

Kirillov's Family Algebras and the Cayley-Hamilton Identity

Matthew Tai
University of Pennsylvania

October 2014

Harmonic Polynomials

Family Algebras

Known results

Other directions

Harmonic functions

$U = \mathbb{C}^n$, basis x_a , g^{ab} metric, dual metric g_{ab} .

$S(U)$ symmetric algebra on U , polynomials on U^\vee .

$$\Delta = g_{ab} \frac{\partial^2}{\partial x_a \partial x_b}$$

Harmonic polynomials

$$\mathcal{H}(U) = \{f \in S(U) \mid \Delta f = 0\}$$

Useful in analysis, geometry, algebra, interesting rep theoretic, combinatorial behavior.

$SO(n)$ action

$SO(n)$ acts on U , $S(U)$, Δ .

Δ is $SO(n)$ -invariant

$\mathcal{H}(U)$ is $SO(n)$ -invariant; can look at as $SO(n)$ -module.

Define $I(U) = S(U)^{SO(n)}$. $I(U) = \mathbb{C}[x_1^2 + x_2^2 + \dots + x_n^2]$.

$$S(U) \cong I(U) \otimes \mathcal{H}(U)$$

$\mathcal{H}(U)$ encodes $SO(n)$ -module behavior of $S(U)$.

Generalization

Group G , representation U .

When is $S(U)$ a free module over $I(U) = S(U)^G$?

Theorem (Sheppard-Todd, Chevalley)

For G finite, $S(U)$ is a free module over $I(U)$ iff G is a pseudoreflection group acting on U by pseudoreflections.

Theorem (Kostant-Rallis)

$S(U)$ is a free-module over $I(U)$ if G is a simple Lie group and U a symmetric space representation of G . Includes usual notion of harmonic.

G-Harmonic Polynomials

Basis x_a of U , g^{ab} a G -invariant bilinear form, dual g_{ab}

$Di : S(U) \rightarrow Diff(U)$, $x_a \rightarrow g_{ab} \frac{\partial}{\partial x_a}$.

$D(U) = Di(I(U))$, $D_+(U) = \{d \in D(U) | dc = 0 \text{ for } c \text{ constant}\}$

$$\mathcal{H}(G, U) = \{f \in S(U) | df = 0 \ \forall d \in D_+(U)\}$$

$\mathcal{H}(G, U)$ called G -harmonic polynomials. When understood, G omitted.

$$S(U) \cong I(U) \otimes \mathcal{H}(U)$$

Graded multiplicity

$I(U)$ G -invariant, again $\mathcal{H}(U)$ encodes G -module behavior of $S(U)$.
Define graded multiplicity:

$$m_{\mathcal{H}(U)}^V(q) = \sum_k \dim \operatorname{Hom}_G(V, \mathcal{H}^k(U)) q^k$$

For G pseudoreflection group, degrees called fake degrees; fully known.

For G simple Lie group, $U = \mathfrak{g}$ corresponding Lie algebra, degrees called generalized exponents.

$I(U)$ polynomial algebra in rank of U .

Generalized Exponents

For $V = \mathfrak{g}$, generalized exponents just regular exponents, e_1, \dots, e_r .
Algebraic generators of $I(\mathfrak{g})$ in degrees $e_i + 1$.

Theorem (Hesselink)

$$m_{\mathcal{H}(\mathfrak{g})}^V(q) = m_V^0(q)$$

q-analogue of 0-weight multiplicity in V .

Can use Kostant, Friedenthal multiplicity formulas; unwieldy.

More Generalized Exponents

Partially known for $G = SL(n)$; called Kostka polynomials.

Theorem (Broer)

Let T maximal torus in G , \mathfrak{h} corresponding Cartan subalgebra,
 $W = N_G(T)/T$ Weyl group of G .

V^T admits W -action, define $\{U_i\}$ by $V^T = \bigoplus_i U_i$ as W -modules.
If V small, i.e. $2\lambda \notin Wt(V)$ for all roots λ ,

$$m_{\mathcal{H}(G, \mathfrak{g})}^V(q) = \sum_i m_{\mathcal{H}(W, \mathfrak{h})}^{U_i}(q)$$

Not many small representations.

Family Algebras

$\text{Hom}_G(V, \mathcal{H}(\mathfrak{g}))$ vector space; no other structure.

Define classical family algebras

$$C_V(\mathfrak{g}) = \text{Hom}_G(\text{End}(V), S(\mathfrak{g}))$$

$\text{End}(V) = V \otimes V^\vee = \bigoplus_i V_i$, $\{V_i\}$ called children of V .

$$\begin{aligned} \text{Hom}_G(\text{End}(V), S(\mathfrak{g})) &= \bigoplus_i \text{Hom}_G(V_i, S(\mathfrak{g})) \\ &= \bigoplus_i \text{Hom}_G(V_i, \mathcal{H}(\mathfrak{g})) \otimes I(\mathfrak{g}) \end{aligned}$$

Homogeneous $I(\mathfrak{g})$ -basis of $C_V(\mathfrak{g})$ gives homogeneous \mathbb{C} -basis of $\text{Hom}_G(V_i, \mathcal{H}(\mathfrak{g}))$ gives generalized exponents.

Multiplication

$$\text{Hom}_G(\text{End}(V), S(\mathfrak{g})) \cong (\text{End}(V) \otimes S(\mathfrak{g}))^G$$

Multiplicative structure. View $C_V(\mathfrak{g})$ as $I(\mathfrak{g})$ -algebra. Idea: use multiplicative structure to describe $I(\mathfrak{g})$ -module structure.

Hopf structures of $\text{End}(V)$, $S(\mathfrak{g})$ don't carry over. Naive coproduct of $A \in (\text{End}(V) \otimes S(\mathfrak{g}))^G$ in

$$((\text{End}(V) \otimes S(\mathfrak{g})) \otimes (\text{End}(V) \otimes S(\mathfrak{g})))^G$$

not in

$$(\text{End}(V) \otimes S(\mathfrak{g}))^G \otimes (\text{End}(V) \otimes S(\mathfrak{g}))^G$$

Restriction

Injection $Res: C_V(\mathfrak{g}) \rightarrow B_V(\mathfrak{h})^W = Hom_W(End(V)^T, S(\mathfrak{h}))$
Res isomorphism iff children of V small.

$$C_V(\mathfrak{g}) \otimes_{I(\mathfrak{g})} Frac(I(\mathfrak{g})) \cong B_V(\mathfrak{h})^W \otimes_{I(\mathfrak{h})} Frac(I(\mathfrak{g}))$$
$$C_V(\mathfrak{g}) \otimes_{I(\mathfrak{g})} Frac(S(\mathfrak{h})) \cong \sum_{\lambda \in Wt(V)} Mat_{m_V^\lambda}(Frac(S(\mathfrak{h})))$$

Generation

For $P \in I(\mathfrak{g})$,

$$M_P = \sum_{\alpha} \pi_V(X_{\alpha}) \otimes \frac{\partial}{\partial X_{\alpha}} P \in C_V(\mathfrak{g})$$

For P_2 quadratic Casimir element, write $M_{P_2} = M$.
 V has simple spectrum if $m_V^{\lambda} \leq 1$ for all weights λ .

Theorem (Rozhkovskaia)

V has simple spectrum iff $C_V(\mathfrak{g}) \otimes_{I(\mathfrak{g})} \text{Frac}(I(\mathfrak{g}))$ is generated by M as $\text{Frac}(I(\mathfrak{g}))$ -algebra iff $C_V(\mathfrak{g})$ is commutative.

Simple Spectrum

$C_V(\mathfrak{g})$ not necessarily generated by single element over $I(\mathfrak{g})$.

A_r, B_r, C_r, G_2 , defining rep, $C_V(\mathfrak{g})$ generated by M

D_r , defining rep, $C_V(\mathfrak{g})$ generated by M, M_{Pf}

E_6, E_7 , defining rep, $C_V(\mathfrak{g})$ three generators.

B_r, D_r , spin rep, $C_V(\mathfrak{g})$ (roughly) $r/2$ generators.

Example

D_r , defining $2r$ -dimensional rep: Generators M in degree 1, M_{Pf} in degree $r-1$.

Relations: M^{2r} reduces, Cayley-Hamilton identity. $MM_{Pf} = Pf I_{2r}$.

M_{Pf}^2 reduces, M^{2r-1} also reduces.

$I(\mathfrak{g})$ -basis: $1, M^n$ for $1 \leq n \leq 2r-2$, M_{Pf} . Degrees

$0, 1, \dots, 2r-2, r-1$.

$$V_{\omega_1} \otimes V_{\omega_1} = V_0 \oplus V_{\omega_2} \oplus V_{2\omega_1}$$

$$m_0^0(q) = 1$$

$$m_{\omega_2}^0(q) = q^1 + q^3 + \dots + q^{2r-3} + q^{r-1}$$

$$m_{2\omega_1}^0(q) = q^2 + q^4 + \dots + q^{2r-2}$$

D_r , $2r$ -dimensional rep

$$M = \begin{bmatrix} 0 & h_1 & \dots & 0 & 0 \\ -h_1 & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 0 & h_r \\ 0 & 0 & \dots & -h_r & 0 \end{bmatrix}$$

$$M_{Pf} = \begin{bmatrix} 0 & h_2 h_3 \cdots h_r & \dots & 0 & 0 \\ -h_2 h_3 \cdots h_r & 0 & \dots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 0 & h_1 h_2 \cdots h_{r-1} \\ 0 & 0 & \dots & -h_1 h_2 \cdots h_{r-1} & 0 \end{bmatrix}$$

$V = \text{Adjoint Rep}$

$I(\mathfrak{g})$ -basis of $C_{\text{adj}}(\mathfrak{g})$:

$$M^m R_n \text{ for } 0 \leq m \leq e_r + 1, 1 \leq n \leq r$$

$$R_m S R_n + R_n S R_m \text{ for } 1 \leq m \leq n < r$$

$$R_m S R_n - R_n S R_m \text{ for } 1 \leq m < n \leq r$$

$$\text{deg}(M) = 1, \text{deg}(S) = 2, \text{deg}(R_n) = e_n - 1.$$

Tensor powers of the adjoint rep

Trace invariant: given representation (M, π) ,

$$T_M(x_1, \dots, x_n) = \text{tr}_M(\pi(x_1)\pi(x_2)\cdots\pi(x_n))$$

Theorem

For a classical Lie group G , the invariant elements of $\otimes^ \mathfrak{g} \cong \otimes^* \mathfrak{g}^V$ are spanned by products of trace invariants.*

For $V = \otimes^k \mathfrak{g}$, $C_V(\mathfrak{g})$ generated by elements based on trace invariants.

Symmetric Space Rep

U symmetric space rep

$$C_V(U) = \text{Hom}_G(\text{End}(V), S(U))$$

$$\text{Hom}_G(\text{End}(V), S(U)) = \bigoplus_i \text{Hom}_G(V_i, \mathcal{H}(U)) \otimes I(U)$$

Quantum Family Algebras

Let $U(\mathfrak{g})$ be the universal enveloping algebra of \mathfrak{g} .

$$Q_V(\mathfrak{g}) = \text{Hom}_G(\text{End}(V), U(\mathfrak{g}))$$

Theorem (Poincare-Birkhoff-Witt)

$$S(\mathfrak{g}) \cong U(\mathfrak{g})$$

as G -modules.

Define $Z(\mathfrak{g}) = U(\mathfrak{g})^G$. $Z(\mathfrak{g}) \cong I(\mathfrak{g})$ by PBW. $Q_V(\mathfrak{g}) \cong C_V(\mathfrak{g})$

Cayley-Hamilton

Theorem (Kirillov)

For $A \in Q_V(\mathfrak{g})$, \exists monic $P(x) \in Y(\mathfrak{g})[x]$, $\deg(P) = n = \dim V$ s.t.

$$P(A) = 0$$

$$P(x) = p_n + p_{n-1}x^{n-1} + \dots + p_0$$

$$\operatorname{tr}(A) = -p_{n-1}, \det(A) = (-1)^n p_0.$$

Not polynomials in entries of A .

Example

$$G = SL(2), \quad V = \mathbb{C}^2, \quad \Delta_2 = \frac{H^2}{2} + EF + FE$$

$$M = \begin{bmatrix} \frac{H}{2} & F \\ E & -\frac{H}{2} \end{bmatrix}$$

$$\begin{aligned} M^2 &= \begin{bmatrix} \frac{H^2}{4} + FE & \frac{H}{2}F - F\frac{H}{2} \\ E\frac{H}{2} - \frac{H}{2}E & \frac{H^2}{4} + EF \end{bmatrix} \\ &= \begin{bmatrix} \frac{1}{2} \left(\frac{H^2}{2} + EF + FE \right) - \frac{H}{2} & -F \\ -E & \frac{1}{2} \left(\frac{H^2}{2} + EF + FE \right) + \frac{H}{2} \end{bmatrix} \\ &= -M + \Delta_2 I_2 \end{aligned}$$