

# Preliminary Examination, Sample Exam

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**Problem 1.** (a) Find all solutions in integers to the equation  $129x + 291y = 1$

(b) Do the same for the equation  $129x + 291y = 3$

Justify your assertions.

*Solution.* (a) There are no solutions. Indeed, since  $3 \mid 129$  and  $3 \mid 291$  we have that  $3 \mid 129x + 291y$ , however  $3 \nmid 1$ .

(b) Suppose we have a solution, i.e. a pair  $(x_0, y_0)$  that satisfies  $43x_0 + 97y_0 = 1$ . Then any other solution is of the form  $x = x_0 + 97m$ ,  $y = y_0 - 43m$  for  $m \in \mathbb{Z}$ . Indeed, suppose  $(x_1, y_1)$  is another solution then subtracting the two equations we obtain

$$43(x_1 - x_0) + 97(y_1 - y_0) = 0 \tag{1}$$

Since  $\gcd(43, 97) = 1$ , taking equation (1) modulo 97 and 43 we find that  $x_1 - x_0 = 97m_1$  and  $y_1 - y_0 = 43m_2$ . Plugging these two expressions into (1), we get  $43 \cdot 97m_1 + 43 \cdot 97m_2 = 0$ , hence  $m_2 = -m_1$ .

Finally we need to determine a special solution. By a variation of the Euclid's algorithm we find that  $(-9, 4)$  is a special solution. Therefore, the general solution is given by  $s_m = (-9 + 97m, 4 - 43m)$ . ■

**Problem 2.** Show that  $f(x) = x^2$  is not uniformly continuous as a function on the whole real line (i.e. show for some  $\epsilon > 0$  there is no  $\delta > 0$  so that  $|f(x) - f(y)| < \epsilon$  whenever  $|x - y| < \delta$ ).

*Solution.* Fix  $\epsilon > 0$  and  $\delta > 0$ . It suffices to show that there are  $x, y$  such that  $|x - y| < \delta$  and  $|f(x) - f(y)| > \epsilon$ . To that end, let  $x = \frac{1}{\delta}\epsilon + \frac{\delta}{2}$  and  $y = \frac{1}{\delta}\epsilon$ . So,

$$\begin{aligned} |f(x) - f(y)| &= \frac{\delta}{2} \left( \frac{2}{\delta}\epsilon + \frac{\delta}{2} \right) \\ &> \frac{\delta}{2} \cdot \frac{2}{\delta}\epsilon \\ &= \epsilon \end{aligned}$$

■

**Problem 3.** For each of the following, either give an example or explain why none exists.

(a) A non-abelian group of order 20.

(b) Two non-isomorphic abelian groups of order 30.

(c) A finite field whose non-zero elements form a cyclic group of order 17 under multiplication.

(d) A non-trivial automorphism of a finite field.

*Solution.* (a) There are non-abelian groups of order 20; one example is the dihedral group of 20 elements. This is the group of symmetries of a regular 10-agon, and, it is usually, depending on the context, denoted by  $D_{10}$  or  $D_{20}$ .

(b) This is impossible. Since from the fundamental theorem of finitely generated abelian groups, a group with 30 elements is isomorphic to  $\mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_5$ .

(c) There is no such field. All finite fields have cardinality  $p^n$  where  $p$  is a prime. The multiplicative group of a field is cyclic, and has cardinality  $p^n - 1$ . Therefore, such a field must satisfy  $p^n - 1 = 17$ , or  $p^n = 18$ , which is impossible.

(d) There are groups with non trivial automorphisms. Take a field  $F$  of characteristic  $p$ , with  $p^n$  number of elements, where  $n > 1$ . Take  $\phi : F \rightarrow F$  given by  $a \mapsto a^p$ . We show that  $\phi$  is an automorphism. First, using the binomial expansion we see that  $\phi(a + b) = \phi(a) + \phi(b)$ , and of course  $\phi(ab) = \phi(a)\phi(b)$ , hence  $\phi$  is a homomorphism. As  $\ker \phi = \{0\}$ , and  $F$  is finite,  $\phi$  is an isomorphism. We claim that  $\phi$  is not trivial. Indeed the multiplicative group of a field is cyclic, with order  $p^n - 1$ . Hence, there is an  $a \in F$  such that  $a^p \neq a$ . ■

**Problem 4.** Let  $f$  be a real-valued continuous function defined for all  $0 \leq x \leq 1$ , such that  $f(0) = 1, f(1/2) = 2$  and  $f(1) = 3$ . Show that

$$\lim_{n \rightarrow \infty} \int_0^1 f(x^n) dx$$

exists and compute this limit. Justify your assertions.

*Solution.* The limit is equal to  $\int_0^1 f(0) dx = 1$ . Let  $\epsilon > 0$ . We find  $\delta > 0$  such that  $|f(x) - f(0)| < \frac{\epsilon}{2}$  for all  $x \in [0, \delta]$ . Now, pick  $\delta_1 > 0$  and  $N$  such that  $\int_{1-\delta_1}^1 \max_{x \in [0,1]} |f(x) - 1| dx < \frac{\epsilon}{2}$  and  $(1 - \delta_1)^n < \delta$  for all  $n \geq N$ .

Then for  $n \geq N$  we get the following

$$\begin{aligned} \int_0^1 |f(x^n) - 1| dx &= \int_0^{1-\delta_1} |f(x^n) - 1| dx + \int_{1-\delta_1}^1 |f(x^n) - 1| dx \\ &\leq \int_0^{1-\delta_1} \frac{\epsilon}{2} dx + \int_{1-\delta_1}^1 \max_{x \in [0,1]} |f(x) - 1| dx \\ &= (1 - \delta_1) \frac{\epsilon}{2} + \frac{\epsilon}{2} \\ &< \epsilon \end{aligned}$$

And since  $\left| \int_0^1 f(x^n) dx - 1 \right| = \left| \int_0^1 (f(x^n) - 1) dx \right| \leq \int_0^1 |f(x^n) - 1| dx$  we conclude. ■

**Problem 5.** Let  $V$  be the real vector space consisting of polynomials  $f(x) \in \mathbb{R}[x]$  having degree at most 5 (including the 0 polynomial).

(a) Find a basis for  $V$ , and determine the dimension of  $V$ .

(b) Define  $T : V \rightarrow \mathbb{R}^6$  by  $T(f) = (f(0), f(1), f(2), f(3), f(4), f(5))$ . Show that  $T$  is a linear transformation and find its kernel.

(c) Deduce that for every choice of  $a_0, a_1, \dots, a_5 \in \mathbb{R}$  there is a unique polynomial  $f(x) \in \mathbb{R}[x]$  of degree at most 5 such that  $f(j) = a_j$  for  $j = 0, 1, \dots, 5$ .

*Solution.* (a) We have the following description for  $V = \{a_6x^6 + a_5x^5 + \dots + a_1x + a_0 \mid a_i \in \mathbb{R}, \text{ for } 1 \leq i \leq 6\}$ , the canonical basis is  $e_i = x^i$ . From the definition of  $V$  we gave,  $V = \langle e_1, e_2, \dots, e_6 \rangle$ . To see why  $e_i$  are linearly independent take  $\lambda_i$  for  $1 \leq i \leq 6$  such that

$$\lambda_1 e_1 + \lambda_2 e_2 + \dots + \lambda_6 e_6 = 0. \quad (2)$$

The polynomial  $p(x)$  defined at the LSH of equation (2), must be the zero polynomial since only the zero polynomial has infinite many roots. The coefficients of  $p(x)$  are exactly the scalars  $\lambda_i$ , therefore  $\lambda_i = 0$  for all  $i$ , which in turns establishes that  $e_i$  are linearly independent.

(b) The operator  $T$  is linear, indeed,

$$\begin{aligned} T(\lambda f + \mu g) &= ((\lambda f + \mu g)(0), (\lambda f + \mu g)(1), \dots, (\lambda f + \mu g)(5)) \\ &= (\lambda f(0) + \mu g(0), \lambda f(1) + \mu g(1), \dots, \lambda f(5) + \mu g(5)) \\ &= \lambda(f(0), f(1), \dots, f(5)) + \mu(g(0), g(1), \dots, g(5)) \\ &= \lambda T(f) + \mu T(g) \end{aligned}$$

The kernel of  $T$  is trivial. Indeed, suppose  $T(f) = 0$  then  $f = 0$  as any non-constant polynomial of degree at most 5 has at most 5 roots.

(c) Suppose  $f, g$  are two polynomials in  $V$  such that  $f(j) = g(j) = a_j$  for all  $j = 1, 2, \dots, 5$ . We can express the previous statement via the operator  $T$  as  $T(f) = T(g)$  which in turn implies  $T(f - g) = 0$ , therefore  $f = g$ . ■

**Problem 6.** (a) *Is there a metric space structure on the set  $\mathbb{Z}$  such that the open sets are precisely the subsets  $S \subset \mathbb{Z}$  such that  $\mathbb{Z} - S$  is finite, and also the empty set?*

(b) *Is there a metric space structure on the set  $\mathbb{Z}$  such that every subset is open?*

*Justify your assertions.*

*Solution.* (a) No. All metric structures are Hausdorff, however the topology at hand is not. A topology is Hausdorff if for every two points  $x, y$  there are open sets  $V_x, V_y$  such that  $x \in V_x, y \in V_y$  and  $V_x \cap V_y = \emptyset$ . To see why the topology is not Hausdorff, notice that for  $V$  a non-trivial open set there is a  $M \in \mathbb{Z}$  such that  $\{M, M + 1, \dots, M + n, \dots\} \subset V$ , hence any two open sets (non-empty)  $V, U$  intersect non-trivially.

(b) Yes. The discrete metric  $d$ , defined by  $d(x, y) = 1$  if  $x \neq y$  and zero otherwise, induces a topology such that every subset is open. To see this note that the singletons are open, and recall that union of open sets is open. ■

**Problem 7.** *Let  $\vec{F}$  be a vector field defined in  $\mathbb{R}^3$  minus the origin defined by*

$$\vec{F}(\vec{r}) = \frac{\vec{r}}{\|\vec{r}\|^3} = \frac{x\vec{i} + y\vec{j} + z\vec{k}}{(x^2 + y^2 + z^2)^{3/2}}$$

for  $\vec{r} \neq 0$ .

(a) *Compute  $\text{div } \vec{F}$ .*

(b) *Let  $S$  be the sphere of radius 1 centered at  $(x, y, z) = (2, 0, 0)$ . Compute*

$$\oiint_S \vec{F} \cdot \vec{n} \, dS.$$

*Solution.* (a) By definition of the divergence operator we have

$$\begin{aligned}\operatorname{div} \vec{F} &= \frac{\partial(x/|\vec{r}|^3)}{\partial x} + \frac{\partial(y/|\vec{r}|^3)}{\partial y} + \frac{\partial(z/|\vec{r}|^3)}{\partial z} \\ &= 3 \left( \frac{1}{|\vec{r}|^3} - \frac{x^2}{|\vec{r}|^5} - \frac{y^2}{|\vec{r}|^5} - \frac{z^2}{|\vec{r}|^5} \right) \\ &= 0\end{aligned}$$

(b) Since the singular point of the vector field is not inside  $\operatorname{Conv}(S)$  the convex hull of  $S$ , we can apply the divergence theorem.

$$\begin{aligned}\iint_S \vec{F} \cdot \vec{n} \, dS &= \iiint_{\operatorname{Conv}(S)} \operatorname{div} \vec{F} \, dV \\ &= \iiint_{\operatorname{Conv}(S)} 0 \, dV \\ &= 0\end{aligned}$$

■

**Problem 8.** Let  $\{a_n\}$  be a bounded sequence of real numbers. Consider the infinite series

$$f(x) = \sum_{n=1}^{\infty} \frac{a_n}{x^n}$$

where  $x$  is a real number. Prove that for any  $c > 1$  this series converges uniformly on  $\{x \in \mathbb{R} | x \geq c\}$ .

*Solution.* Define the power series  $p(x) = \sum_{n=1}^{\infty} a_n x^n$ . To find  $R$ , its radius of convergence, we calculate  $\limsup \sqrt[n]{|a_n|}$ . The sequence  $a_n$  is bounded; so there is  $M > 0$  such that  $|a_n| < M$ , and, since  $\sqrt[n]{M} \rightarrow 1$ , we conclude that  $\limsup \sqrt[n]{|a_n|} \leq 1$ . So,  $R = \frac{1}{\limsup \sqrt[n]{|a_n|}} \geq 1$ .

For every  $\delta > 0$ , a power series with radius of convergence  $R$  converges uniformly on  $(R - \delta, R + \delta)$ . Therefore, for any  $c$  such that  $0 < \frac{1}{c} < 1$ ,  $p(x)$  converges uniformly on  $A = (0, \frac{1}{c}]$ . Since  $f(1/x) = p(x)$ ,  $f$  converges uniformly on  $\frac{1}{x}((0, \frac{1}{c}]) = [c, \infty)$  as desired. ■

**Problem 9.** Let  $A$  be the ring of continuous functions  $f : \mathbb{R} \rightarrow \mathbb{R}$ , under (pointwise) addition and multiplication.

(a) Determine whether  $A$  is an integral domain.

(b) Let  $I \subset A$  be the subset consisting of functions  $f$  such that  $f(0) = 0$ . Is  $I$  an ideal? What is  $A/I$ ?

*Solution.* (a) The ring  $A$  is not an integral domain. Indeed, take  $f^+(x) = x \cdot 1_{[0, \infty)}(x)$  and  $f^-(x) = x \cdot 1_{(-\infty, 0]}(x)$ , then  $f^+ \cdot f^- = 0$ .

(b) Yes, as the  $I$  has additive subgroup structure, since  $(f - g)(0) = 0$  for all  $f, g \in I$ ; and the multiplication is absorbing, i.e.  $(rf)(0) = r(0) \cdot 0 = 0$  for all continuous functions  $r$ . The ring  $A/I$  is isomorphic to  $\mathbb{R}$ , to see this define  $\phi : A/I \rightarrow \mathbb{R}$  where  $\phi([f]) = f(0)$ . First, the map  $\phi$  is well-defined since  $[f] = [g] \iff f(0) = g(0)$ . Furthermore,  $\phi$  is a ring homomorphism since  $\phi([fg]) = f(0)g(0) = \phi([f])\phi([g])$ , and  $\phi([f + g]) = f(0) + g(0) = \phi([f]) + \phi([g])$ .

We show that  $\phi$  is a bijection. For surjectivity notice that any constant map  $c$  is continuous. Now, to prove that  $\phi$  is injective we calculate its kernel:  $\phi([f]) = 0 \iff f(0) = 0 \iff f \in I$ , therefore  $\ker \phi = \{[0]\}$ . Hence, indeed,  $\phi$  is a ring isomorphism. ■

**Problem 10.** Suppose  $\{a_n : n = 1, n = 2, \dots\}$  is a sequence of real numbers so that

$$\sum_{n=1}^{\infty} |a_n| = 1.$$

Let  $f(x)$  be given by the cos series

$$f(x) = \sum_{n=1}^{\infty} a_n \cos(nx).$$

Prove that the series for  $f$  converges and that  $f$  is continuous.

*Solution.* Define  $S_m = \sum_{n=1}^{m-1} a_n \cos(nx)$ . Firstly, notice that  $f$  exists since the series  $\sum_{n=1}^{\infty} a_n \cos(nx)$  is absolutely convergent. To show that  $f$  is continuous, it suffices to show that  $S_m$  converges uniformly to  $f$ , since uniform convergence preserves continuity. Let  $\epsilon > 0$ , and choose  $N$  such that  $\sum_{n=N}^{\infty} |a_n| < \epsilon$ . Then, for all  $m \geq N$

$$\begin{aligned} |f(x) - S_m(x)| &= \left| \sum_{n=m}^{\infty} a_n \cos(nx) \right| \\ &\leq \sum_{n=m}^{\infty} |a_n| |\cos(nx)| \\ &= \sum_{n=m}^{\infty} |a_n| \\ &\leq \sum_{n=N}^{\infty} |a_n| < \epsilon \end{aligned}$$

Taking sup over all  $x$  establishes the uniform convergence. ■

**Problem 11.** Let

$$M = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

- (a) Find the minimal and characteristic polynomial of  $M$ .
- (b) Is  $M$  similar to a diagonal matrix  $D$  over  $\mathbb{R}$ ? If so, find such a  $D$ .
- (c) Repeat part (b) with  $\mathbb{R}$  replaced by  $\mathbb{C}$  and also by the field  $\mathbb{Z}/5\mathbb{Z}$

*Solution.* We calculate the characteristic polynomial  $\chi_M(x)$  of  $M$  by using the Laplace expansion,

$$\begin{aligned} \begin{vmatrix} -\lambda & 0 & 0 & 1 \\ 1 & -\lambda & 0 & 0 \\ 0 & 1 & -\lambda & 0 \\ 0 & 0 & 1 & -\lambda \end{vmatrix} &= -1 \cdot \begin{vmatrix} 1 & -\lambda & 0 \\ 0 & 1 & -\lambda \\ 0 & 0 & 1 \end{vmatrix} - \lambda \cdot \begin{vmatrix} -\lambda & 0 & 0 \\ 1 & -\lambda & 0 \\ 0 & 1 & -\lambda \end{vmatrix} \\ &= \lambda^4 - 1 \end{aligned}$$

So,  $\chi_M(\lambda) = \lambda^4 - 1$ . To find the  $\mu_M$  the minimal polynomial of  $M$  we distinguish two cases. First assume that the underlying field is not of characteristic 2. In this case  $\gcd(X'_M, X_M) = 1$  which shows that  $X_M$  does not have double roots, therefore  $\mu_M = \chi_M$ . If the characteristic is 2, then  $\chi_M(\lambda) = (\lambda - 1)^4$ . Since,  $(M - I)^3 \neq 0$  we conclude, again, that  $\chi_M = \mu_M$ .

(b) A matrix over a field  $F$  is diagonalizable if and only its minimal polynomial in  $F$  splits in  $F$  and has distinct roots. Here,  $\mu_M(\lambda)$ , since it has complex roots, does not split in  $\mathbb{R}$ , hence  $M$  is not diagonalizable.

(c) In part (a) we established that  $\mu_M$  has distinct roots over both  $\mathbb{C}$  and  $\mathbb{Z}/5\mathbb{Z}$ . So in order to determine whether  $M$  is diagonalizable we need to determine whether  $\mu_M$  splits. In  $\mathbb{C}$  every polynomial splits, so  $M$  is diagonalizable. Finding the roots of  $\mu_M$  yields

$$D_{\mathbb{C}} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & i & 0 \\ 0 & 0 & 0 & -i \end{bmatrix}.$$

Suppose  $F = \mathbb{Z}/5\mathbb{Z}$ , since  $U_5$ ,  $F$ 's multiplicative group, has order 4 we obtain that  $\mu_M(\lambda) = (\lambda - 1)(\lambda - 2)(\lambda - 3)(\lambda - 4)$ . Therefore,

$$D_F = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & 4 \end{bmatrix}$$

■

**Problem 12.** Let  $V$  be the vector space of  $C^\infty$  real-valued functions on  $\mathbb{R}$ . Consider the following maps  $T_i : V \rightarrow V$ .

$$\begin{aligned} T_1(f) &= f'' - 6f' + 9f \\ T_2(f) &= f' - xf \\ T_3(f) &= ff' \end{aligned}$$

- (a) Which of the maps  $T_i$  are linear transformations?  
 (b) For each one that is, find a basis for the kernel.

*Solution.* (a) First note, that  $D : C^\infty \rightarrow C^\infty$ , defined by  $D(f) = f'$  is linear. Therefore, the operators  $T_1$  and  $T_2$  are linear as a linear sum of linear operators.

The operator  $T_3$  is not a linear operator. Indeed, take  $f = x$ . Then,  $T_3(2f) = 4x$  and  $2T_3(f) = 2x$ , hence  $T_3(2f) \neq 2T_3(f)$ .

(b) To find the kernel for  $T_1$  we need to solve the homogeneous ODE

$$y'' - 6y' + 9y = 0.$$

The characteristic polynomial is  $r^2 - 6r + 9 = (r - 3)^2$ . Therefore, a basis for the kernel is  $e^{3x}, xe^{3x}$ .

For  $T_2$  we have the ODE

$$y' - xy = 0.$$

The integrating factor is  $M(x) = e^{-\frac{x^2}{2}}$ , therefore the general solution is given by  $y = ce^{\frac{x^2}{2}}$ . So, we can pick  $v = e^{\frac{x^2}{2}}$  as the basis vector. ■