

Fall 2017 Prelim answers

Part I:

1. Find an orthogonal basis of  $\mathbb{R}^3$  that contains a basis of the span of  $(1, 2, 3)$  and  $(4, 5, 6)$ .

Solution:

First use Gram-Schmidt to find an orthogonal basis  $\{v_1, v_2\}$  for the span of  $w_1 = (1, 2, 3)$  and  $w_2 = (4, 5, 6)$ . We may take  $v_1 = w_1$ . The orthogonal projection of  $w_2$  on  $v_1$  is  $pr_{v_1}w_2 = [(v_1 \cdot w_2)/(v_1 \cdot v_1)]v_1 = (16/7)v_1$ . So the vector  $v'_2 := w_2 - pr_{v_1}w_2 = (12/7, 3/7, -6/7)$  is orthogonal to  $v_1$  and is in the span of  $w_1, w_2$ . We may take  $v_2 = (7/3)v'_2 = (4, 1, -2)$ . Now to find a third orthogonal basis vector for  $\mathbb{R}^3$  that includes  $v_1, v_2$ , we can take their cross product  $v_1 \times v_2 = (-7, 14, -7)$ , or any non-zero multiple of this. So we can take  $v_3 = (1, -2, 1)$ .

2. For each positive integer  $n$ , define  $f_n(x) = x^n$  for  $0 \leq x \leq 1$ .

(a) Is each function  $f_n$  uniformly continuous?

(b) Is the sequence of functions  $\{f_n\}$  uniformly convergent?

Justify your assertions.

Solution:

(a) Yes. The function  $f_n$  is continuous because it is a polynomial, and it is uniformly continuous because it is given on a closed interval.

(b) No. The functions  $f_n$  converge pointwise to the function given by  $f(x) = 0$  for  $0 \leq x < 1$  and  $f(1) = 1$ . The function  $f$  is discontinuous. Since the uniform limit of continuous functions is continuous, the functions  $f_n$  do not converge uniformly.

3. (a) How many abelian groups of order 108 are there, up to isomorphism?

(b) Are there any non-abelian groups of order 108? Either show that there aren't any or else give an example of one.

Solution:

(a)  $108 = 2^2 \times 3^3$ . By the fundamental theorem of finite abelian groups, a group of order 108 is of the form  $A \times B$ , where  $A$  is abelian of order  $2^2$  and  $B$  is abelian of order  $3^3$ . Here  $A$  is a direct product of cyclic 2-groups and  $B$  is a direct product of cyclic 3-groups. Since there are 2 partitions of 2 and 3 partitions of 3, there are  $6 = 2 \cdot 3$  abelian groups of order 108:  $\mathbb{Z}/4 \times \mathbb{Z}/27$ ;  $\mathbb{Z}/2 \times \mathbb{Z}/2 \times \mathbb{Z}/27$ ;  $\mathbb{Z}/4 \times \mathbb{Z}/9 \times \mathbb{Z}/3$ ;  $\mathbb{Z}/2 \times \mathbb{Z}/2 \times \mathbb{Z}/9 \times \mathbb{Z}/3$ ;  $\mathbb{Z}/4 \times (\mathbb{Z}/3)^3$ ;  $\mathbb{Z}/2 \times \mathbb{Z}/2 \times (\mathbb{Z}/3)^3$ .

(b) There is a dihedral group of this order, on generators  $a, b$  with relations  $a^{54} = 1, b^2 = 1, bab^{-1} = a^{-1}$ . Another possible example is the product of the symmetric group  $S_3$  with the cyclic group of order 18. (There are various others.)

4. Let  $\{a_1, a_2, \dots\}$  be a sequence of real numbers such that  $\sum_{n=1}^{\infty} a_n$  converges, but  $\sum_{n=1}^{\infty} |a_n|$  does not converge. Let  $b_1, b_2, \dots$  be the positive terms among the  $a_n$ 's, and let  $c_1, c_2, \dots$  be the negative terms.

(a) Prove that there are infinitely many terms  $b_i$  and infinitely many terms  $c_i$ .

(b) Prove that the series  $\sum_{i=1}^{\infty} b_i$  diverges to  $\infty$ , and  $\sum_{i=1}^{\infty} c_i$  diverges to  $-\infty$ .

(c) Let  $\alpha$  be a real number. Show that there is some rearrangement of the terms  $a_n$  such that the sum of the rearranged series converges to  $\alpha$ .

Solution:

(a) If there are only finitely many terms  $c_i$ , then after omitting a finite number of initial terms of the sequence  $\{a_n\}$ , we may assume that all  $a_n$  are positive and so  $a_n = |a_n|$ . This contradicts the assumption that  $\sum_{n=1}^{\infty} a_n$  converges, but  $\sum_{n=1}^{\infty} |a_n|$  does not converge. The case of only finitely many  $b_i$  is similar.

(b) If  $\sum_{i=1}^{\infty} c_i$  converges, say to  $c < 0$ , then in each partial sum of  $\sum_{n=1}^{\infty} a_n$  the sum of the negative terms is at least  $c$ . Thus for each  $N$ ,  $\sum_{n=1}^N a_n \geq \sum_{n=1}^M b_n + c$ , where  $M$  is the number of non-negative terms among  $a_1, \dots, a_N$ . Since  $\sum_{n=1}^{\infty} |a_n|$  does not converge and the terms are positive, the partial sums become arbitrarily large. Hence so do the partial sums of  $\sum_{i=1}^{\infty} b_i$ . Thus that series diverges to  $\infty$ . The case of  $\sum_{i=1}^{\infty} c_i$  is similar.

(c) Begin by choosing terms  $b_1, b_2, \dots, b_{n_1}$ , until the partial sum first reaches a number  $\beta_1 \geq \alpha$ ; we can do this since the sum of the  $b_i$  diverges to  $\infty$ . Here  $\beta_1 < \alpha + b_{n_1}$ . Next choose terms  $c_1, c_2, \dots, c_{n_2}$ , following the terms we have so far (which add to  $\beta_1$ ) until we first reach a number  $\beta_2 \leq \alpha$ ; we can do this since the sum of the  $c_i$  diverges to  $-\infty$ . Here  $\beta_2 > \alpha + c_{n_2}$ . Then choose the next terms in the  $b_{n_1+1}, \dots, b_{n_3}$  to get a sum  $\beta_3 \geq \alpha$ , etc. For each odd  $k$ ,  $\alpha \leq \beta_k < \alpha + b_{n_k}$ ; and for each even  $k$ ,  $\alpha \geq \beta_k > \alpha + c_{n_k}$ . The partial sums appearing after  $\beta_k$  and before  $\beta_{k+1}$  lie between  $\beta_k$  and  $\beta_{k+1}$ . Since the series  $\sum_{n=1}^{\infty} a_n$  converges, the terms  $a_n \rightarrow 0$ , and hence  $b_i \rightarrow 0$  and  $c_i \rightarrow 0$ . Thus the partial sums of the rearranged series converge to  $\alpha$ .

5. Let  $A$  denote the matrix

$$A = \begin{bmatrix} 4 & 3 \\ 1 & 2 \end{bmatrix}.$$

(a) Determine if there is a basis of  $\mathbb{R}^2$  consisting of eigenvectors of  $A$ . If there is, find one.

(b) Compute  $A^{2017}u_0$ , where  $u_0 = \begin{bmatrix} 4 \\ 0 \end{bmatrix}$ .

Hint: Do not try to compute this directly.

Solution:

(a) The characteristic polynomial of  $A$  is  $(4 - \lambda)(2 - \lambda) - 3 \cdot 1 = (\lambda - 5)(\lambda - 1)$ , so the eigenvalues are 1, 5. Since these are distinct, there is a basis of eigenvectors. Explicitly,  $(1, -1)$  is an eigenvector with eigenvalue 1, and  $(3, 1)$  is an eigenvector with eigenvalue 5, since these span the kernels of  $A - I$  and  $A_5I$  respectively. One sees directly that these two vectors are linearly independent, and so form a basis of  $\mathbb{R}^2$ .

(b) By the explicit choice of eigenvectors in (a), one has

$$A = \begin{bmatrix} 1 & 3 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 5 \end{bmatrix} \begin{bmatrix} 1 & 3 \\ -1 & 1 \end{bmatrix}^{-1}.$$

So

$$A^{2017} \begin{bmatrix} 4 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 & 3 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 5^{2017} \end{bmatrix} \begin{bmatrix} 1 & 3 \\ -1 & 1 \end{bmatrix}^{-1} \begin{bmatrix} 4 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 + 3 \cdot 5^{2017} \\ -1 + 5^{2017} \end{bmatrix}.$$

6. (a) Show that a closed subset of a compact topological space is compact.

(b) Show that a compact subset of a Hausdorff space is closed.

Solution:

(a) Let  $\{U_i\}_{i \in I}$  be an open cover of a closed subset  $A$  of a topological space  $X$ , with  $U_i \subseteq A$ . Since  $A$  is given the subspace topology, for each  $i$  there is an open set  $\tilde{U}_i \subset X$  such that  $U_i = \tilde{U}_i \cap A$ . The sets  $\tilde{U}_i$ , together with the complement  $\tilde{U}$  of  $A$  in  $X$ , form an open cover of  $X$ . Since  $X$  is compact, there is a finite subcover consisting of  $\tilde{U}_j$  for all  $j \in J$  (where  $J$  is a finite subset of  $I$ ), possibly together with  $\tilde{U}$ . Since  $\tilde{U}$  is disjoint from  $A$ , the sets  $U_j = \tilde{U}_j \cap A$ , for  $j \in J$ , form a finite subcover of  $A$ .

(b) Let  $A \subset Y$ , with  $A$  compact and  $Y$  Hausdorff. Suppose  $y \notin A$ . For each  $x \in A$  there exist open sets  $U_x$  and  $V_x$  with  $x \in U_x$ ,  $y \in V_x$ , and  $U_x \cap V_x = \emptyset$ . The sets  $U_x$  together form an open cover of  $A$ , and so there exist  $x_1, \dots, x_n$  such that  $A \subset U_{x_1} \cup \dots \cup U_{x_n}$ . Let  $V = V_{x_1} \cap \dots \cap V_{x_n}$ . Then  $y \in V$ ,  $V$  is open, and  $V \cap A = \emptyset$ . This shows that every point of  $X \setminus A$  is contained in an open set that is disjoint from  $A$ . Hence  $X \setminus A$  is open, and so  $A$  is closed.

Part II:

7. Evaluate the contour integral

$$\oint_C (y^3 + 3x^2y + \cos(x^2))dx + (x + e^{y^3})dy,$$

where  $C$  is the unit circle  $x^2 + y^2 = 1$  oriented counterclockwise. (Hint: Some ways are easier than others.)

Solution:

$C = \partial D$ , where  $D$  is the closed unit disc  $x^2 + y^2 \leq 1$ . By Green's theorem,

$$\begin{aligned} \oint_C (y^3 + 3x^2y + \cos(x^2))dx + (x + e^{y^3})dy &= \iint_D \left( \frac{\partial}{\partial x}(x + e^{y^3}) - \frac{\partial}{\partial y}(y^3 + 3x^2y + \cos(x^2)) \right) dx dy \\ &= \iint_D 1 - (3y^2 + 3x^2) dx dy \\ &= \iint_D 1 dx dy - \iint_D 3r^2 r dr d\theta \\ &= \pi - 2\pi \cdot 3/4 = -\pi/2. \end{aligned}$$

8. Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be an infinitely differentiable function such that  $0 < f(x) < 1$  for all real numbers  $x$ . Show that  $f''(x) = 0$  for some real number  $x$ .

Solution:

Since  $f$  is infinitely differentiable,  $f''$  is continuous. Suppose that  $f''$  is never equal to 0. By the intermediate value theorem,  $f''$  is either always positive or always negative. Possibly after replacing  $f(x)$  by  $1 - f(x)$ , we may assume that  $f''$  is always positive. So  $f'$  is a strictly increasing function, and is therefore non-constant. Therefore  $f'(a)$  is non-zero for some  $a$ . After replacing  $f(x)$  by  $f(-x)$  (which does not affect the condition that  $f''$  is always positive), we may assume that  $f'(a) > 0$  for some  $a$ . Let  $b = a + 1/f'(a)$ . So  $b > a$ . By the mean value theorem, there exists  $c$  with  $a \leq c \leq b$  such that  $f'(c) = (f(b) - f(a))/(b - a) = f'(a)(f(b) - f(a))$ . Since  $f'$  is increasing,  $f'(a) \leq f'(c) = f'(a)(f(b) - f(a))$ , and thus  $1 \leq f(b) - f(a) < f(b)$  since  $f(a) > 0$ , and this is a contradiction.

9. Let  $T : \mathbb{R}^n \rightarrow \mathbb{R}^k$  be a linear transformation, corresponding to a matrix  $A$ . Let  $T^*$  be the adjoint operator of  $T$ , corresponding to the transpose of  $A$ . Show that

$$\ker(T^*T) = \ker(T).$$

Here  $\ker(T)$  denotes the kernel of  $T$ .

(Hint: Consider  $\|Tx\|$ .)

Solution: If  $x \in \ker(T)$ , then  $T^*T(x) = T^*(0) = 0$  and so  $x \in \ker(T^*T)$ . To prove the opposite inclusion, let  $x \in \ker(T^*T)$ . Then

$$\langle Tx, Tx \rangle = \langle x, T^*Tx \rangle = 0;$$

i.e.,  $\|Tx\| = 0$ , and so  $Tx = 0$  and  $x \in \ker(T)$ .

10. Using just the definition of the derivative, prove that every differentiable function  $f : \mathbb{R} \rightarrow \mathbb{R}$  is continuous.

Solution:

For every real number  $a$ , there is a derivative of  $f$  at  $a$ , and

$$\lim_{h \rightarrow 0} (f(a+h) - f(a))/h = f'(a).$$

So  $\lim_{h \rightarrow 0} f(a+h) - f(a) = \lim_{h \rightarrow 0} hf'(a) = 0$ . Thus  $\lim_{b \rightarrow a} f(b) = \lim_{h \rightarrow 0} f(a+h) = f(a)$ .

11. (a) For which integers  $n$  is there a finite field whose additive group is cyclic of order  $n$ ?

(b) For which integers  $n$  is there a finite field whose multiplicative group of invertible elements is cyclic of order  $n$ ?

Justify your assertions.

Solution:

(a) These are precisely the prime numbers. A finite field has prime characteristic  $p$ , and is a vector space over the field of  $p$  elements, say of dimension  $r$ . The additive group is thus isomorphic to  $(\mathbb{Z}/p)^r$ , of order  $n = p^r$ . This is cyclic iff  $r = 1$ , i.e. iff  $n$  is the prime  $p$ .

(b) These are the integers of the form  $p^r - 1$ , for  $p$  prime and  $r$  a positive integer. A finite field  $F$  has order  $p^r$  for some prime  $p$  and  $r \geq 1$ , and for every  $p, r$  there is such a field. The multiplicative group  $F \setminus \{0\}$  of a finite field is cyclic, of order one less than the order of  $F$ . So the assertion follows.

12. Find orthogonal trajectories for the family of plane curves  $E_c$  given by  $4x^2 + 9y^2 = c$ , for  $c > 0$ . That is, find a non-constant one-parameter family of curves  $D_t$  such that each  $D_t$  intersects each  $E_c$  orthogonally, wherever they meet.

Solution:

The curve  $E_c$  satisfies  $8x dx + 18y dy = 0$ , i.e.,  $dy/dx = -4x/9y$  for  $y \neq 0$ . An orthogonal trajectory has derivative equal to the negative reciprocal, i.e.,  $dy/dx = 9y/4x$  for  $x, y \neq 0$ . Solving this differential equation by separation of variables gives  $4 \log |y| = 9 \log |x| + C$ , or equivalently the curves  $D_t$  given by  $y^4 = tx^9$  for any non-zero  $t$ . (The coordinate axes are also orthogonal to each  $E_c$ .)