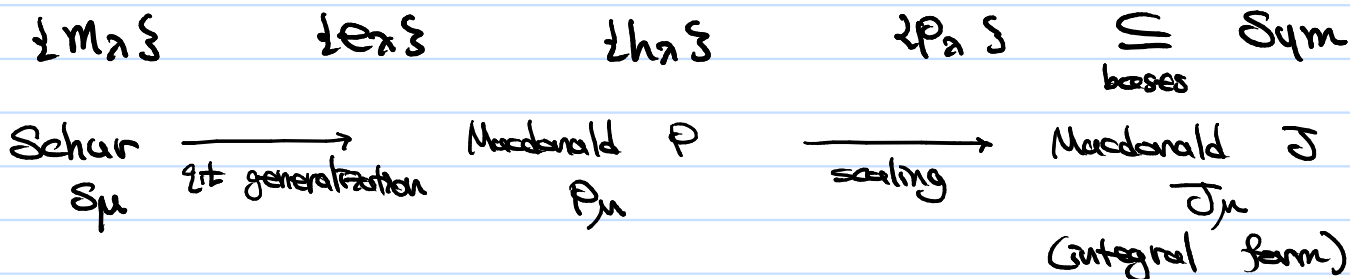


# Toward Butler's conjecture

joint w/ Donghyun Kim and Seung Jun Lee

- Macdonald polynomials



Macdonald positivity conjecture  $\mu \vdash n$ , let

$$J_\mu[X; q, t] = \sum_{\lambda \vdash n} K_{\lambda, \mu}(q, t) S_\lambda[(1-t)X]$$

then  $K_{\lambda, \mu}(q, t) \in \mathbb{N}[q, t]$

$\uparrow$   $q, t$ -Kostka polynomial

Garsia and Haiman introduced the modified Macdonald polynomial  
 $\tilde{H}_\mu[X; q, t]$

$$\tilde{H}_\mu[X; q, t] = t\text{-rev} \left( J_\mu \left[ \frac{X}{1-t}; q, t \right] \right)$$

Macdonald positivity conjecture (rephrased) Let  $\mu \vdash n$  and

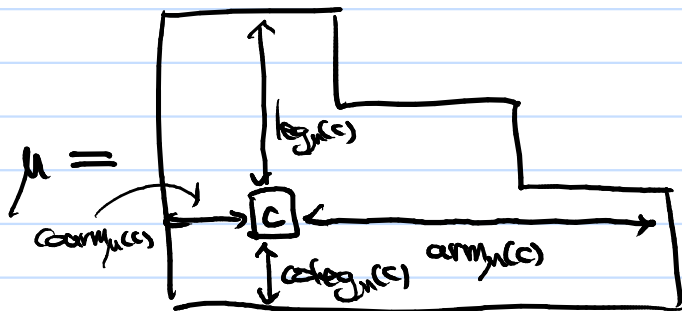
$$\tilde{H}_\mu[X; q, t] = \sum_{\lambda \vdash n} \tilde{K}_{\lambda, \mu}(q, t) S_\lambda$$

then  $\tilde{K}_{\lambda, \mu}(q, t) \in \mathbb{N}[q, t]$

$\uparrow$  (modified)  $q, t$ -Kostka polynomials

• Garsia-Haiman module  $V_\mu$

$$\Delta_\mu := \det \left( x_i^{\text{arm}_\mu(c)} y_i^{\text{coleg}_\mu(c)} \right)_{\substack{1 \leq i \leq n \\ c \in \mu}} \in \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]$$



eg.  $\Delta_{(2,1)} = \det \begin{bmatrix} 1 & x_1 & y_1 \\ 1 & x_2 & y_2 \\ 1 & x_3 & y_3 \end{bmatrix} = x_2 y_3 - x_3 y_2 - x_1 y_3 + x_3 y_1 + x_1 y_2 - x_2 y_1$

0,1
1,0

The Garsia-Haiman module  $V_\mu$  is defined as

$$V_\mu := \text{Span} \{ \text{partial derivatives of } \Delta_\mu \} \subseteq \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]$$

eg.  $V_{(2,1)} = \text{Span} \{ \Delta_{(2,1)} \quad (1,1) \quad \mathcal{S}^{(1,1)}$

$$\left. \begin{aligned} \partial_{y_1} \Delta_{(2,1)} &= x_3 - x_2 \\ \partial_{y_2} \Delta_{(2,1)} &= x_1 - x_3 \\ \partial_{y_3} \Delta_{(2,1)} &= x_2 - x_1 \end{aligned} \right\} (1,0) \quad \mathcal{S}^{(2,1)}$$

$$\left. \begin{aligned} \partial_{x_1} \Delta_{(2,1)} &= y_2 - y_3 \\ \partial_{x_2} \Delta_{(2,1)} &= y_3 - y_1 \\ \partial_{x_3} \Delta_{(2,1)} &= y_1 - y_2 \end{aligned} \right\} (0,1) \quad \mathcal{S}^{(2,1)}$$

$$\partial_{x_1} \partial_{y_2} \Delta_{(2,1)} = 1 \quad (0,0) \quad \mathcal{S}^{(3)}$$

$\dim V_{(2,1)} = 6 \stackrel{!}{=} 3!$

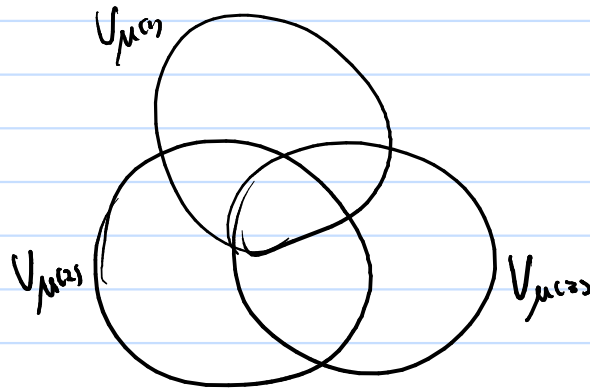
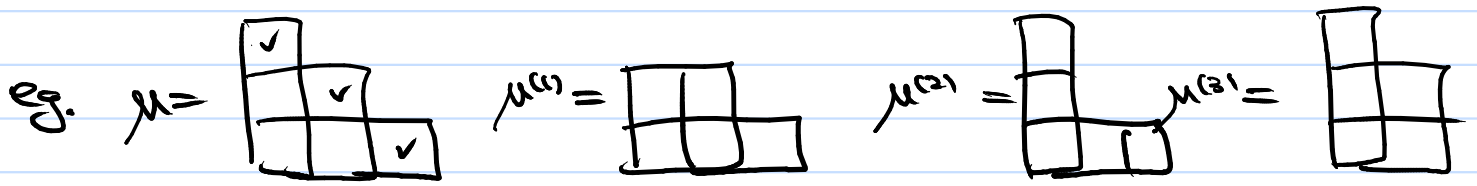
Note that  $V_\mu$  is a bigraded  $S_n$ -module.  
 (x-, y-degree)  $\left( \begin{aligned} \sigma x_i &= x_{\sigma(i)} \\ \sigma y_i &= y_{\sigma(i)} \end{aligned} \right)$

$\text{Hilb}(V_{(2,1)}; q, t) = qt + 2qt + qt + 1$



# Science Fiction conjecture.

Let  $\mu \vdash n+1$ ,  $\mu^{(1)}, \dots, \mu^{(k)} \subseteq \mu$   $k$  distinct partitions such that  $|\mu/\mu^{(i)}| = \dots = |\mu/\mu^{(k)}| = 1$



## Conj (Bergeron - Garsta 99)

- $(\frac{n!}{k} - \text{conjecture})$

$$\dim \left( \bigcap_{i=1}^k V_{\mu^{(i)}} \right) = \frac{n!}{k}$$

$$\begin{aligned} \bullet \text{ grFrob} \left( \bigcap_{i=1}^k V_{\mu^{(i)}} ; q, t \right) &= \sum_{i=1}^k \prod_{j \neq i} \frac{T_{\mu^{(j)}}}{T_{\mu^{(j)}} - T_{\mu^{(i)}}} \tilde{H}_{\mu^{(i)}} \\ &=: I_{\mu^{(1)}, \dots, \mu^{(k)}} [X; q, t] \in \end{aligned}$$

where  $T_{\mu} := \prod_{c \in \mu} q^{\text{coarm}_{\mu}(c)} t^{\text{colog}_{\mu}(c)}$  (Macdonald intersection polynomial)



- HHL formula

Thm (Haglund-Haiman-Leehr 04) For  $\mu \vdash n$ ,

$$\tilde{H}_\mu[X; q, t] = \sum_{\sigma \in S_n} q^{\text{inv}_\mu(\sigma)} t^{\text{maj}_\mu(\sigma)} F_{\text{Des}(\sigma)}$$

Thm (Kim-Lee-0, 22+) For  $0 \leq n \leq 1$ ,  $\lambda, \mu \subseteq \mathbb{N}$  s.t.  $|\nu/\lambda| = |\nu/\mu| = 1$ . Then

$$I_{\lambda, \mu} = \sum_{\sigma \in B_{\lambda, \mu}} q^{\text{inv}_\mu(\sigma)} t^{\text{maj}_\mu(\sigma)} F_{\text{Des}(\sigma)}$$

↳ Butcher permutations, and this is of size  $\frac{n!}{2}$

Conj (Butcher 94)

$$I_{\lambda, \mu}[X; q, t] = \frac{T_\lambda \tilde{H}_\mu - T_\mu \tilde{H}_\lambda}{T_\lambda - T_\mu} \text{ is Schur positive.}$$

Rmk SF  $\implies$  Butcher's conjecture

### Thm (Kim-Lee-0.22t)

- $I_{\lambda, \mu}[X; q, t]$  is Schur positive if

$\nu \setminus \lambda$  is in the first row / second row of  $\mathcal{L}$ .



- $I_{\lambda, \mu}[X; q, 1]$  is Schur positive  
( $I_{\lambda, \mu}[X; 1, t]$  is Schur positive)

### Thm (Kim-Lee-0.22t)

$$I_{\lambda, \mu}[X; 1, 1] = h_{(2, m-2)}$$

- Combinatorial formula for  $\tilde{K}_{\lambda, \mu}(q, t)$  which is compatible with Butler's conjecture for  $\mu = \text{hook}$  /  $\mu = 2\text{-column}$

$\xrightarrow{\text{Vetter}} \mu = (m, 2^a, 1^b)$

- Diagonal invariants

The diagonal invariant algebra

$$DR_n := \frac{\mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]}{\left\langle \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]^{S_n} \right\rangle}$$

Thm (Haiman 02,  $(n+1)^{n-1}$ -theorem)

$$\left\{ \begin{array}{l} \dim(DR_n) = (n+1)^{n-1} \\ \text{grFrob}(DR_n, q, t) = \nabla e_n \\ \nabla \tilde{H}_\mu = T_\mu \tilde{H}_\mu \quad \uparrow \quad \uparrow \text{with elementary symm. for.} \end{array} \right.$$

Thm (Carlsson-Mellit 18, Shuffle theorem  
conj. by Haglund-Haiman-Loehr-Remmel-Ulyashov 05)

$$\begin{aligned} \nabla e_n &= D_n[X; q, t] \\ &:= \sum_{(\pi, w) \in \text{Park}_n} q^{\text{dinv}(\pi, w)} t^{\text{bounce}(\pi)} F_{\text{Des}(w)} \end{aligned}$$

- Rational shuffle Mel 18
- Delta theorem Mel-DAN 22, HMBPS 23
- Loehr-Warrington HMBPS 23+



Thm (Kim-lee-0.23+)  $\mu_{t+n+1}, \mu^{(1)}, \dots, \mu^{(k)} \subseteq \mu$

s.t.  $|\mu/\mu^{(1)}| = \dots = |\mu/\mu^{(k)}| = 1.$

(a) (Vanishing identity) For  $m < k-1$ , then we have

$$e_{n-m}^{\pm} I_{\mu^{(1)}, \dots, \mu^{(k)}} = 0$$

(b) (Connection to  $\nabla e_n$ )

$$e_{n-k+1}^{\pm} I_{\mu^{(1)}, \dots, \mu^{(k)}} = T_{\mu^{(1)}, \dots, \mu^{(k)}}^{\pm} \nabla e_{k-1}$$

(c) (Connection to  $D_n[x; q, t]$ )

$$e_{n-k+1}^{\pm} I_{\mu^{(1)}, \dots, \mu^{(k)}} = T_{\mu^{(1)}, \dots, \mu^{(k)}}^{\pm} D_{k-1}[x; q, t]$$

Cor Shuffle theorem.

Thm (Kim-lee-oh 23+)

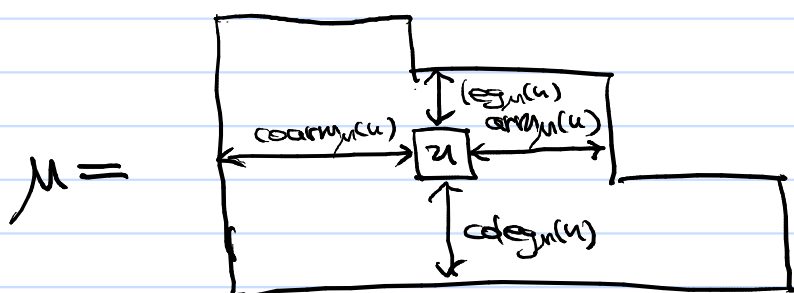
$$I_{\mu^{(1)}, \dots, \mu^{(k)}}[x; 1, 1] = \sum_{\lambda \vdash k-1} (-1)^{k-1-\ell(\lambda)} \text{Krew}(\lambda + (1^{k-1})) h_{\lambda + (1^{n-k+1})}$$

- Review HHL formula
- filled diagram and its Macdonald polynomials
- column exchange rule

For  $S \subseteq [n-1]$ , the fundamental quasisymmetric function

$$F_{n,S} = F_S := \sum_{\substack{\bar{i}_1 \leq \dots \leq \bar{i}_n \\ j \in S \Rightarrow \bar{i}_j < \bar{i}_{j+1}}} X_{\bar{i}_1} \dots X_{\bar{i}_n}$$

- Haglund-Haiman-Loeht formula



For a partition  $\mu$ , a pair  $(u, v)$  of cells in  $\mu$  is attacking pair if either

- $\begin{array}{ccc} \boxed{u} & \dots & \boxed{v} \end{array}$  or
- $\begin{array}{ccc} & & \boxed{u} \\ \boxed{v} & \dots & \end{array}$

For a filling  $\sigma$  of  $\mu$ , a pair  $(u, v)$  forms an inversion pair if

- $(u, v)$ : attacking pair  $\sigma(u) > \sigma(v)$ .

Denote the set of inversion pairs of  $\sigma$  by  $\text{Inv}_\mu(\sigma)$  and

$$\text{inv}_\mu(\sigma) := \prod_{(u,v) \in \text{Inv}_\mu(\sigma)} q$$

eg.  $\text{inv}_\mu \left( \begin{array}{|c|c|c|} \hline 3 & & \\ \hline 2 & 5 & 7 \\ \hline 6 & 1 & 4 \\ \hline \end{array} \right) = \text{inv}_\mu(3257614) = q^3$

A cell  $u$  is a descent of  $\sigma$  if  $\sigma(u) > \sigma(v)$ , where  $v = \text{cell right below } u$ .

Denote the set of descents of  $\sigma$  by  $\text{Des}_\mu(\sigma)$  and

$$\text{maj}_\mu(\sigma) := \prod_{u \in \text{Des}_\mu(\sigma)} q^{-\text{arm}_\mu(u)} t^{\text{leg}_\mu(u) + 1}$$

eg.  $\text{maj}_\mu \left( \begin{array}{|c|c|c|} \hline \textcircled{3} & \textcircled{5} & \textcircled{7} \\ \hline 2 & 5 & 7 \\ \hline 6 & 1 & 4 \\ \hline \end{array} \right) = \text{maj}_\mu(325764) = q^{-1} t^3$

$$\text{stat}_\mu(\sigma) := \text{inv}_\mu(\sigma) \cdot \text{maj}_\mu(\sigma) = q^2 t^3$$

Example

Thm (Haglund-Hamann-Leehr 05) For  $\mu \vdash n$ ,

$$\tilde{H}_\mu[X: q, t] = \sum_{\sigma \in S_n} \text{stat}_\mu(\sigma) F_{\text{Des}(\sigma)}$$

eg.  $\mu = (2, 1)$

$\sigma$	$\begin{array}{ c } \hline 1 \\ \hline 23 \\ \hline \end{array}$	$\begin{array}{ c c } \hline \textcircled{2} & 2 \\ \hline \textcircled{3} & \textcircled{3} \text{ 1} \\ \hline \end{array}$	$\begin{array}{ c c } \hline 1 & \textcircled{3} \\ \hline \textcircled{3} \text{ 2} & \textcircled{1} \text{ 2} \\ \hline \end{array}$	$\begin{array}{ c } \hline \textcircled{3} \\ \hline \textcircled{2} \text{ 1} \\ \hline \end{array}$
$\text{inv}_\mu(\sigma)$	1	1	2	2
$\text{maj}_\mu(\sigma)$	1	$t$	1	$t$
$\text{stat}_\mu(\sigma)$	1	$t$	2	$2t$
$\text{Des}(\sigma)$	$\emptyset$	$\{1\}$	$\{2\}$	$\{1, 2\}$

$$\begin{aligned} \tilde{H}_\mu &= F_\emptyset + (q+t)F_{\{1\}} + (q+t)F_{\{2\}} + qt F_{\{1, 2\}} \\ &= S_3 + (q+t)S_{21} + qt S_{111} \end{aligned}$$

Recall Our goal is to compute

$$I_{\lambda, \mu} = \frac{T_{\lambda} \tilde{H}_{\mu} - T_{\mu} \tilde{H}_{\lambda}}{T_{\lambda} - T_{\mu}}$$

We may hope that for  $\sigma \in S_n$ , we have either

①  $\text{stat}_{\mu}(\sigma) = \text{stat}_{\lambda}(\sigma)$  or

②  $T_{\lambda} \text{stat}_{\mu}(\sigma) = T_{\mu} \text{stat}_{\lambda}(\sigma)$

Then 
$$I_{\lambda, \mu} = \sum_{\substack{\sigma \in S_n \\ \text{satisfying } \textcircled{1}}} \text{stat}_{\lambda}(\sigma) F_{\text{Des}(\sigma)}$$

But it's not the case.

eg.  $\mu = (2, 1)$      $\lambda = (3) = \boxed{\quad} \boxed{\quad} \boxed{\quad}$

$\sigma$	(23)	213	231	(32)	312	321
$\text{stat}_{\mu}(\sigma)$	1	1	2	2	1	2
$\text{stat}_{\lambda}(\sigma)$	1	2	1	1	2	0
$\text{Des}(\sigma)$	$\emptyset$	{1}	$\emptyset$	{2}	$\emptyset$	{1, 2}

*Note: In the original image, there are blue and red annotations. A blue line connects the '1' in stat\_mu(213) to the '1' in stat\_lambda(213). A red 'X' is drawn over the '2' in stat\_mu(213) and the '1' in stat\_lambda(213). Red circles with numbers 1, 2, and 3 are placed around the '2' in stat\_mu(231), the '1' in stat\_lambda(312), and the '0' in stat\_lambda(321) respectively.*

Can we modify the combinatorial formula for  $\tilde{H}_{\mu}, \tilde{H}_{\lambda}$  to "swap" the stat properly

A diagram  $(D)$  is a collection of cells.

A filled diagram is a pair  $(D, f)$  of a diagram  $D$  and a filling function  $f$

$$f: D \setminus \{\text{bottom cells of } D\} \longrightarrow \mathbb{F}$$

where  $\mathbb{F}$  is a field (usually contains  $\mathbb{Q}(q, t)$ )

For a filling  $\sigma$  of  $D$ ,  $\text{inv}_D(\sigma)$  and  $\text{Des}_D(\sigma)$  similarly to  $\text{inv}_\mu(\sigma)$  and  $\text{Des}_\mu(\sigma)$

$$\text{maj}_{(D, f)}(\sigma) := \prod_{u \in \text{Des}_D(\sigma)} f(u)$$

$$\text{stat}_{(D, f)}(\sigma) := \text{inv}_D(\sigma) \cdot \text{maj}_{(D, f)}(\sigma)$$

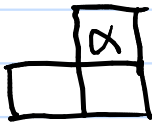
Finally, we define the (generalization) modified Macdonald polynomial  $\tilde{H}_{(D, f)}$  is defined as

$$\tilde{H}_{(D, f)} := \sum_{\sigma \in S_n} \text{stat}_{(D, f)}(\sigma) F_{\tilde{\text{Des}}(\sigma)}$$

If we let  $D = n$  and  $f_n^{\text{st}}(u) = q^{-\text{arm}_\mu(u)} t^{\text{leg}_\mu(u)+1}$

then we have

$$\tilde{H}_{(D, f)} = \tilde{H}_n$$

eg.  $(D, f) =$  

$\sigma$	$1$ $23$	$2$ $13$ $2$ $31$	$1$ $32$ $3$ $12$	$3$ $21$
$\text{inv}_D(\sigma)$	1	$q$ $q$	$q$ $q$	$q^2$
$\text{maj}_{(D,f)}(\sigma)$	1	1 $\alpha$	1 $\alpha$	$\alpha$
$\text{stat}_{(D,f)}(\sigma)$	1	$q$ $q\alpha$	$q$ $q\alpha$	$q^2\alpha$
$\text{Des}(\sigma)$	$\emptyset$	$\{1\}$	$\{2\}$	$\{1, 2\}$

$$\tilde{H}_{(D,f)} = F_{\emptyset} + (q + q\alpha) F_{\{1\}} + (q + q\alpha) F_{\{2\}} + q^2\alpha F_{\{1,2\}}$$

Rmk weighted characteristic polynomial =  $\tilde{H}_{(D,f)}$   
(CM 18)

$$\chi_{(\pi, \text{wt})} := \sum_{\sigma \in S_n} \prod_{\substack{(i,j) < \pi \\ \sigma(i) > \sigma(j)}} q \prod_{\substack{c=(i,j) \in \text{Comer}(\pi) \\ \sigma(i) > \sigma(j)}} \text{wt}(c) F_{\{\text{Des}(\sigma)\}}$$

$(D, f) \xrightarrow{\text{associate}} (\pi, \text{wt})$  so that we have

$$\tilde{H}_{(D,f)} = \chi_{(\pi, \text{wt})}$$

It is possible that for two distinct filled diagrams

$(D, f)$  and  $(D', f')$  and

$$\tilde{H}(D, f) = \tilde{H}(D', f')$$

eg  $\mu = (2, 1)$   $\lambda = (3)$

$$(\mu, f_\mu^{st}) = \begin{array}{|c|c|} \hline + & \\ \hline \hline \end{array} \quad (\lambda, f_\lambda^{st}) = \begin{array}{|c|c|c|} \hline & & \\ \hline \hline \hline \end{array} \quad (DF) = \begin{array}{|c|c|} \hline + & \\ \hline \hline \end{array}$$

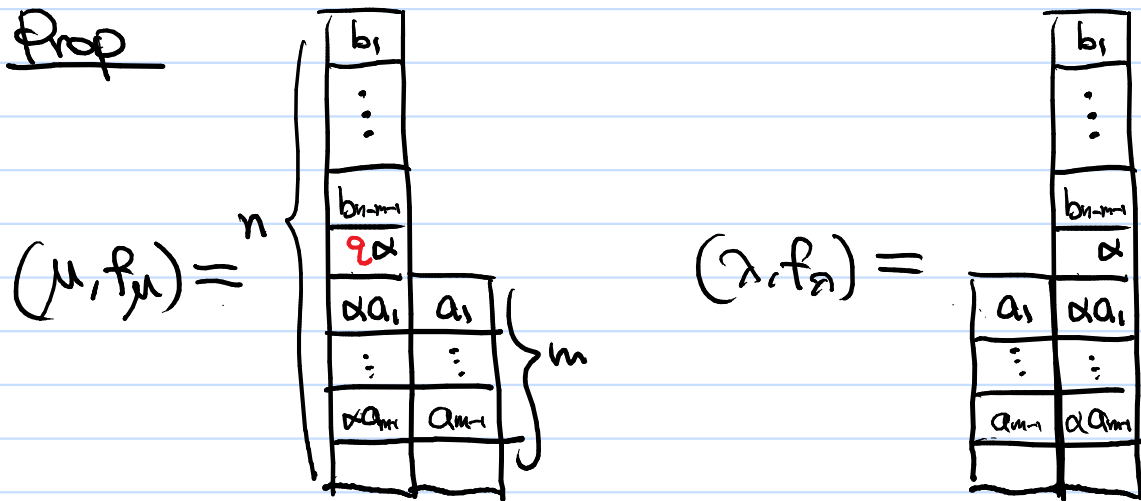
$\sigma$	123	213	231	132	312	321
$\text{stat}_{(\mu, f_\mu^{st})}(\sigma)$	1	t	2	2	t	2t
$\text{stat}_{(\lambda, f_\lambda^{st})}(\sigma)$	1	2	2 <sup>2</sup>	2	2 <sup>2</sup>	2 <sup>3</sup>
$\text{stat}_{(DF)}(\sigma)$	1 ⊕	1 ⊕	1 ⊕	1 ⊕	1 ⊕	1 ⊕
	1	2	t	2	t	2t

Lemma (cycling Lemma)

$$\begin{array}{|c|c|c|} \hline a & & \\ \hline b & c & d \\ \hline \hline \end{array} = \begin{array}{|c|c|c|} \hline & & a \\ \hline & & b \\ \hline c & d & \\ \hline \hline \end{array}$$

- Column exchange rule

Prop



Then there is a (stat, iDes, content)-preserving bijection

$$\phi_{n,m} : S_{n+m} \longrightarrow S_{n+m}, \text{ i.e. } \phi_{n,m} \text{ satisfies}$$

$$(\phi 1) \quad \text{stat}_{(\mu, f_\mu)}(\sigma) = \text{stat}_{(\lambda, f_\lambda)}(\phi_{n,m}(\sigma))$$

$$(\phi 2) \quad \text{iDes}(\sigma) = \text{iDes}(\phi_{n,m}(\sigma))$$

$$(\phi 3) \quad \sigma(i) = (\phi_{n,m}(\sigma))(i) \text{ for } 1 \leq i \leq n-m$$

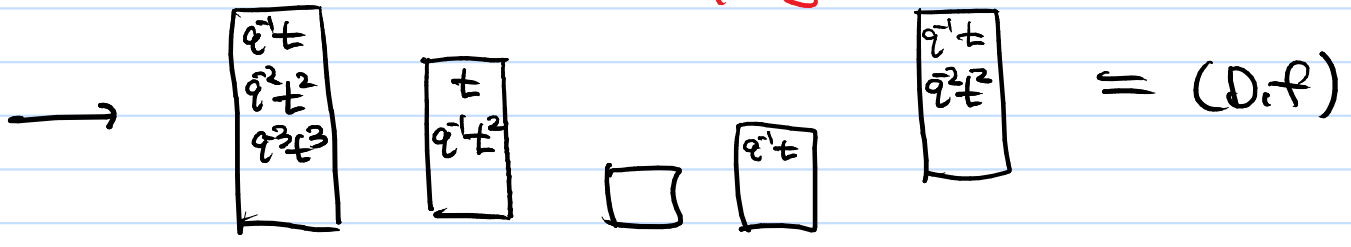
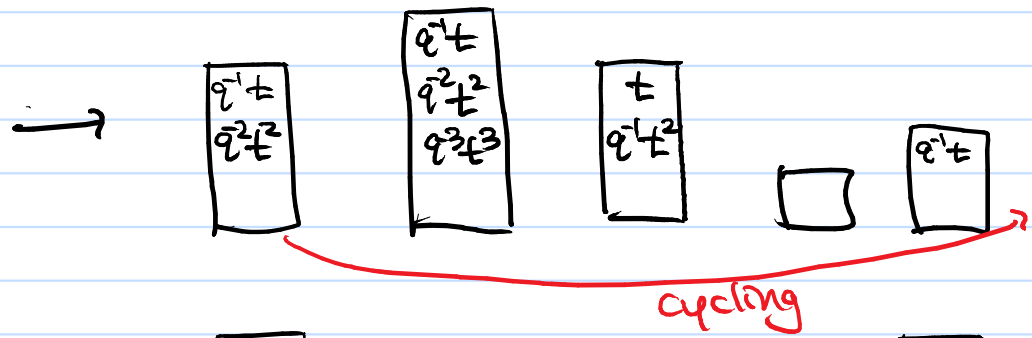
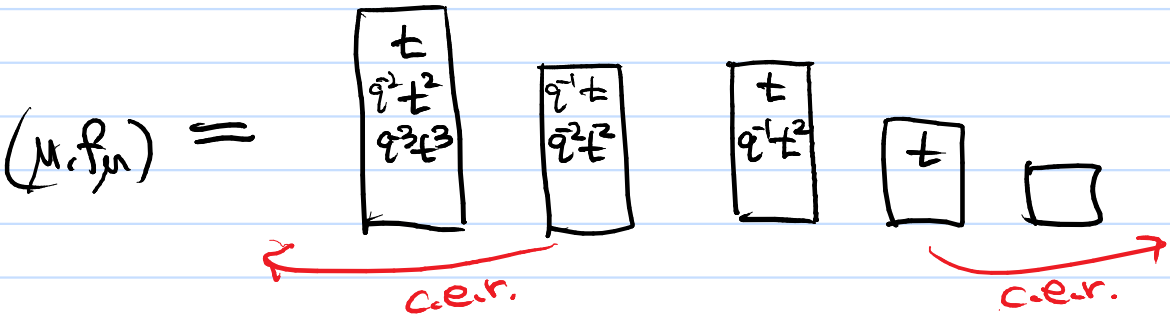
$$\left\{ \sigma(n-m+2i-1), \sigma(n-m+2i) \right\} = \left\{ (\phi_{n,m}(\sigma))(n-m+2i-1), (\phi_{n,m}(\sigma))(n-m+2i) \right\}$$

for  $1 \leq i \leq m$

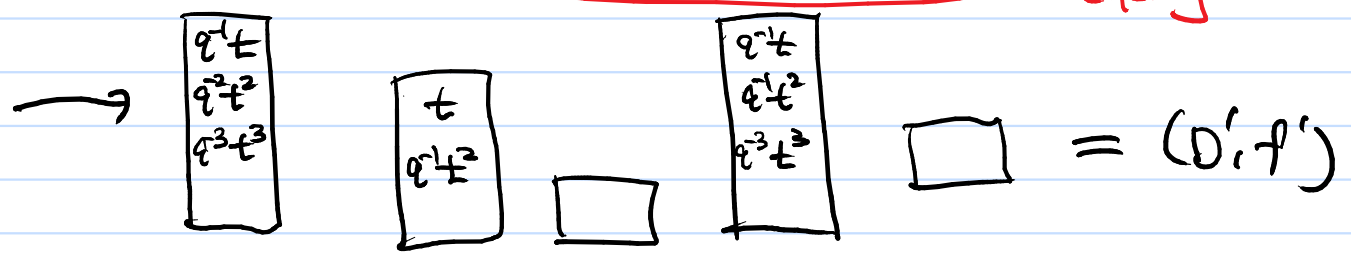
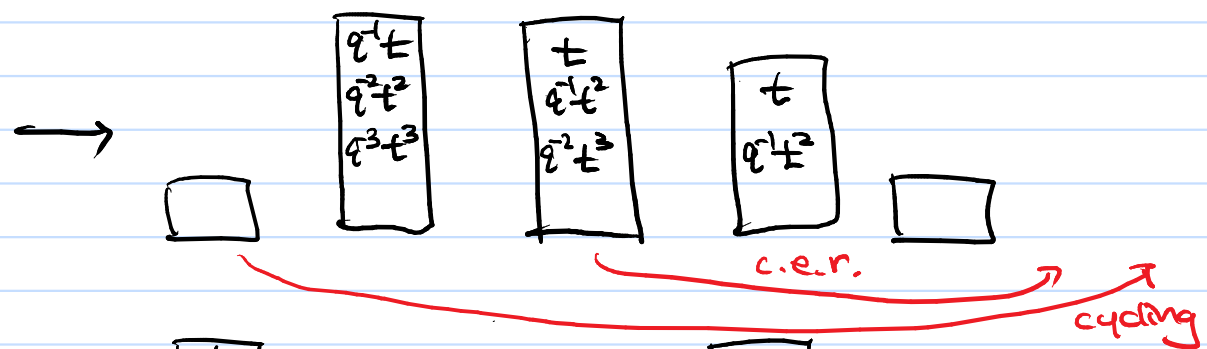
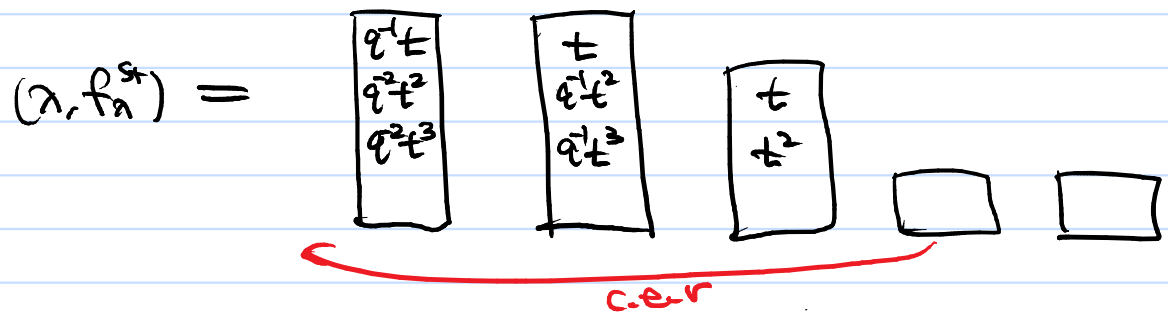
Rmk  $(\phi 1)$  and  $(\phi 2) \implies \tilde{H}_{(\mu, f_\mu)} = \tilde{H}_{(\lambda, f_\lambda)}$

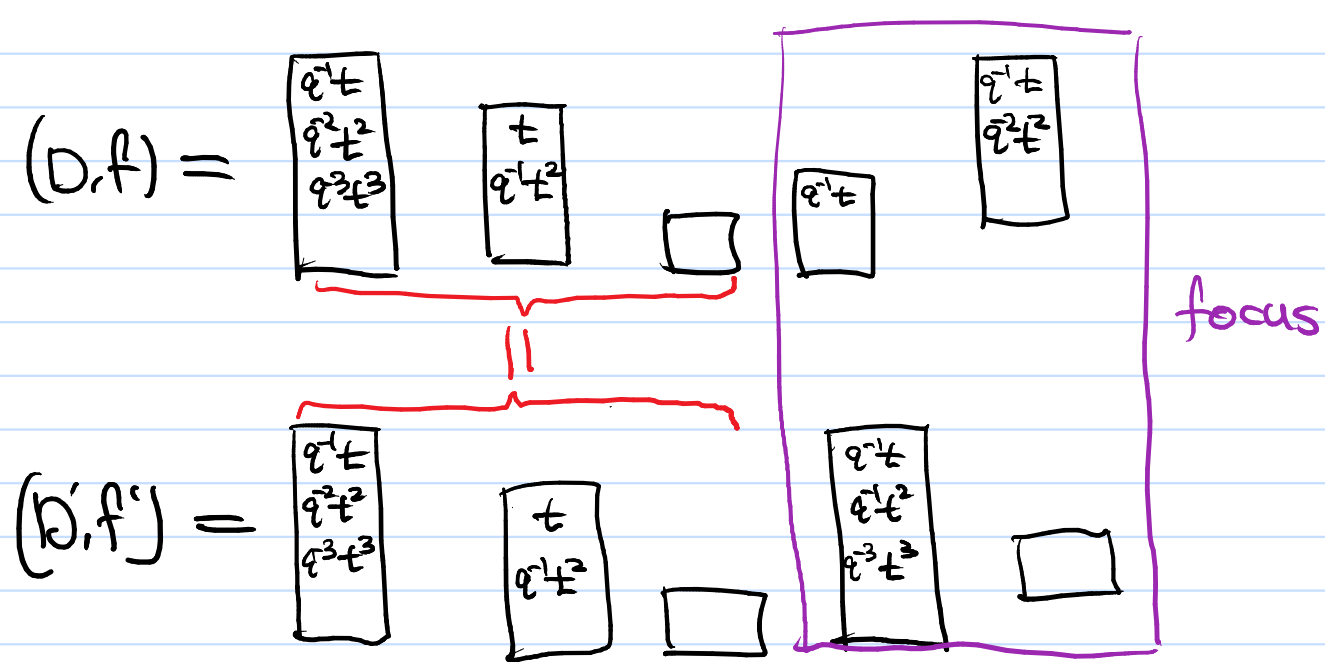


eg.  $\mu = (5, 4, 3, 1)$   $f_\mu = f_\mu^{st}$



$\lambda = (5, 3, 3, 2)$   $f_\lambda = f_\lambda^{st}$





Rmk The identity  $\tilde{H}(\mu, f_{\mu}^{st}) = \tilde{H}(D, f)$  also comes from Thm 5.1.1 of Haglund - Haiman - Loehr 08 where they studied a combinatorial formula for nonsymmetric Macdonald polynomials.

- Our proof is bijective answering a question of Haglund
- $(\phi_1)$  and  $(\phi_2)$  is enough to prove the identity  $\Phi_{\mu, \text{im}}$  also satisfies  $(\phi_3)$  which makes our theorem stronger version of HHLO8 and this fact is crucial in the later proof
- The setting is more flexible.