_1, \dots, a_l} \cdot 1 = \nabla^k (E_{a_1+k, \dots, a_l+k} \cdot 1) = E_{a_1, \dots, a_l} \cdot 1 \quad \square$

e.g. $E_{0,1,1,0,0,1,0,1} \cdot 1 = \nabla^{-1} (E_{1,2,2,1,1,2,1,1} \cdot 1)$ all entries ≥ 0 with last entry ≥ 1 can be changed to 1 (or any positive integer)

$$= \nabla^{-1} (E_{1,2,2,1,1,2,1,1} \cdot 1)$$

$$= E_{0,1,1,0,0,1,0,1} \cdot 1$$

$E_{-3,0,2,-4,1,3,-2} \cdot 1 = \nabla^{-5} (E_{2,5,7,1,6,8,5} \cdot 1) = \nabla^{-5} (E_{2,5,7,1,6,8,5} \cdot 1) = E_{-3,0,2,-4,1,3,0} \cdot 1$

$+5$ sum original

we want to get 0 after ∇^{-5} , hence choose it