

## DIFFERENTIAL GEOMETRY HW 9

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2.

Prove the following inequality on real functions (Wirtinger's inequality). Let  $f : [0, \pi] \rightarrow \mathbb{R}$  be a real function of class  $C^2$  such that  $f(0) = f(\pi) = 0$ . Then

$$\int_0^\pi f^2 dt \leq \int_0^\pi (f')^2 dt,$$

and equality occurs if and only if  $f(t) = c \sin t$ , where  $c$  is a constant.

*Proof.* For a geometric solution, let  $\gamma$  be a normalized geodesic joining  $p$  and  $-p$  on the unit sphere  $S^2$ . Let  $v(t)$  be a parallel field along  $\gamma$  with  $\langle v, \gamma' \rangle = 0$  and  $|v| = 1$ . Let  $V = fv$ . Then the index form

$$\begin{aligned} I_\pi(V, V) &= \int_0^\pi [\langle V', V' \rangle - \langle R(\gamma', V)\gamma', V \rangle] dt \\ &= \int_0^\pi (f')^2 |v|^2 dt - \int_0^\pi f^2 \langle R(\gamma', v)\gamma', v \rangle dt \\ &= \int_0^\pi (f')^2 dt - \int_0^\pi f^2 dt. \end{aligned}$$

Since  $\gamma(\pi)$  is the first conjugate point to  $\gamma(0)$ , there are no conjugate points between  $\gamma(0)$  and  $\gamma(\pi)$ , so, by the Morse Index Theorem,  $I_\pi(V, V) \geq 0$ . Hence,  $\int_0^\pi f^2 dt \leq \int_0^\pi (f')^2 dt$ . Moreover, only if  $I_\pi(V, V) = 0$ , which is true if and only if  $V$  is a Jacobi field. But the Jacobi fields on the sphere are precisely of the form  $\sin tw$  for  $|w| = 1$ , so we see that equality in the above inequality implies that  $f = c \sin t$  for some constant  $c$ .

Alternatively, we can prove the above result using Fourier series. Let  $\sum a_n e^{int}$  be the Fourier representation of  $f$ . Then

$$f'(t) = \sum a_n i n e^{int}.$$

Then

$$\int_0^\pi f^2 dt = \int_0^\pi \sum a_n e^{int} dt = \sum |a_n|^2$$

since the  $e^{int}$  are  $L^2$ -orthonormal. On the other hand,

$$\int_0^\pi (f')^2 dt = \int_0^\pi \sum a_n i n e^{int} dt = \sum |n a_n|^2,$$

which makes it clear that  $\int_0^\pi f^2 dt \leq \int_0^\pi (f')^2 dt$ . If equality holds, then  $a_n = 0$  for  $n \neq \pm 1$ , so

$$f(t) = a_1 e^{it} + a_{-1} e^{-it} = b \sin t + c \cos t$$

for some  $b$  and  $c$ . However, since  $f(0) = 0$ ,  $c = 0$ , so  $f(t) = b \sin t$ .  $\square$

## 3.

Let  $M^n$  be a complete simply connected Riemannian manifold. Suppose that for each point  $p \in M$ , the locus  $C(p)$  of (first) conjugate points of  $p$  reduces to a unique point  $q \neq p$  and that  $d(p, C(p)) = \pi$ . Prove that, if the sectional curvature  $K$  of  $M$  satisfies  $K \leq 1$ , then  $M$  is isometric to the sphere  $S^n$  with constant curvature 1.

*Proof.* Let  $J$  be a Jacobi field along a normalized geodesic  $\gamma : [0, \pi] \rightarrow M$  joining  $p$  to  $q$  with  $J(0) = J(\pi) = 0$  and  $\langle J, \gamma' \rangle = 0$ . Since  $|\gamma'(0)| = 0$ , we can complete to an orthonormal basis for the tangent space  $e_1, \dots, e_{n-1}, \gamma'$ . Parallel transport to get fields  $e_i(t)$ . Then  $J = \sum_{i=1}^{n-1} a_i e_i$ . Define  $K(t) = K(\gamma'(t), J(t))$ . Then, since  $J$  is a Jacobi field,

$$\begin{aligned} 0 = I_\pi(J, J) &= \int_0^\pi [\langle J', J' \rangle - \langle R(\gamma', J)\gamma', J \rangle] dt \\ &= \int_0^\pi \sum_{i=1}^{n-1} (a_i')^2 dt - \int_0^\pi K(t) \sum_{i=1}^{n-1} a_i^2 dt \\ &\geq \sum_{i=1}^{n-1} \int_0^\pi a_i^2 (1 - K(t)) dt \\ &\geq 0, \end{aligned}$$

where the first inequality follows from problem 2 above. Therefore, it follows that  $\int_0^\pi a_i^2 (1 - K(t)) dt = 0$  for all  $i$ , meaning that  $K(t) \equiv 0$ . Therefore, since  $M$  is simply connected,  $M$  is the round sphere.  $\square$

## 4.

Let  $a : \mathbb{R} \rightarrow \mathbb{R}$  be a differentiable function with  $a(t) \geq 0$ ,  $t \in \mathbb{R}$  and  $a(0) > 0$ . Prove that the solution to the differential equation

$$\frac{d^2 \phi}{dt^2} + a\phi = 0$$

with initial conditions  $\phi(0) = 1$ ,  $\phi'(0) = 0$ , has at least one positive zero and one negative zero.

*Proof.* Suppose the solution  $\phi$  does not have a positive zero. Then  $\phi(t) > 0$  for all  $t > 0$  (since  $\phi(0) = 1$ ). Hence, for  $t > 0$ ,

$$\phi'(t) = \int_0^t \phi''(t) dt = - \int_0^t a\phi dt \leq 0.$$

Thus,  $\phi(t) \leq \phi(0) = 1$ . Since  $\phi(t) \neq 0$  for  $t > 0$ , this implies, by the mean value theorem, that  $\phi'(t)$  gets arbitrarily close to zero for large  $t$ . On the other hand, since  $a(0) \neq 0$ , there exists  $\epsilon > 0$  such that  $\phi(t)$  and  $a(t)$  are both strictly positive for  $0 \leq t < \epsilon$ . If we let  $c = -\int_0^\epsilon a\phi dt$ , then we see that  $\phi'(t) \leq c < 0$  for  $t > \epsilon$ . From this contradiction, then, we see that  $\phi$  does have at least one positive zero.

Similarly, suppose  $\phi$  does not have a negative zero. Then  $\phi(t) > 0$  for all  $t < 0$  and so, for  $t < 0$ ,

$$\phi'(t) = \int_0^t \phi''(t)dt = -\int_0^t a\phi dt = \int_t^0 a\phi dt \geq 0.$$

Thus,  $\phi(t) \leq \phi(0) = 1$ . Again, by the mean value theorem,  $\phi'(t)$  gets arbitrarily close to zero for large negative  $t$ , but  $\phi(t)$  is bounded away from zero outside an  $\epsilon$  ball where  $a$  and  $\phi$  are both positive.

Therefore, we conclude that  $\phi$  has at least one positive zero and one negative zero.  $\square$

## 5.

Suppose that  $M^n$  is a complete Riemannian manifold with sectional curvature strictly positive and let  $\gamma : (-\infty, \infty) \rightarrow M$  be a normalized geodesic in  $M$ . Show that there exists  $t_0 \in \mathbb{R}$  such that the segment  $\gamma([-t_0, t_0])$  has index greater or equal to  $n - 1$ .

*Proof.* Let  $V$  be a parallel field along  $\gamma$  with  $\langle \gamma', V \rangle = 0$  and  $|V| = 1$ . Let

$$\phi_V = \langle R(\gamma', V)\gamma', V \rangle$$

and

$$K(t) = \inf_V \phi_V(t).$$

Let  $a : \mathbb{R} \rightarrow \mathbb{R}$  be a differentiable function such that

$$0 \leq a(t) \leq K(t), \quad a < a(0) < K(0), \quad t \in \mathbb{R}.$$

Let  $\phi$  be a solution of  $\phi'' + a\phi = 0$  with  $\phi'(0) = 0$ ,  $\phi(0) = 1$ , and let  $-t_1, t_2$  be the two zeros guaranteed by problem 4 above. Let  $X = \phi V$ . If  $t \in [-t_1, t_2]$ ,

$$\begin{aligned}
I_t(X, X) &= - \int_{-t_1}^t \langle X'' + R(\gamma', X)\gamma', X \rangle dt \\
&= - \int_{-t_1}^t \langle (\phi''V + 2\phi'V' + \phi V'') + \phi R(\gamma', V)\gamma', \phi V \rangle dt \\
&\leq - \left[ \int_{-t_1}^t (\phi'' + K(t)\phi)\phi dt + \int_{-t_1}^t \langle 2\phi'V' + \phi V'', \phi V \rangle dt \right] \\
&< - \int_{-t_1}^t (\phi'' + a\phi)\phi dt - \int_{-t_1}^t \langle 2\phi'V' + \phi V'', \phi V \rangle dt \\
&\leq - \int_{-t_1}^t (\phi'' + a\phi)\phi dt \\
&\equiv 0.
\end{aligned}$$

Since this holds for any such choice of  $V$  and there are  $n - 1$  linearly independent choices for  $V$ , we see that  $\gamma([-t_1, t_2])$  has index at least  $n - 1$ . If we let  $t_0 = \min\{t_1, t_2\}$ , then  $\gamma([-t_0, t_0])$  has index at least  $n - 1$ , as desired.  $\square$

## 6.

A *line* in a complete Riemannian manifold is a geodesic

$$\gamma : (-\infty, \infty) \rightarrow M$$

which minimizes the arc length between any two of its points. Show that if the sectional curvature  $K$  of  $M$  is strictly positive,  $M$  does not have any lines. By an example show that the theorem is false if  $K \geq 0$ .

*Proof.* Let  $M^n$  be a complete Riemannian manifold with strictly positive curvature, and suppose  $\gamma : (-\infty, \infty) \rightarrow M$  is a line. Then, by problem 5 above, there exists  $t_0 \in \mathbb{R}$  such that  $\gamma([-t_0, t_0])$  has index greater than or equal to  $n - 1$ . By the Morse Index Theorem, then, there must be at least one conjugate point in  $\gamma((-t_0, t_0))$ . However, Jacobi's Theorem says that  $\gamma$  is minimizing on  $[-t_0, t_0]$  only if there are no conjugate points in  $(-t_0, t_0)$ . From this contradiction, then, we conclude that there can be no lines on  $M$ .

This theorem is clearly false if  $K \geq 0$ , as we can see by letting  $M = \mathbb{R}^2$ . Here  $K = 0$  and each straight line in the plane is a line in the sense defined above.  $\square$

## (B)

Let  $a, b$  and  $c$  be three points in that order along a geodesic  $\gamma$  in a Riemannian manifold  $M$ . Suppose that  $a$  is conjugate to  $b$  and that also  $a$  is conjugate to  $c$ . Must  $b$  be conjugate to  $c$ ?

**Partial Answer:** I haven't been able to construct a good counter-example, but I believe this is false in general for dimension 3 and higher.

In dimension 2 it is trivially true, since there is only 1 dimension of Jacobi fields orthogonal to  $\gamma$ .

Here is how I would go about constructing a counter-example in dimension 3: Let  $\gamma$  be some geodesic in, say,  $S^3$  and let  $V_1, V_2$  be unit vectors orthogonal to  $\gamma'(0)$  and to each other. Let  $V_i(t)$  be the parallel transport of  $V_i$  along  $\gamma$ . Deform the metric on  $S^3$  so that  $K(\gamma', V_1) \equiv 1$  while  $K(\gamma', V_2) \equiv 1 + \epsilon$  for some small  $\epsilon$ . Then let  $J_i$  be the Jacobi fields associated to  $V_i$  defined in the usual way:  $J_i(t) = \frac{\sin(\sqrt{K}t)}{\sqrt{K}} V_i(t)$ . Let  $b = \gamma\left(\frac{\pi}{\sqrt{1+\epsilon}}\right)$  and  $c = \gamma(\pi)$ . Then  $a = \gamma(0)$  is conjugate to both  $b$  and  $c$ . However,  $d(b, c) = \pi - \frac{\pi}{\sqrt{1+\epsilon}} =: \delta$ , which is small. Since the sectional curvature of this manifold is bounded above by, say, 2, we know, by Rauch, that the distance between conjugate points is at least  $\frac{\pi}{\sqrt{2}}$ . So long as  $\epsilon$  (and, hence,  $\delta$ ) is small, this means that  $b$  and  $c$  cannot be conjugate.

Here's a picture:

