

The Editor's Corner: n Coins in a Fountain

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In Richard Guy's article in last month's Monthly [6] there appeared a number of elegant questions, one of which we will answer here.

An (n, k) fountain is an arrangement of n coins in rows such that there are exactly k coins in the bottom row, and such that each coin in a higher row touches exactly two coins in the next lower row. In FIG. 1 below we show a $(28, 12)$ fountain. Let $f(n, k)$ be the number of (n, k) fountains, and let $f(n) = \sum_k f(n, k)$ be the number of fountains of n coins.

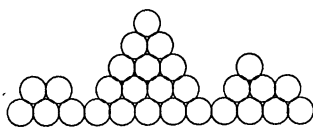


FIG. 1. A $(28, 12)$ fountain.

The question of determining $\{f(n)\}$ was raised in [6], and attributed to J. G. Propp. The values of $f(n)$ for $1 \leq n \leq 18$ are 1, 1, 2, 3, 5, 9, 15, 26, 45, 78, 135, 234, 406, 704, 1222, 2120, 3679, 6385.

We will show that $\{f(n)\}$ has the remarkable generating function

$$F(x) = 1 + \sum_{n \geq 1} f(n)x^n = \frac{1}{1 - \frac{x}{1 - \frac{x^2}{1 - \frac{x^3}{\ddots}}}}. \quad (1)$$

This continued fraction was first studied by Ramanujan (see [1], [2], [4], [7]). That the power series coefficients of this fraction have a combinatorial meaning is not new. Already in 1968 Szekeres [8] found such an interpretation and others were found later by Flajolet [5]. However the interpretation as the number of n -fountains seems particularly attractive, and it lends itself to a very transparent proof.

We say that an (n, k) fountain is *primitive* if its next-to-bottom row contains no empty positions (i.e., contains $k - 1$ coins), and let $g(n, k)$ be the number of primitive (n, k) fountains. By peeling off the bottom row of a primitive fountain we see that

$$f(n - k, k - 1) = g(n, k) \quad (n \geq k; k \geq 1). \quad (2)$$

Next, let \mathcal{F} be an arbitrary (n, k) fountain, and suppose that the first empty position in the next-to-bottom row is the r th ($1 \leq r \leq k - 1$) (in FIG. 2 we have

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$r = 3$). Then by drawing a dotted line to the right of the r th coin in the bottom row, as in Fig. 2, we split \mathcal{F} into an (n', r) primitive fountain and an $(n - n', k - r)$ not-necessarily-primitive fountain.

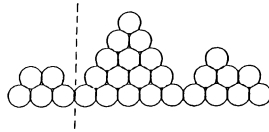


FIG. 2. Split off a primitive fountain.

The factorization is unique, and so we have

$$f(n, k) = \sum_{n', r \geq 0} g(n', r) f(n - n', k - r) \tag{3}$$

for all $n, k \geq 1$, where $f(0, 0) = 1$ and $g(0, 0) = 0$.

Hence if $F(x, y)$ and $G(x, y)$ are the ordinary generating functions of the sequences f, g respectively, then from (3) we find that $F = 1 + FG$ and from (2) that $G(x, y) = xyF(x, xy)$, whence

$$\begin{aligned} F(x, y) &= \frac{1}{1 - xyF(x, xy)} \\ &= \frac{1}{1 - \frac{xy}{1 - x^2yF(x, x^2y)}} \\ &= \dots \\ &= \frac{1}{1 - \frac{xy}{1 - \frac{x^2y}{1 - \frac{x^3y}{\ddots}}}} \end{aligned} \tag{4}$$

In particular, if we put $y = 1$ we obtain the generating function for the numbers of n -fountains in the form (1), as claimed.

Remarks

1. An easier problem would have resulted if we had asked for $h(k) = \sum_n f(n, k)$, the number of fountains whose bottom row contains k coins, without regard to the total number of coins. If we put $x = 1$ in (4) we find that $\{h(k)\}$ is generated by $H(y)$ where $H(y) - yH^2(y) = 1$. Hence the $\{h(k)\}$ are the Catalan numbers.

2. The continued fraction (1) of Ramanujan can be rewritten in various forms, for instance as

$$F(x) = \frac{\sum_{r \geq 0} (-1)^r \frac{x^{r(r+1)}}{(1-x)(1-x^2) \cdots (1-x^r)}}{\sum_{r \geq 0} (-1)^r \frac{x^{r^2}}{(1-x)(1-x^2) \cdots (1-x^r)}} \tag{5}$$

We will now use this expression for $F(x)$ to deduce the asymptotics of $f(n)$. More precisely, we will show that

$$f(n) = cx_0^{-n} + O((5/3)^n) \quad (n \rightarrow \infty) \tag{6}$$

where $c = 0.312363324596741 \dots$ and $x_0 = 0.576148769142756 \dots$.

Let $p(x)$ and $q(x)$ denote, respectively, the numerator and the denominator on the right side of (5), and let $p_n(x)$, $q_n(x)$ denote the n th partial sums of the series for $p(x)$ and $q(x)$. It is clear that p and q are analytic in $|x| < 1$, so F is meromorphic there. We will show that $q(x)$ has a simple, real zero $x_0 \in (0.57, 0.58)$, and no other zeros in the disk $|x| < 0.62$, while $p(x_0) > 0$. It will then follow that (6) holds, and more precise numerical calculations yield the more accurate values of x_0 and $c = -p(x_0)/(x_0q'(x_0))$ stated above.

The proof of our claim about x_0 can be obtained fairly easily using numerical methods to compute multiplicities of zeros of analytic functions. Here we sketch a proof that can be carried out by hand.

Write $q_3(x) = a(x)/((1-x)(1-x^2)(1-x^3))$ where

$$a(x) = 1 - 2x - x^2 + x^3 + 3x^4 + x^5 - 2x^6 - x^7 - x^9,$$

and consider $b(x) = \prod_{j=1}^9(x - x_j)$, where the x_j are $0.57577, -0.46997 \pm i0.81792, 0.74833 \pm i0.07523, -1.05926 \pm i0.36718, 0.49301 \pm i1.58185$, in that order (these x_j 's are approximations to the zeros of $a(x)$). An easy hand calculation shows that if $\{a_k\}$ and $\{b_k\}$ are the coefficients of $a(x)$ and $b(x)$ respectively, then $\sum |a_k - b_k| \leq 1.7 \times 10^{-4}$, and so $|a(x) - b(x)| \leq 1.7 \times 10^{-4}$ for all $|x| \leq 1$. Another such calculation shows that $|b(x)| \geq 8 \times 10^{-4}$ for all $|x| = 0.62$.

On the other hand, for $u \in (0, 0.62)$ and $|x| = u$ we have

$$\left| \frac{x^{(k+1)^2 - k^2}}{1 - x^{k+1}} \right| \leq \frac{u^{2k+1}}{1 - u^{k+1}} \leq \frac{u^9}{1 - u^5} \quad (k \geq 5)$$

and, therefore,

$$\left| \sum_{k=4}^{\infty} (-1)^k \frac{x^{k^2}}{\prod_{j=4}^k (1 - x^j)} \right| \leq \frac{u^{16}}{1 - u^4} \sum_{m \geq 0} \left(\frac{u^9}{1 - u^5} \right)^m \leq 6 \times 10^{-4}.$$

Therefore, by Rouché's theorem, $q(x)$ and $b(x)$ have the same number of zeros in $|x| \leq 0.62$, namely, 1. Further, since q has real coefficients, its zero is real, and we call it x_0 . A brief calculation shows that $x_0 \in (0.57, 0.58)$.

Finally, we must show that $p(x_0) > 0$. However, since the successive summands in the definition of $p(x)$ decrease in absolute magnitude for each fixed real x , $0 < x < 0.6$, we have $p(x) > 1 - x^2/(1 - x)$, which is positive for $0 < x < 0.6$, and the claim is established.

We note that the asymptotic expansion that we have proved is very accurate, even for moderately small values of n . For instance, $f(120) = 1.700213368 \dots \times 10^{28}$, while $f(120) - cx_0^{-120} = 1.59 \dots \times 10^9$.

3. To make the correspondence between our problem and the one that Szekeres solved quite explicit, we draw the slant lines in Fig. 1 that are shown in FIG. 3, and let x_i denote the number of coins on the i th slant line. If we let $q_i = x_i + i - 1$ ($i = 1, k$) then we see that $f(n, k)$ counts partitions with a fixed 'rate of climb.'

Precisely, $f(n, k)$ is the number of partitions of the integer $n + \binom{k}{2}$, whose largest part is k and whose i th-from-smallest part is $\geq i$ for each $i = 1, k$, and that is exactly the problem that Szekeres treated in [8].



FIG. 3. Draw the slant lines.

4. A problem that is similar to this one has been considered by Auluck [3]. He dealt with arrangements of coins that satisfy our conditions and are further subject to the condition that the set of coins in each horizontal row forms a single contiguous block.

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