

Math 626 Exercise Set 5

1. (Nagata–Schmidt, an example of a one-dimensional Noetherian local domain A whose normalization B is not a finite A -module)

Let p be a prime number and let $K \supseteq \mathbb{F}_p$ be a field such that $[K : K^p] = \infty$. Let $(a_i)_{i \in \mathbb{N}}$ be a sequence of elements in K such that $[K^p(a_1, a_1, \dots, a_m) : K^p] = p^m$ for each $m \in \mathbb{N}$. Let $K^p[[x]]$ and $K[[x]]$ be the ring of formal power series with coefficients in K^p and K respectively. Let $b := \sum_{i \in \mathbb{N}} a_i x^i \in K[[x]]$. Let $R := K^p[[x]] \otimes_{K^p} K$ be the smallest subring of $K[[x]]$ which contains K and $K^p[[x]]$.

(a) Show that R is a one-dimensional local domain whose maximal ideal is xR . Conclude that R is a discrete valuation ring; in particular R is Noetherian.

(Note: The fact that R is Noetherian is a special case of problem 7 of Exercise Set 4. This part asks for a simpler and more direct argument.)

(b) Show that $A := R[b]$ is a Noetherian local domain.

(c) Let B be the integral closure of A in the fraction field $\text{frac}(A)$ of A . Show that $B = \text{frac}(A) \cap K[[x]]$.

(d) Show that $B = xB + A$. Deduce that B is not a finite A -module.

2. Let k be a field, let A be the power series ring $A = k[[x, y, z]]$ in three variables, and let $B := A/(xz, yz)$. Let $H_A, H_B \in \mathbb{Z}[T, (1-T)^{-1}]$ be the Poincaré series of the Noetherian local rings A, B with respect to their maximal ideals $\mathfrak{m}_A, \mathfrak{m}_B$. B

(a) Show that the Poincaré series $H_B \in \mathbb{Z}[T, (1-T)^{-1}]$ of B is

$$H_B = (1 - 2T^2 + T^3) \cdot H_A = (1 - 2T^2 + T^3) \cdot (1 - T)^{-3}.$$

(Hint: Find a finite free resolution of $\text{gr}(B)$ as a graded module over $\text{gr}(A)$, where $\text{gr}(A)$ is the graded ring attached to the \mathfrak{m}_A -adic filtration of A , and $\text{gr}(B)$ the graded ring attached to the \mathfrak{m}_B -adic filtration of B regarded as a module over $\text{gr}(A)$.)

(b) Deduce from (a) that $\dim_k(\mathfrak{m}_B/\mathfrak{m}_B^2) = 3$, $\dim(B) = 2$, and $e_{\mathfrak{m}_B}(B) = 1$.

(So B is a two-dimensional complete local ring which is not regular, and its multiplicity is 1.)

(c) Find a system of parameters x_1, x_2 in \mathfrak{m}_B such that $e_{(x_1 B + x_2 B)}(B) = 1$.

3. Let A be a graded algebra over a field k generated by homogeneous elements u_1, \dots, u_m , and the degree of u_i is δ_i for $i = 1, \dots, m$. Suppose that the Poincaré series of A is $P_A = \prod_{j=1}^n (1 - T^{d_j})^{-1}$, where d_1, \dots, d_n are positive integers, $n \geq 1$.

(a) Show that for each $j \in \{1, \dots, n\}$, there exists an $i \in \{1, \dots, m\}$ such that $d_j | \delta_i$.

(b) Show that $\min(\delta_1, \dots, \delta_m) \leq \min(d_1, \dots, d_n)$.

(c) Show that if $\delta_1 = \dots = \delta_m$, then A is isomorphic to a polynomial ring in n -variables as a graded algebra.

(d) Give an example where A is not isomorphic to polynomial algebra.

4. Let k be a field, and let $A = k[x_1, \dots, x_n]$ be the polynomial ring in n variables over k , regarded as a graded ring and each of the variables x_1, \dots, x_n is homogeneous of degree one. Let \mathfrak{C} be the abelian category of all finitely generated graded A -modules, and let $K(\mathfrak{C})$ be the Grothendieck group of \mathfrak{C} .

- (i) Suppose that $n \geq 3$. Show that $[A/x_1^3A] = [A/x_2^2x_3A]$ in $K(\mathfrak{C})$.
- (ii) A finitely generated homogenous A -module P is said to be *projective* in \mathfrak{C} if for every pair of graded A -linear maps $\alpha : N_1 \rightarrow N_0$ and $\pi : P \rightarrow N_0$ with π surjective, there exists a homogeneous A -linear map $\beta : P \rightarrow N_1$ such that $\alpha \circ \beta = \pi$. Show that every projective A -module in \mathfrak{C} is free.
- (iii)* Let M be a finitely generated graded A -module. Let

$$0 \rightarrow L_n \rightarrow L_{n-1} \rightarrow \cdots \rightarrow L_1 \rightarrow L_0 \rightarrow M \rightarrow 0$$

be an exact sequence in \mathfrak{C} such that L_0, L_1, \dots, L_{n-1} are finite free homogenous A -modules. Show that L_n is projective, hence free.

(This is a basic result in homological algebra going back to Hilbert, known as Hilbert's syzygy theorem. You may use it in the rest of this problem.)

- (iv) For any $i \in \mathbb{Z}$, denote by η^i the element in $K(\mathfrak{C})$ attached to the free rank-one A module with a free generator in degree i . Define a homomorphism c of abelian groups by

$$c : \mathbb{Z}[X, X^{-1}] \rightarrow K(\mathfrak{C}), \quad c : \sum_{i \in \mathbb{Z}} a_i X^i \mapsto \sum_{i \in \mathbb{Z}} a_i \eta^i,$$

where $\mathbb{Z}[X, X^{-1}]$ is the ring of Laurent polynomials in the variable X with coefficients in \mathbb{Z} . Show that c is an injection.

(Note: More canonically, the homomorphism c should be identified with the map

$$\tilde{c} : K(k) \otimes_{\mathbb{Z}} \mathbb{Z}[X, X^{-1}] \rightarrow K(\mathfrak{C}),$$

where $K(k)$ is the K -group of the category \mathfrak{V}_k of finite dimensional vector spaces over k to $K(\mathfrak{C})$. The restriction of \tilde{c} to $K(k) \subseteq K(k) \otimes_{\mathbb{Z}} \mathbb{Z}[X, X^{-1}]$ arises from the exact functor $\Phi : \mathfrak{V}_k \rightarrow \mathfrak{C}$, such that Φ sends each k -module V to the A -module $A \otimes_k V$.)

- (v) Show that c is an isomorphism from $\mathbb{Z}[X, X^{-1}]$ to $K(\mathfrak{C})$. Moreover the inverse $\chi : K(\mathfrak{C}) \rightarrow \mathbb{Z}[X, X^{-1}]$ of c is given explicitly as follows: For any finitely generated homogeneous A -module M ,

$$\chi([M]) = \sum_{j \in \mathbb{N}} \sum_{n \in \mathbb{Z}} (-1)^j \dim_k(\mathrm{Tor}_j^A(k, M)_n) X^n,$$

where $\mathrm{Tor}_i^A(k, M)_n$ is the degree- n component of the graded A -module $\mathrm{Tor}_i^A(k, M)$.

(You can skip the second part if you don't know about the Tor functor, and come back to it after learning basic homological algebra for polynomial rings.)

- (vi) The Poincaré series defines a group homomorphism

$$P : K(\mathfrak{C}) \rightarrow \mathbb{Z}[T, T^{-1}, (1-T)^{-1}], \quad P([M]) = \sum_{i \in \mathbb{Z}} \dim_k(M_i) T^i.$$

Compute explicitly the map

$$c \circ P : \mathbb{Z}[X, X^{-1}] \rightarrow \mathbb{Z}[T, T^{-1}, (1-T)^{-1}]$$

and show that $c \circ P$ is injective. What is the image of $c \circ P$ in $\mathbb{Z}[T, T^{-1}, (1-T)^{-1}]$?

- (vii) Let B_0 be an Artinian ring, and let $B = B_0[u_1, \dots, u_m]$ be the graded polynomial ring with $\deg(u_i) = 1$ for all i . We have a group homomorphism $\tilde{c} : K(B_0) \otimes_{\mathbb{Z}} \mathbb{Z} \rightarrow K(\mathfrak{C}_B)$ defined similarly. Is \tilde{c} an isomorphism? Give an explicit form of its inverse if \tilde{c} is an isomorphism. Otherwise give a counter-example.